FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1370122-0

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11/15/94
(Date)

TO: FEDERAL BUREAU OF INVESTIGATION
SPECIAL INQUIRY UNIT, ROOM 4371

FROM: ABNER J. MIKVA
COUNSEL TO THE PRESIDENT

SUBJECT: FBI INVESTIGATIONS

Candidate's Name Melvin Eugene Carnahan SSN 579-46-1269
Date of Birth 2/11/34 Place of Birth Birch Tree, MO
Present Address 100 Madison Street Jefferson City, MO 65101

We request:
____ Copy of Previous Report
____ Name Check
____ Expanded Name Check
X Full-Field Investigation
Level I___ Level II X Level III____
____ Limited Update
____ Other____

The candidate named is being considered for:
X Presidential Appointment
X Requiring Senate Confirmation
____ Not Requiring Confirmation
____ White House Staff Position

Attachments:
X SF-86
X SF-87 (Fingerprint Card) detached 11/15/94 BR
X SF-86 Supplement
____ Other

Remarks/Special Instructions: 161B-HQ-1087906-1

DOM 11-26-94

3- ENCLOSURE

THE WHITE HOUSE

WASHINGTON

MEMORANDUM FOR PROSPECTIVE APPOINTEES

FROM: OFFICE OF COUNSEL TO THE PRESIDENT

This memorandum confirms in writing your express consent for the Federal Bureau of Investigation to investigate your background or conduct appropriate file reviews in connection with the consideration of your application for employment.

The FBI investigation will include the collection and use of relevant information concerning your personal history, and it is necessary that you authorize the disclosure of such information to the FBI. Information may be disseminated outside the FBI when necessary to fulfill obligations imposed by law.

By volunteering information concerning activities protected by the First Amendment, it will be assumed that you are expressly authorizing the maintenance of this information in the records of any Federal agency.

If you consent to such inquiries, please sign your name below and return this original memorandum of consent to this office.

Name (please print or type) Melvin Eugene Carnahan

Signature Mel Carnahan Date 11-9-94

Standard Form 86
Revised December 1990
U.S.Office of Personnel Management
FPM Chapter 732

QUESTIONNAIRE FOR SENSITIVE POSITIONS (For National Security)

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

Part 1 OPM USE ONLY — Codes — Case Number

Agency Use Only (Complete items A through P using instructions in FPM Supplement 296-33)

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action (Month Day Year)

G Geographic Location	H Position Code	I Position Title

J SON | K Location of Official Personnel Folder: ☐ None ☐ NPRC ☐ At SON — Other Address — ZIP Code

L SOI | M Location of Security Folder: ☐ None ☐ At SOI ☐ NPI — Other Address — ZIP Code

N OPAC-ALC Number | O Accounting Data and/or Agency Case Number

P Requesting Official — Name and Title | Signature | Telephone Number FTS () | Date

Persons completing this form should begin with the questions below. Please type or print your answers.

1 FULL NAME • If you have only initials in your name, use them and State (IO). • If you have no middle name, enter "NMN." • If you are a "Jr.," "Sr.," "II," etc., enter this in the box after your middle name.

2 DATE OF BIRTH

Last Name	First Name	Middle Name	Jr., II, etc.	Month	Day	Year
Carnahan	Melvin	Eugene		02	11	34

3 PLACE OF BIRTH • Use the two letter code for the State.

City	County	State	Country (if not in the United States)
Birch Tree	Shannon	MO	U.S.A.

4 SOCIAL SECURITY NUMBER: 519-46-1216 14

5 OTHER NAMES USED
Give other names you used and the period of time you used them *(for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s])*. If the other name is your **maiden name**, put **"nee"** in front of it.

Name	Month/Year Month/Year	Name	Month/Year Month/Year
Mel Carnahan	1/62 To Present		To
Name	Month/Year Month/Year	Name	Month/Year Month/Year
	To		To

6 OTHER IDENTIFYING INFORMATION

Height (feet and inches)	Weight (pounds)	Hair Color	Eye Color	Sex (mark one box)
5 feet 11 inches	175	Lt. Brown	Blue	☐ Female ☒ Male

7 TELEPHONE NUMBERS

Work (include Area Code and extension)	Home (include Area Code)
() Day 314-751-3222	() Day 314-751-4141
() Night (314) 751-4141	() Night (314) 751-4141

8 CITIZENSHIP

a Mark the box at the right that applies to you and follow the instructions next to the box you marked.

I am a U.S. citizen by birth in the U.S.	X	Answer Items b and d
I am a U.S. citizen, but I was NOT born in the U.S.		Answer Items b, c, and d
I am not a U.S. citizen.		Answer Items b and e

b Your Mother's Maiden Name: Schupp

c UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate *(Where were you naturalized?)*

Court	City	State	Certificate Number	Month/Day/Year Issued

Citizenship Certificate *(Where was the certificate issued?)*

City	State	Certificate Number	Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued

d DUAL CITIZENSHIP If you are *(or were)* a dual citizen of the United States and another country, provide the name of that country in the space to the right. — Country:

e ALIEN If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month	Day	Year	Alien Registration Number	Country of Citizenship
			8	13		A0-116577961	

9 WHERE YOU HAVE LIVED

Fill in your full address for every place you have lived **beginning with the present (#1) and working backward 15 years.**

- If you attended school away from your permanent residence, list the address you lived at while attending school.
- For any address **in the past 3 years:**
 - List a person who knew you at that address, preferably someone who still lives in that area.
 - If address listed is "General Delivery," a Rural Route, or Star Route, provide directions for locating the residence on an attached continuation sheet, **and show the block #.**

b6
b7C

	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
#1 Present	1/93 To Present	100 Madison Street		Jefferson City	MO	65101
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code / Telephone Number
#2	2/74 To 1/93	Route 1, Box 216 now known as 13750 County Road 4030		Rolla	MO	65401
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code / Telephone Number
#3	To					
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code / Telephone Number
						()
#4	To					
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code / Telephone Number
						()
#5	To					
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code / Telephone Number
						()
#6	To					
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code / Telephone Number
						()

10 WHERE YOU WENT TO SCHOOL

Fill in information about schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working backward 15 years.** Also list **College or University degrees** received beyond 15 years.

- For schools you attended **in the past 3 years,** list a person who knew you at school (such as an instructor or a student).
- For correspondence schools and extension classes, **list records location address.**
- In the "Code" block, use one of these **codes: 1 - High School 2 - College/University 3 - Vocational/Trade School**

	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other *(show each degree and date received if Code 2)*	Month/Year
#1	9/56 To 6/59	2	University of Missouri School of Law	LLB (later J.D.)	6/59
Street Address and City (Country) of School				State	ZIP Code
9th & Conley, Columbia				MO	65201
Name of Person Who Knew You		Street Address and City (Country)		State / ZIP Code	Telephone Number
#2	6/51 To 6/54	2	George Washington University	B.A.	6/54
Street Address and City (Country) of School				State	ZIP Code
19th & G Streets, Washington				DC	
#3	To				
Street Address and City (Country) of School				State	ZIP Code
Name of Person Who Knew You		Street Address and City (Country)		State / ZIP Code	Telephone Number
					()

Enter your Social Security Number before going to the next page → 579-46-1264

11 YOUR EMPLOYMENT ACTIVITIES

Fill in your employment activities, beginning with the present (#1) **and working backward 15 years. INCLUDE:**

- all full-time work
- all part-time work
- all paid work
- active military duty
- self-employment
- all periods of unemployment

IN THE NUMBERED ACTIVITY SECTION USE ONE OF THESE CODES IN THE CODE BLOCK:

1 - Active military duty stations
2 - National Guard/Reserve
3 - U.S.P.H.S. Commissioned Corps
4 - Other Federal employment
5 - State Government (Non-Federal) employment
6 - Self-employment (Enter business name and/or name of person who can verify)
7 - Unemployment (Enter name of person who can verify)
8 - Federal Contractor (list Contractor, not Federal agency)
9 - Other

FOR EACH ACTIVITY SECTION, provide information requested. **For example,** if you had worked at XY Plumbing in Denver, CO, for 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment in the appropriate blocks below that information. (For locations outside the U.S., show city and country.)

#1 Month/Year Month/Year: Present 1/93 To Pre-sent | Code: 5 | Employer's Name/Military Service/Unemployment or Self-Employment Verifier: State of Missouri | Your Position Title: Governor

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
216 Capitol Building	Jefferson City	MO	65101	(314) 751-3222
Street Address of Job Location (if different than Employer's Address)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
N/A				()
Supervisor's Name & Street Address (if different than Job Location)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
N/A				()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
1/89 To 1/93	Lt. Governor N/A	To	
1/81 To 1/85	State Treasurer N/A	To	

#2 Month/Year Month/Year: 2/61 To 1/93 | Code: 6 | Employer's Name/Military Service/Unemployment or Self-Employment Verifier: Self-employed -- Attorney | Your Position Title: Attorney

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
5th & Main Streets	Rolla	MO	65401	(314) 364-5134
Street Address of Job Location (if different than Employer's Address)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
5th & Main Streets	Rolla	MO	65401	(314) 364-5134
Supervisor's Name & Street Address (if different than Job Location)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
None -- last partner - Roger A. Carnahan				()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#3 Month/Year Month/Year: To | Code: | Employer's Name/Military Service/Unemployment or Self-Employment Verifier: | Your Position Title:

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
				()
Supervisor's Name & Street Address (if different than Job Location)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
				()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

Enter your Social Security Number before going to the next page → 579-46-1264

YOUR EMPLOYMENT ACTIVITIES (Continued)

#4	Month/Year Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
Employer's/Verifier's Street Address			City (Country)	State / ZIP Code / Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State / ZIP Code / Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State / ZIP Code / Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
To		To	

#5	Month/Year Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
Employer's/Verifier's Street Address			City (Country)	State / ZIP Code / Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State / ZIP Code / Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State / ZIP Code / Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
To		To	

#6	Month/Year Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
Employer's/Verifier's Street Address			City (Country)	State / ZIP Code / Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State / ZIP Code / Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State / ZIP Code / Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
To		To	

#7	Month/Year Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
Employer's/Verifier's Street Address			City (Country)	State / ZIP Code / Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State / ZIP Code / Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State / ZIP Code / Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
To		To	

Enter your Social Security Number before going to the next page → 519 - 416 - 12/16/14

12 PEOPLE WHO KNOW YOU WELL

List **two people** who know you well and live in the United States.
- Don't list spouse, other relatives, or former spouses.
- Try not to list anyone mentioned in item 9, 10, or 11.

	Name	Number Years Known	Telephone Number:
#1			Day () Night ()
Home Address		City (Country)	State / ZIP Code
#2			
Home Address		City (Country)	State / ZIP Code

13 YOUR OUTSIDE ACTIVITIES

List any activities which you may wish to have considered as reflecting favorably on your reputation for leadership, responsibility, honesty, and integrity **in the last 15 years. (Response Optional)**

	Month/Year Month/Year	Activity	Location of Activity	
			City (Country)	State
#1	To			
#2	To			
#3	To			

14 YOUR FOREIGN ACTIVITIES

		Yes	No
a.	Do you have any foreign property, business connections, or financial interests?		X
b.	Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?		X
c.	In the last 15 years, have you had continuing contact with a national of any foreign country designated by the agency instructing you to fill out this form? **(NOTE: If the agency wants you to answer this question, it will provide you with a list of countries.)**		X

If you answered "Yes" to a, b, or c, explain in the space below:

15 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, **beginning with the most current (#1) and working backward 15 years.**
- Do **not** include countries covered in items 9, 10, and 11.
- In the "Code" block, use one of these **codes: 1 - Business 2 - Pleasure 3 - Education 4 - Other**

	Month/Year Month/Year	Code	Country		Month/Year Month/Year	Code	Country
#1	6/94 To 6/94	1	Israel	#3	10/93 To 10/93	1	Japan
#2	12/93 To 12/93	2	Mexico	#4	10/93 To 10/93	1	China

16 YOUR MILITARY HISTORY

		Yes	No
a.	Have you served in the United States military?	X	
	Have you served in the United States Merchant Marine?		X

- If your answer to both questions is "No," **GO TO QUESTION 17.**
- If your answer to either question is "Yes," **GO TO b.**

b. Starting with the most current (#1) and working backward, enter information for **all** periods of active service into the table below.
- Mark "O" block for Officer or "E" block for Enlisted.
- In the "Code" block, use one of these **codes:**

1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard

	Month/Year Month/Year	Code	Service/Certificate #	O	E	Status (Mark "X" in appropriate blocks - use State Code for National Guard)					
						None	Active Duty	Active Reserve	National Guard (show State)	Inactive Reserve	Retired
#1	10/54 To 10/56	1	A02209096	X			X				
#2	To										
#3	To										
#4	To										

Enter your Social Security Number before going to the next page → 579-46-1264

17 YOUR RELATIVES

Give full names and enter the correct code for all relatives, living or dead; specified below:

1 - Mother *(first)* 4 - Stepfather 7 - Stepchild 10 - Stepbrother 13 - Half-sister 16 - Guardian
2 - Father *(second)* 5 - Foster parent 8 - Brother 11 - Stepsister 14 - Father-in-law
3 - Stepmother 6 - Child *(adopted also)* 9 - Sister 12 - Half-brother 15 - Mother-in-law

Full Name *(if deceased, check box on the left before entering name)*	Code	Date of Birth Month/Day/Year	Country of Birth	Country of Citizenship	Current Street Address and City *(country)* of Living Relatives	State
[X] Mary Kathel Carnahan	1	6/5/1895	Fremont, MO U.S.A.	U.S.A.	N/A	b6 b7C
[X] Albert Sidney Johnson Carnahan	2	1/9/1897	Ellsinore, MO U.S.A.	U.S.A.	N/A	
[]						
[]						
[]						
[]						
[]						
[X] Reginald A. Carpenter	14	8/8/07	Culpepper, VA U.S.A.	U.S.A.	N/A	
[X] Alvina A. Carpenter	15	6/21/09	Fredericksburg, U.S.A. VA	U.S.A.	N/A	
[]						

18 YOUR MARITAL STATUS

Mark one of the following boxes to show your current marital status:

[] 1 - Never married *(go to question 19)*
[X] 2 - Married
[] 3 - Separated
[] 4 - Legally Separated
[] 5 - Divorced
[] 6 - Widowed

Current Spouse Complete the following about your current spouse.

Other Names Used *(Specify maiden name, names by other marriages, etc., and show dates used for each name)*
nee: [redacted] - maiden name - [redacted]

Country of Citizenship	Date Married	Place Married *(Include country if outside the U.S.)*	State
U.S.A.	6/12/54	Washington,	D C

If Separated, Date of Separation *(Mo./Day/Yr.)*	If Legally Separated, Where is the Record Located? City *(Country)*	State

Address of Current Spouse *(Street, city, and country if outside the U.S.)* — State — ZIP Code

Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name	Date of Birth	Place of Birth *(Include country if outside the U.S.)*	State
Country of Citizenship	Date Married	Place Married *(Include country if outside the U.S.)*	State
Check One, Then Give Date [] Divorced [] Widowed	Month/Day/Year	If Divorced, Where is the Record Located? City *(Country)*	State

Address of Former Spouse *(Street, city, and country if outside the U.S.)* — State — ZIP Code

19 PERSONS LIVING WITH YOU

Does the citizen of another country, or a United States citizen by other than birth, live at your residence? If **"Yes,"** provide the information required below. If a United States citizen by other than birth lives with you, show both **"United States"** and prior country of citizenship below. Don't list your spouse or other relatives you provided in question 17.

Yes	No
	X

Name of Person	Country of Citizenship	Relationship

This concludes Part 1 of this form. If you have [redacted] Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right: →

Enter your Social Security Number before going to the next page → 517-46-1264

Standard Form 86
Revised December 1990
U.S.Office of Personnel Management
FPM Chapter 732

QUESTIONNAIRE FOR SENSITIVE POSITIONS (For National Security)

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

Part 2

20 YOUR SELECTIVE SERVICE RECORD

	Yes	No
a. Are you a male born after December 31, 1959? If **"No,"** go to **21.** If **"Yes,"** go to **b.**		X
b. Have you registered with the Selective Service System? If **"Yes,"** provide your registration number. If **"No,"** show the reason for your legal exemption below.		

Registration Number | Legal Exemption Explanation

21 YOUR MILITARY RECORD

	Yes	No
a. Have you ever received other than an honorable discharge from the military? If **"Yes,"** provide: **Date of Discharge** ***(Month and Year)*****:** **Type of Discharge:**		X
b. Have you ever been subject to court-martial or other disciplinary proceedings under the Uniform Code of Military Justice? If **"Yes,"** list **any** disciplinary proceedings in the last 15 years and **all** courts-martial. (Include non-judicial and Captain's mast, etc.)		X

Month/Year	Charge or Specification / Action Taken	Place *(City and county/country if outside the United States)*	State

22 YOUR EMPLOYMENT RECORD

Has any of the following happened to you in **the last 15 years**? If **"Yes,"** begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.

Yes	No
	X

Use the following codes and explain the reason your employment was ended:

1 - Fired from a job
2 - Quit a job after being told you'd be fired
3 - Left a job by mutual agreement following allegations of misconduct
4 - Left a job by mutual agreement following allegations of unsatisfactory performance
5 - Left a job for other reasons under unfavorable circumstances

Month/Year	Code	Specify Reason	Employer's Name and Address	State	ZIP Code

23 YOUR POLICE RECORD *(Do not include anything that happened before your 16th birthday.)*

	Yes	No
a. Have you ever been charged with or convicted of any felony offense?		X
b. Have you ever been charged with or convicted of a firearms or explosives offense?		X
c. Are there currently any charges pending against you for any criminal offense?		X
d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?		X
e. In the last 5 years, have you been arrested for, charged with, or convicted for any offense(s) not listed in response to a, b, c, or d above? *(Leave out traffic fines of less than $100.)*		X

If you answered **"Yes"** to a, b, c, d, or e above, explain your answer(s) in the space provided.

Month/Year	Offense	Action Taken	Law Enforcement Authority or Court *(City and county/country if outside the U.S.)*	State	ZIP Code

24 YOUR MEDICAL RECORD

	Yes	No
a. Have you experienced problems on or off the job because of any emotional or mental condition?		X
b. Have you ever seen a health care professional for any of the types of problems mentioned above?		X

If you answered "Yes" to questions **a or b**, explain below.

Month/Year Month/Year	Explanation
To	
To	

Enter your Social Security Number before going to the next page → 579-46-1264

25 ILLEGAL DRUGS AND ALCOHOL

	Yes	No
a. In the last 5 years, have you used, possessed, supplied, or manufactured any illegal drugs? When used without a prescription, illegal drugs include marijuana, cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). ***(NOTE: The information you provide in response to this question will not be provided for use in any criminal proceedings against you.)***		X
b. Have you experienced problems (disciplinary actions, evictions, formal complaints, etc.) on or off a job from your use of illegal drugs or alcohol?		X

If you answered "Yes" to question **a** or **b** above, provide information relating to the types of substance(s), the nature of the activity, and any other details relating to your involvement with illegal drugs or alcohol. Include any treatment or counseling received.

Month/Year Month/Year	Type of Substance	Explanation
To		
To		
To		

26 YOUR INVESTIGATIONS RECORD

	Yes	No
a. Has the United States Government ever investigated your background? If **"Yes,"** use the codes that follow to provide the requested information below. If **"Yes,"** but you can't recall the investigating agency and/or the security clearance received, enter **"Other"** agency code or clearance code, as appropriate, and **"Don't know"** or **"Don't recall"** under the **"Other Agency"** heading, below. If your response is **"No,"** or you don't know or can't recall if you were investigated and cleared, check the **"No"** box.	X	

Codes for Investigating Agency
1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other *(Specify)*

Codes for Security Clearance Received
0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q-Sensitive
6 - Q-Nonsensitive
7 - L
8 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
10/54	1		3				

	Yes	No
b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If **"Yes,"** give date of action and agency.		X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

	Yes	No
a. In the last 5 years, have you, or a company over which you exercised some control, filed for bankruptcy, been declared bankrupt, been subject to a tax lien, or had legal judgment rendered against you for a debt? If you answered **"Yes,"** provide date of initial action and other information requested below.		X

Month/Year	Type of Action	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

	Yes	No
b. Are you now over 180 days delinquent on any loan or financial obligation? Include loans or obligations funded or guaranteed by the Federal Government. *(If an SF 171, Application for Federal Employment, will be attached, you do not need to repeat Federal Government delinquencies. See the instructions headed, "How is the SF 171 used with this form?")*		X

If you answered **"Yes,"** provide the information requested below:

Month/Year	Type of Loan or Obligation and Account #	Name/Address of Creditor or Obligee	State	ZIP Code

Enter your Social Security Num[illegible] before going to the next page → 519-46-12614

28 YOUR ASSOCIATION RECORD	Yes	No
a. In the last 15 years, have you [redacted]n officer or a member or made a contribution to an o[redacted]ation dedicated to the violent overthrow of the United [redacted] Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?		X
b. In the last 15 years, have you knowingly engaged in any acts or activities designed to overthrow the United States Government by force? If you answered "Yes" to a or b, explain in the space below:		X

Continuation Space

Use the continuation sheet(s) *(SF 86A)* for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions and any information you would like to add. If more space is needed than what is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the question.

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10. If you attach an SF 171, Application for Federal Employment, make sure that it is updated and that any information added to the SF 171 is initialed and dated.

Certification That My Answers Are True

I read each question asked of me and understood each question. My statements on this form, and any attachments to this form, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both.

Signature *(Sign in ink)* [redacted] Date 11-9-94

Enter your Social Security Number before going to the next page → 579-46-1264

Standard Form 86
Revised December 1990
U.S.Office of Personnel Management
FPM Chapter 732

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may be contacted for such a release at a later date.

I Further Authorize the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature *(Sign in ink)*	Full Name *(Type or Print Legibly)*	Date Signed
Mel Carnahan	Melvin Eugene Carnahan	11-9-94

Other Names Used	Social Security Number
	519-46-1214

Current Address *(Street, City)*	State	ZIP Code	Home Telephone Number *(Include Area Code)*
100 Madison Street, Jefferson City	MO	65101	(314) 751-4141

Melvin Eugene Carn[redacted] -- 579-46-1264

15 -- Foreign Countries You Have Visited -- Continued

	Code	Country
7/93 to 7/93	2	Italy
3/93 to 3/93	1	Mexico
3/92 to 3/92	2	Bahamas
11/91 to 11/91	1	Korea
11/89 to 11/89	1	Israel
6/89 to 6/89	1	Germany
6/89 to 6/89	1	Poland
6/89 to 6/89	1	Hungary
6/89 to 6/89	1	U.S.S.R.
5/89 to 5/89	1	Taiwan
5/89 to 5/89	2	Hong Kong
5/89 to 5/89	2	Maccaa
3/87 to 3/87	2	England
3/87 to 3/87	2	Scotland
3/87 to 3/87	2	Ireland
6/86 to 6/86	2	Germany
6/86 to 6/86	2	Luxenbourg
6/86 to 6/86	2	France
6/86 to 6/86	2	Switzerland
6/86 to 6/86	2	Austria
7/83 to 7/83	1	China
7/83 to 7/83	1	U.S.S.R.
3/81 to 3/81	2	England

SUPPLEMENT TO STANDARD FORM 86 (SF-86)

(Attach additional pages if necessary)

1S. Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. In addition, please provide the names of any other organizations with which you were affiliated prior to the past five years that might present a potential conflict or appearance of conflict of interest with your prospective appointment. (Please note that in the case of an attorney's client listing, it is only necessary to provide the names of major clients and those that might present a potential conflict or appearance of conflict of interest with the prospective appointment).

Rozark Farms, Inc. -- Attorney

2S. Please list all your interests in real property, other than a personal residence, setting forth the nature of your interest, the type of property and the address.

One-half owner of law office building at 5th & Main Streets, Rolla, Missouri, with [redacted] b6 b7C

3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county or municipal law, regulation or ordinance? If so, please provide full details.

No.

4S. Have you or any firm, company or other entity with which you have been associated ever been the subject of Federal, state or local investigation for possible violation of a criminal statute? If so, please give full details.

No.

5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness or party in interest? If so, please give full details identifying dates, issues litigated and the location where the civil action is recorded.

A number of lawsuits are filed against me as Governor, and a number were filed against me as State Treasurer.

A number of years ago I sued three or four clients and recovered judgments for fees owed to me.

1613-HQ-1087906-1

ENCLOSURE

6S. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

Never cited or disciplined, but a complaint was lodged against me to the Missouri Bar by a former client.

7S. Have you ever run for political office, served on a political committee or been identified in a public way with a particular organization, candidate or issue? Have any complaints been lodged against you or your political committee with the Federal Election Commission or state or local election authorities? If so, please describe.

Yes. No complaints to my knowledge.

8S. Are you currently, or have you ever been, a member or office holder in any club or organization that restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, provide the name, address and dates of membership for each.

Missouri Athletic Club, 4th & Washington Streets, St. Louis, MO.

Formerly restricted membership. Policy has been changed for several years now.

9S. Please identify any adults (18 years or older) currently living with you who are not members of your immediate family. Provide the names of those individuals, dates and places of birth, and whether or not they are United States citizens.

N/A

10S. Is there anything in your personal life that could be used by someone to coerce or blackmail you? Is there anything in your life that could cause an embarrassment to you or to the President if publicly known? If so, please provide full details.

N/A

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated 11-9-94 and a false statement on this form is punishable by law.

Mel Carnahan

Signature

b6
b7C

NCIC CHECK - ROOM 1328 TL153, ATTN: [redacted] WANTED & CCH FILES

FROM: SPECIAL INQUIRY U[redacted] DIV 6, RM 4371, TL # 114
EXT: 2586 ATTN: PSS [redacted]

SUBJECT: MELVIN EUGENE CARNAHAN BUDED: 11/18/94
AKA(S): CARNAHAN, MEL

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: MELVIN EUGENE CARNAHAN
DOB: 02/11/34
POB: BIRCH TREE, MO
nes SSAN: 579-46-1264
CURRENT ADDRESS: 100 MADISON ST
JEFFERSON CITY, MO 65101
EMPLOYMENT: STATE OF MISSOURI
216 CAPITOL BLDG * JEFFERSON CITY, MO 65

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
nes			

CHECK CONDUCTED BY: [redacted], ON 11/18/94

CC DESTROYED

(8-20-96)

393

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b7C

THE WHITE HOUSE
WASHINGTON

Date June 29, 1

To: Federal Bureau of Investigation
Attn: ☐ EADSU (Room 4965) XX SIGBIU (Room 4371)

From: The White House
☐ EOP Security Office
XX White House Counsel's Office

PES:
RECEIVED: 6-29-99
ASSIGNED: 6-30
OPENED:
BUDED: 7-22
RCD: 8-4

Subject's full name: Melvin Eugene Carnahan

Other names used (including birth, prior married, and nicknames): Mel Carnahan

Social Security Number 579-46-1264 Date of birth 2/11/34 Place of birth Birch Tree, Missouri

Permanent address (also current residence, if different): 13750 County Road 4030, Rolla, Missouri 65401
100 Madison, Jefferson City, MO 65101

Current employer(s): State of Missouri

SUBJECT'S CONSENT: I hereby authorize the FBI to provide the information specified below to the White House.

Mel Carnahan Jan 29 1999
(Subject's Signature) (Date)

Request of FBI (Use of this form to request information developed by the FBI or contained in FBI files requires the subject's consent. Exceptions will only be permitted as authorized by the Attorney General/Deputy Attorney General.)

☐ Name check (EADSU) ☐ Copy of previous report (EADSU)
☐ Expanded name check (SIGBIU)
XX ☐ Full field investigation (SIGBIU) ☐ Level 1 XX ☐ Level 2 ☐ Level 3
☐ 5-year reinvestigation (SIGBIU) ☐ Level 2 ☐ Level 3
☐ Limited update investigation (SIGBIU)
☐ Other (specify)

The applicant is being considered for:
☐ Presidential appointment XX Position requiring Senate confirmation
☐ White House staff position
☐ Access: ☐ Detailee/other government employee ☐ Contractor ☐ Intern ☐ Volunteer
☐ Presidential recognition
☐ Other (specify) 161B-HQ-1087906-2

Attachments: ☒ SF-86 ☒ SF-86 Supplement ☒ SF-87 Fingerprint Card (to follow)

Remarks/
special instructions:

I certify, subject to 18 U.S.C. § 1001, that the above is sought for official purposes only and I understand that obtaining this information under false pretenses or any unauthorized disclosure may be a violation of the Privacy Act, 5 U.S.C. § 552a.

Requested by: (Signature)

This request has been reviewed and approved by the White House Counsel's Office.

Approved by: Signature (White House Counsel's Office)

REQUEST REVIEWED AND CLEARED FOR RESPONSE BY FBI OGC
6/30/99
INITIAL DATE

1 - Original - To FBI
2 - Canary - To FBI (Return to White House)
3 - Pink - To FBI (Office of the General Counsel)
4 - Gold - White House Origination Copy

OPM REQUESTED DATE 6/30/99

161B-HQ-1087906

161B

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 1

Investigating Agency Use Only | Codes | Case Number

Agency Use Only (Complete items A through P using instructions provided by the investigating agency).

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action (Month Day Year)

G Geographic Location	H Position Code	I Position Title

J SON

K Location of Official Personnel Folder — None / NPRC / At SON — Other Address — ZIP Code

L SOI

M Location of Security Folder — None / At SOI / NPI — Other Address — ZIP Code

N OPAC-ALC Number

O Accounting Data and/or Agency Case Number

P Requesting Official — Name and Title — Signature — Telephone Number () — Date

Persons completing this form should begin with the questions below.

1 FULL NAME • If you have only initials in your name, use them and state (IO). • If you have no middle name, enter "NMN." • If you are a "Jr.," "Sr.," "II," etc., enter this in the box after your middle name.

2 DATE OF BIRTH

Last Name	First Name	Middle Name	Jr., II, etc.	Month	Day	Year
Carnahan	Melvin	Eugene		2	11	34

3 PLACE OF BIRTH • Use the two letter code for the State.

City	County	State	Country *(if not in the United States)*
Birch Tree	Shannon	MO	USA

4 SOCIAL SECURITY NUMBER

579-46-1264

5 OTHER NAMES USED

Give other names you used and the period of time you used them (*for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s]*). If the other name is your **maiden name**, put **"nee"** in front of it.

	Name	Month/Year To Month/Year		Name	Month/Year To Month/Year
#1	Mel Carnahan	1/62 To Present	#3		To
#2		To	#4		To

6 OTHER IDENTIFYING INFORMATION

Height *(feet and inches)*	Weight *(pounds)*	Hair Color	Eye Color	Sex *(mark one box)*
5 feet 11 inch.	175	Light Brown	Blue	Female [] Male [X]

7 TELEPHONE NUMBERS

Work *(include Area Code and extension)*	Home *(include Area Code)*
() Day 573 751-3222	() Day 573 751-4141
() Night (573) 751-4141	() Night (573) 751-4141

8 CITIZENSHIP

a Mark the box at the right that reflects your current citizenship status, and follow its instructions.

[X]	I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession. →	Answer Items **b and d**
[]	I am a U.S. citizen, but I was NOT born in the U.S. →	Answer Items **b, c, and d**
[]	I am not a U.S. citizen. →	Answer Items **b and e**

b Your Mother's Maiden Name: Schupp

c UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate *(Where were you naturalized?)*

Court	City	State	Certificate Number	Month/Day/Year Issued

Citizenship Certificate *(Where was the certificate issued?)*

City	State	Certificate Number	Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed. — Month/Day/Year: | Explanation:

U.S. Passport

This may be either a current or previous U.S. Passport. — Passport Number: | Month/Day/Year Issued:

d DUAL CITIZENSHIP If you are *(or were)* a dual citizen of the United States and another country, provide the name of that country in the space to the right. — Country: Not Applicable

e ALIEN If you are an alien, provide the following information: V15-HW-108 1906-2

Place You Entered the United States:	City	State	Date You Entered U.S. Month Day Year	Alien Registration Number	Country(ies) of Citizenship

9 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

	Month/Year To Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
#1	1/93 To Present	100 Madison		Jefferson City	MO	65101
Name of Person Who Knows You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number
#2	2/74 To 1/93	Route 1, Box 216, now known as 13750 County Road 4030		Rolla	MO	65401
Name of Person Who Knew You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number
#3	To					
Name of Person Who Knew You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()
#4	To					
Name of Person Who Knew You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()
#5	To					
Name of Person Who Knew You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()

b6
b7C

10 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working back 7 years.** List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:

 1 - High School 2 - College/University/Military College 3 - Vocational/Technical/Trade School

- For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.
- For correspondence schools and extension classes, provide the address where the records are maintained.

	Month/Year To Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded	
#1	9/56 To 6/59	2	University of Missouri School of Law	LLB (later J.D.)	6/59	
Street Address and City (Country) of School				State	ZIP Code	
9th & Conley, Columbia				MO	65201	
N						
#2	6/51 To 6/54	2	George Washington University	B.A.	6/54	
Street Address and City (Country) of School				State	ZIP Code	
19th & G Streets, Washington				DC		
Name of Person Who Knew You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number
#3	To					
Street Address and City (Country) of School				State	ZIP Code	
Name of Person Who Knew You		Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()

Enter your Social Security Number before going to the next page → 579-46-1264

11 YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. **EXCEPTION:** Show all Federal civilian service, whether it occurred within the last 7 years or not.

- **Code.** Use one of the codes listed below to identify the type of employment:
 - 1 - Active military duty stations
 - 2 - National Guard/Reserve
 - 3 - U.S.P.H.S. Commissioned Corps
 - 4 - Other Federal employment
 - 5 - State Government (Non-Federal employment)
 - 6 - Self-employment (Include business name and/or name of person who can verify)
 - 7 - Unemployment (Include name of person who can verify)
 - 8 - Federal Contractor (List Contractor, not Federal agency)
 - 9 - Other
- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.
- **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#1 1/93	To Present	5	State of Missouri	Governor

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
216 State Capitol	Jefferson City	MO	65101	(573) 751-3222
Street Address of Job Location (if different than Employer's Address)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
N/A				()
Supervisor's Name & Street Address (if different than Job Location)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
N/A				()

PREVIOUS PERIODS OF ACTIVITY (Block #1)

Month/Year	Month/Year	Position Title	Supervisor
1/89	To 1/93	Lieutenant Governor	N/A
1/81	To 1/85	State Treasurer	N/A
	To		

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#2 2/61	To 1/93	6	Self-Employed Attorney	Attorney

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
5th & Main Streets	Rolla	MO	65401	(573) 364-5134
Street Address of Job Location (if different than Employer's Address)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
N/A				()
Supervisor's Name & Street Address (if different than Job Location)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
None - Last Partner -	Rolla	MO	65401	(573) 364-5134 b6 b7C

PREVIOUS PERIODS OF ACTIVITY (Block #2)

Month/Year	Month/Year	Position Title	Supervisor
	To		
	To		
	To		

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#3	To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
				()
Supervisor's Name & Street Address (if different than Job Location)	**City (Country)**	**State**	**ZIP Code**	**Telephone Number**
				()

PREVIOUS PERIODS OF ACTIVITY (Block #3)

Month/Year	Month/Year	Position Title	Supervisor
	To		
	To		
	To		

Enter your Social Security Number before going to the next page → 579-46-1264

YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#4		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

PREVIOUS PERIODS OF ACTIVITY (Block #4)	Month/Year	Month/Year	Position Title	Supervisor
		To		
		To		
		To		

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#5		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

PREVIOUS PERIODS OF ACTIVITY (Block #5)	Month/Year	Month/Year	Position Title	Supervisor
		To		
		To		
		To		

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#6		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

PREVIOUS PERIODS OF ACTIVITY (Block #6)	Month/Year	Month/Year	Position Title	Supervisor
		To		
		To		
		To		

12 PEOPLE WHO KNOW YOU WELL

List **three people** who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 7 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

	Name	Dates Known Month/Year	Dates Known Month/Year	Telephone Number
#1		1959	To Present	() Day () Night
Home or Work Address	City (Country)	State	ZIP Code	

	Name	Dates Known Month/Year	Dates Known Month/Year	Telephone Number
#2		1956	To Present	() Day () Night
Home or Work Address	City (Country)	State	ZIP Code	

	Name	Dates Known Month/Year	Dates Known Month/Year	Telephone Number
#3		1981	To Present	() Day () Night
Home or Work Address	City (Country)	State	ZIP Code	

Enter your Social Security Number before going to the next page → 579-46-1264

b6
b7C

13 YOUR SPOUSE

Mark one box to show your current marital status and provide information about your spouse(s) in items a, and/or b.

b6
b7C

- [] 1 - Never married
- [X] 2 - Married
- [] 3 - Separated
- [] 4 - Legally Separated
- [] 5 - Divorced
- [] 6 - Widowed

a Current Spouse Complete the following about your current spouse only.

Full Name	Date of Birth	Place of Birth *(Include country if outside the U.S.)*	Social Security Number

Other Names Used *(Specify maiden name, names by other marriages, etc., and show dates used for each name)*: Maiden Name –

Country(ies) of Citizenship: USA

Date Married	Place Married *(Include country if outside the U.S.)*	State
6/12/54	Washington, DC	DC

If Separated, Date of Separation	If Legally Separated, Where is the Record Located? City *(Country)*	State

Address of Current Spouse, if different than your current address *(Street, city, and country if outside the U.S.)* | State | ZIP Code

b Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name	Date of Birth	Place of Birth *(Include country if outside the U.S.)*	State
Country(ies) of Citizenship	Date Married	Place Married *(Include country if outside the U.S.)*	State
Check One, Then Give Date ☐ Divorced ☐ Widowed	Month/Day/Year	If Divorced, Where is the Record Located? City *(Country)*	State

Address of Former Spouse *(Street, city, and country if outside the U.S.)* | State | ZIP Code | Telephone Number ()

14 YOUR RELATIVES AND ASSOCIATES

Give the full name, correct code, and other requested information for each of your relatives and associates, living or dead, specified below.

1 - Mother *(first)*
2 - Father *(second)*
3 - Stepmother
4 - Stepfather
5 - Foster parent
6 - Child *(adopted also)*
7 - Stepchild
8 - Brother
9 - Sister
10 - Stepbrother
11 - Stepsister
12 - Half-brother
13 - Half-sister
14 - Father-in-law
15 - Mother-in-law
16 - Guardian
17 - Other Relative*
18 - Associate*
19 - Adult Currently Living With You

* Code 17 (Other Relative)-Include only foreign national relatives not listed in 1-16 with whom you or your spouse are bound by affection, obligation, or close and continuing contact. Code 18 (Associates) - include only foreign national associates with whom you or your spouse are bound by affection, obligation, or close and continuing contact.

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country(ies) of Citizenship	Current Street Address and City *(country)* of Living Relatives	State
[X] Mary Kathel Carnahan	1	6/5/1895	USA	USA	N/A	
[X] Albert Sidney Johnson Carnahan	2	1/9/1897	USA	USA	N/A	
[X] Reginald A. Carpenter	14	8/8/07	USA	USA	N/A	
[X] Alvina A. Carpenter	15	6/21/09	USA	USA	N/A	
[]						
[]						
[]						

Enter your Social Security Number before going to the next page → 579-46-1264

15 CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line *(this information is needed to pair it accurately with information in items 13 and 14).*

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1. **Naturalization Certificate:** Provide the date issued and the location where the person was naturalized (Court, City and State).
2. **Citizenship Certificate :** Provide the date and location issued (City and State).
3. **Alien Registration:** Provide the date and place where the person entered the U.S. (City and State).
4. **Other:** Provide an explanation in the "Additional Information" block.

Association #1	Name	Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information
Association #2	Name	Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information

16 YOUR MILITARY HISTORY

	Yes	No
a Have you served in the United States military?	X	
b Have you served in the United States Merchant Marine?		X

List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and work backward. If you had a break in service, each separate period should be listed.

- **Code.** Use one of the codes listed below to identify your branch of service:
 1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard
- **O/E.** Mark "O" block for Officer or "E" block for Enlisted.
- **Status.** "X" the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an "X": use the two-letter code for the state to mark the block.
- **Country.** If your service was with other than the U.S. Armed Forces, identify the country for which you served.

Month/Year Month/Year	Code	Service/Certificate #	O	E	Status: Active	Status: Active Reserve	Status: Inactive Reserve	Status: National Guard (State)	Country
10/54 To 10/56	1	AO2209096	X		X				
To									

17 YOUR FOREIGN ACTIVITIES

	Yes	No
a Do you have any foreign property, business connections, or financial interests?		X
b Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?		X
c Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.)		X
d In the last 7 years, have you had an active passport that was issued by a foreign government?		X

If you answered "Yes" to a, b, c, or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your involvement.

Month/Year Month/Year	Firm and/or Government	Explanation
To		
To		

18 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (Travel as a dependent or contractor must be listed.)

- Use one of these codes to indicate the purpose of your visit: 1 - Business 2 - Pleasure 3 - Education 4 - Other
- Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").
- Do not repeat travel covered in items 9, 10, or 11. SEE ATTACHED

	Month/Year Month/Year	Code	Country		Month/Year Month/Year	Code	Country
#1	To			#3	To		
#2	To			#4	To		

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right: See attached for Number 18

Enter your Social Security Number before going to the next page → 579-46-1264

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 2 OFFICIAL USE ONLY

(19) YOUR MILITARY RECORD

	Yes	No
Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below.		X

Month/Year	Type of Discharge

(20) YOUR SELECTIVE SERVICE RECORD

	Yes	No
a Are you a male born after December 31, 1959? If **"No,"** go to **21.** If **"Yes,"** go to **b.**		X
b Have you registered with the Selective Service System? If **"Yes,"** provide your registration number. If **"No," show the** reason for your legal exemption below.		

Registration Number	Legal Exemption Explanation

(21) YOUR MEDICAL RECORD

	Yes	No
In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition?		X

If you answered "Yes", provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you.

Month/Year	Month/Year	Name/Address of Therapist or Doctor	State	ZIP Code
	To			
	To			

(22) YOUR EMPLOYMENT RECORD

	Yes	No
Has any of the following happened to you **in the last 7 years?** If **"Yes,"** begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.		X

Use the following codes and explain the reason your employment was ended:

1 - Fired from a job
2 - Quit a job after being told you'd be fired
3 - Left a job by mutual agreement following allegations of misconduct
4 - Left a job by mutual agreement following allegations of unsatisfactory performance
5 - Left a job for other reasons under unfavorable circumstances

Month/Year	Code	Specify Reason	Employer's Name and Address *(Include city/Country if outside U.S.)*	State	ZIP Code

(23) YOUR POLICE RECORD

	Yes	No
For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607.		X
a Have you ever been charged with or convicted of any felony offense? (Include those under Uniform Code of Military Justice)		X
b Have you ever been charged with or convicted of a firearms or explosives offense?		X
c Are there currently any charges pending against you for any criminal offense?		X
d Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?		X
e In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)		X
f In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, or e above? (Leave out traffic fines of less than $150 unless the violation was alcohol or drug related.)		X

If you answered "Yes" to a, b, c, d, e, or f above, explain below. Under "Offense," do not list specific penalty codes, list the actual offense or violation (for example, arson, theft, etc.).

Month/Year	Offense	Action Taken	Law Enforcement Authority/Court *(Include City and county/country if outside U.S.)*	State	ZIP Code

Enter your Social Security Number before going to the next page → 579-46-1264

24 YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY

	Yes	No
The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you, but neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.		
a Since the age of 16 or in the last 7 years, whichever is shorter, have you illegally used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), amphetamines, depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.), or prescription drugs?		X
b Have you ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?		X
c In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?		X

If you answered "Yes" to a or b above, provide the date(s), identify the controlled substance(s) and/or prescription drugs used, and the number of times each was used.

Month/Year To	Month/Year To	Controlled Substance/Prescription Drug Used	Number of Times Used

25 YOUR USE OF ALCOHOL

	Yes	No
In the last 7 years, has your use of alcoholic beverages (such as liquor, beer, wine) resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)?		X

If you answered "Yes", provide the dates of treatment and the name and address of the counselor or doctor below. Do not repeat information reported in response to item 21 above.

Month/Year To	Month/Year To	Name/Address of Counselor or Doctor	State	ZIP Code

26 YOUR INVESTIGATIONS RECORD

	Yes	No
a Has the United States Government ever investigated your background and/or granted you a security clearance? If **"Yes,"** use the codes that follow to provide the requested information below. If **"Yes,"** but you can't recall the investigating agency and/or the security clearance received, enter **"Other"** agency code or clearance code, as appropriate, and **"Don't know"** or **"Don't recall"** under the **"Other Agency"** heading, below. If your response is **"No,"** or you don't know or can't recall if you were investigated and cleared, check the **"No"** box.	X	

Codes for Investigating Agency
1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other *(Specify)*

Codes for Security Clearance Received
0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q
6 - L
7 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
10/54	1		3				

	Yes	No
b To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If **"Yes,"** give date of action and agency. **Note:** An administrative downgrade or termination of a security clearance is not a revocation.		X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

	Yes	No
a In the last 7 years, have you filed a petition under any chapter of the bankruptcy code (to include Chapter 13)?		X
b In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?		X
c In the last 7 years, have you had a lien placed against your property for failing to pay taxes or other debts?		X
d In the last 7 years, have you had any judgments against you that have not been paid?		X

If you answered **"Yes"** to a, b, c, or d, provide the information requested below:

Month/Year	Type of Action	Amount	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

Enter your Social Security Number before going to the next page → 579-46-1264

(28) YOUR FINANCIAL DELINQUENCIES

		Yes	No
a	In the last 7 years, have you been over 180 days delinquent on any debt(s)?		X
b	Are you currently over 90 days delinquent on any debt(s)?		X

If you answered **"Yes"** to a or b, provide the information requested below:

Incurred Month/Year	Satisfied Month/Year	Amount	Type of Loan or Obligation and Account Number	Name/Address of Creditor or Obligee	State	ZIP Code

(29) PUBLIC RECORD CIVIL COURT ACTIONS

		Yes	No
	In the last 7 years, have you been a party to any public record civil court actions not listed elsewhere on this form?		X

If you answered "Yes," provide the information about the public record civil court action requested below.

Month/Year	Nature of Action	Result of Action	Name of Parties Involved	Court *(Include City and county/country if outside U.S.)*	State	ZIP Code

(30) YOUR ASSOCIATION RECORD

		Yes	No
a	Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?		X
b	Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?		X

If you answered "Yes" to a or b, explain in the space below.

Continuation Space

Use the continuation sheet(s) (SF 86A) for additional answers to items 9, 10, and 11. Use the space below to continue answers to all other items and any information you would like to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the item.

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10.

Certification That My Answers Are True

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Signature *(Sign in ink)* Mel Carnahan — Date June 24, 1999

Enter your Social Security Number before going to the next page → 579-46-1264

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in, a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature *(Sign in ink)*	Full Name *(Type or Print Legibly)*		Date Signed
Mel Carnahan	Melvin Eugene Carnahan		6-24-99
Other Names Used			**Social Security Number**
Mel Carnahan			579-46-1264
Current Address *(Street, City)*	**State**	**ZIP Code**	**Home Telephone Number *(Include Area Code)***
100 Madison, Jefferson City	MO	65101	(573) 751-4141

Melvin E. Carnahan
579-46-1264

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

18. Foreign Countries You Have Visited

Month/year	Code	Country
March, 1990	1	England
November, 1991	1	Korea
March, 1993	1	Mexico
October, 1993	1	China
December, 1993	2	Mexico
June, 1994	1	Israel
September, 1994	1	Canada
June, 1995	1	Korea
July, 1995	1	Chile, Argentina, Mexico
July, 1996	1	England
December, 1996	2	Costa Rica
July, 1997	1	Philippines, Thailand, Malaysia, Singapore
November, 1997	1	Czech Republic, Hungary, Greece
July, 1998	1	Chile, Argentina, Brazil
October, 1998	1	England, France
October, 1998	2	Spain
January, 1999	1	Greece

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, **I hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgement or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature *(Sign in ink)*	Full Name *(Type or Print Legibly)*		Date Signed
Mel Carnahan	Melvin Eugene Carnahan		June 24, 1999
Other Names Used			Social Security Number
Mel Carnahan			579-46-1264
Current Address *(Street, City)*	State	ZIP Code	Home Telephone Number *(Include Area Code)*
100 Madison, Jefferson City	MO	65101	(573) 751-3222

THE WHITE HOUSE

WASHINGTON

PRIVACY ACT PROTECTED INFORMATION
(When Completed)

Disclosure and Authorization
Pertaining to Consumer Reports
Pursuant to the Fair Credit Reporting Act

This is a release for the Federal Bureau of Investigation and/or the Office of Counsel to the President, acting on the President's behalf, to obtain one or more consumer/credit reports about you in connection with consideration of your appointment to a position within the Executive Branch, or in the course of your employment with the Federal Government. One or more reports about you may be obtained for employment purposes, including evaluating your fitness for employment, promotion, reassignment, retention, or access to classified information.

I, Melvin Eugene Carnahan, hereby authorize the Federal Bureau of Investigation and/or the Office of Counsel to the President, acting on the President's behalf, to obtain such reports from any consumer/credit reporting agency for employment purposes.

Mel Carnahan
Signature

June 24, 1999
Date

579-46-1264
Social Security Number

Oct. 97

SUPPLEMENT TO STANDARD FORM 86 (SF-86)
(Attach additional pages if necessary)

1S. Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. In addition, please provide the names of any other organizations with which you were affiliated prior to the past five years that might present a potential conflict or appearance of conflict of interest with your prospective appointment. (Please note that in the case of an attorney's client listing, it is only necessary to provide the names of major clients and those that might present a potential conflict or appearance of conflict of interest with the prospective appointment).

Rozark Farms, Inc. - Attorney

2S. Please list all your interests in real property, other than a personal residence, setting forth the nature of your interest, the type of property and the address.

One-Half owner of law office building at 5th and Main Streets, Rolla, Missouri, with [redacted] b6 b7C

3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county or municipal law, regulation or ordinance? If so, please provide full details.

No

4S. Have you or any firm, company or other entity with which you have been associated ever been the subject of Federal, state or local investigation for possible violation of a criminal statute? If so, please give full details.

No

5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness or party in interest? If so, please give full details identifying dates, issues litigated and the location where the civil action is recorded.

No

HQ1B-HQ-1087906-2

6S. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

Never cited or disciplined, but a complaint was lodged against me to the Missouri Bar by a former client.

7S. Have you ever run for political office, served on a political committee or been identified in a public way with a particular organization, candidate or issue? Have any complaints been lodged against you or your political committee with the Federal Election Commission or state or local election authorities? If so, please describe.

Yes

No complaints to my knowledge.

8S. Are you currently, or have you ever been, a member or office holder in any club or organization that restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, provide the name, address and dates of membership for each.

Missouri Athletic Club, 4th and Washington Streets, St. Louis, MO.

Formerly restricted membership -- policy has been changed for several years now.

9S. Please identify any adults (18 years or older) currently living with you who are not members of your immediate family. Provide the names of those individuals, dates and places of birth, and whether or not they are United States citizens.

N/A

10S. Is there anything in your personal life that could be used by someone to coerce or blackmail you? Is there anything in your life that could cause an embarrassment to you or to the President if publicly known? If so, please provide full details.

N/A

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated June 24, 1999 and a false statement on this form is punishable by law.

Mel Carnahan

Signature

FD-263 (Rev. 4-30-85)

85499

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WFO	BUREAU	7/23/99	7/2/1999 - 7/16/1999

TITLE OF CASE
MELVIN EUGENE CARNAHAN
AKA: MEL CARNAHAN

REPORT MADE BY: PSS [redacted] TYPED BY: pbr

CHARACTER OF CASE
LEVEL II - PAS

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REFERENCE: BUREAU EC dated 7/1/1999.

(RUC)

ADMINISTRATIVE: BUDED 7/27/1999.

All individuals were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality, both limited and unlimited, have not been granted.

WFO indices contained no information regarding the appointee. On 7/27/99, PSS [redacted] was requested to recheck indices sheet. She advised they found no information on candidate. pbr 7/30

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
	EC/PAS	

COPIES MADE:
2 - BUREAU (161B-HO-1087906)
PSS [redacted] EXT 2586
1 - WFO

Budded 7.27.99

Purge 6 yrs dated 7/23/99 ACS

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

3/24

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

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Report of: PSS [redacted] Office: WFO
Date: July 23, 1999

Case ID #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN
AKA: MEL CARNAHAN

Character: LEVEL II - PAS

Synopsis: White House Office was checked, record was reviewed. United States Department of Justice, Public Integrity Section was checked, no record was located. Federal Election Commission was checked, record was reviewed. United States Secret Service was checked, no record was found.

- RUC -

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

WFO 161B-HQ-1087906
PSD:psd
1

RECORD CHECK

Office of the Executive Clerk
Office of Administration
Executive Office of the President
Room 5
Old Executive Office Building,
Washington, D.C.

The following investigation was conducted by Investigative Analyst (IA) [redacted] on July 16, 1999 regarding the appointee, MELVIN EUGENE CARNAHAN.

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A search of the index cards and the computer data base records of the Executive Clerk's Office of the White House indicated that MELVIN EUGENE CARNAHAN was nominated on August 10, 1995 to be a Member of the Board of Trustees of the Harry S. Truman Scholarship Foundation for a term expiring December 10, 1999.

WFO 161B-HQ-1087906
PSD:psd
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RECORD CHECK

U.S. DEPARTMENT OF JUSTICE
Public Integrity Section
Criminal Division
1400 New York Avenue, N.W.
Washington, D.C.

On July 8, 1999, Investigative Analyst (IA) [redacted] caused a search to be made of the files of the U.S. Department of Justice (DOJ), Criminal Division, Public Integrity Section, Washington, D.C., concerning MELVIN EUGENE CARNAHAN. b6 b7C

On July 8, 1999, [redacted] Case Management Technician, Public Integrity Section, DOJ, advised that there was no record regarding the name MELVIN EUGENE CARNAHAN.

WFO 161B-HQ-1087906
VJM:vjm
1

RECORD CHECK

FEDERAL ELECTION COMMISSION (FEC)
999 E Street, Northwest
Washington, D. C.

On July 2, 1999, Investigative Analyst (IA) [redacted] received and initiated an inquiry, with the above captioned agency, concerning, MELVIN EUGENE CARNAHAN alias: MEL CARNAHAN.

On July 8, 1999 [redacted] Liaison, Office of the General Counsel, advised IA [redacted] that the appointee's Gubernatorial Campaign Committee was named as a complainant to Matter Under Review (MUR) 3541, and the following information was obtained.

FEC opened MUR 3541 on May 15, 1992, based on a complaint filed by CARNAHAN FOR GOVERNOR CAMPAIGN COMMITTEE, against nine (9) respondents who violated the Federal Election Commission Act (FECA) in accepting contributions from a foreign national or nationals. The nine respondents were affiliated with the opponent of CARNAHAN, namely, CITIZENS FOR SCHOOEMEHL; [redacted] [redacted] TIPPINS DEVELOPMENT and [redacted] [redacted] advised IA [redacted] that the respondents paid a fine of $24,000.00, combined and the above MUR 3541 was closed on 11/2/94. [redacted] further advised that neither the candidate nor his campaign committee have appeared as a respondent in any law enforcement action conducted by FEC nor named as a defendant in any court case pursued by FEC.

WFO 161B-HQ-1087906
LAB:lab
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RECORD CHECK

UNITED STATES SECRET SERVICE (USSS)
Investigative Support Division (ISD)
1800 G Street, Northwest
Washington, D.C.

[redacted] On July 13, 1999, Investigative Analyst (IA) [redacted] caused a search to be made of the files of the United States Secret Service (USSS), Department of Treasury, Washington, D.C., concerning MELVIN EUGENE CARNAHAN. b6 b7C

On July 16, 1999, [redacted] contacted IA [redacted] and advised that no record could be located regarding the above mentioned individual.

Memorandum

[redacted]

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To : WFO FILE 161B-HQ-1087906 Date 7/23/99

From : PSS [redacted]

Subject: RECORDS CHECKS

Attached are records checks which are to be made part of the above listed file. These checks were conducted during the background investigation of MELVIN EUGENE CARNAHAN.

3/12H

REQUEST FOR INDICES SEARCH

BUDED 7-20-99

DATE SENT TO INDICES 7-2-99

APPOINTEE Melvin Eugene Carnahan

CASE NUMBER 116B-HQ-1087906

NUMBER OF NAMES TO BE SEARCHED 2

EXPEDITE

SEARCH and REVIEW all names that are underlined

DO NOT REVIEW 241's, 161's, 116's;77's and 67's.

	DATE SEARCHED	SEARCHED BY	NEG/POS
ELECTRONIC	7-6-99		POS
GENERAL	7-6-99		Neg
CFR			

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FORWARD TO FILE REVIEW ANY POSITIVE HITS.

FILES WERE REVIEWED ON _____ BY _____

CFR FILES WERE REVIEWED ON _____ BY _____

RETURN RESULTS TO SQUAD A-1
PSS

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SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____
JUL 6 1999
FBI - WASHINGTON FIELD

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
88-111

Part 1

Investigating Agency Use Only | Codes | Case Number

Agency Use Only (Complete items A through P using instructions provided by the investigating agency).

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action	Month Day Year
G Geographic Location	H Position Code	I Position Title				
J SON	K Location of Official Personnel Folder: None / NPRC / At SON	Other Address				ZIP Code
L SOI	M Location of Security Folder: None / At SOI / NPI	Other Address				ZIP Code
N OPAC-ALC Number	O Accounting Data and/or Agency Case Number					
P Requesting Official	Name and Title	Signature	Telephone Number ()	Date		

Persons completing this form should begin with the questions below.

1 FULL NAME • If you have only initials in your name, use them and state (IO). • If you have no middle name, enter "NMN." • If you are a "Jr." "Sr." "II," etc., enter this in the box after your middle name.

2 DATE OF BIRTH

Last Name	First Name	Middle Name	Jr., II, etc.	Month	Day	Year
Carnahan Ogen	Melvin	Eugene		2	11	34 52

3 PLACE OF BIRTH • Use the two letter code for the State. Prior BI

City	County	State	Country (if not in the United States)
Birch Tree	Shannon	MO	USA

4 SOCIAL SECURITY NUMBER 579-46-1264

5 OTHER NAMES USED

Give other names you used and the period of time you used them (*for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s]*). If the other name is your maiden name, put "nee" in front of it.

	Name	Month/Year	Month/Year
#1	Mel Carnahan Ogen	1/62	To Present
#2			To
#3			To
#4			To

6 OTHER IDENTIFYING INFORMATION

Height (feet and inches)	Weight (pounds)	Hair Color	Eye Color	Sex (mark one box)
5 feet 11 inch.	175	Light Brown	Blue	☐ Female ☒ Male

7 TELEPHONE NUMBERS

	Work (include Area Code and extension)	Home (include Area Code)
() Day	(573) 751-3222	(573) 751-4141
() Night	(573) 751-4141	(573) 751-4141

8 CITIZENSHIP Mark the box at the right that reflects your current citizenship status, and follow its instructions.

X	I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession.	Answer items b and d
	I am a U.S. citizen, but I was NOT born in the U.S.	Answer items b, c, and d
	I am not a U.S. citizen.	Answer items b and e

b Your Mother's Maiden Name Schupp

c UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate *(Where were you naturalized?)*

Court	City	State	Certificate Number	Month/Day/Year Issued

Citizenship Certificate *(Where was the certificate issued?)*

City	State	Certificate Number	Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued

d DUAL CITIZENSHIP If you are *(or were)* a dual citizen of the United States and another country, provide the name of that country in the space to the right. Country: Not Applicable

e ALIEN If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month Day Year	Alien Registration Number	Country(ies) of Citizenship

9 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

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For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

USA/Arrest/Indices

	Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
#1	1/93	To Present	100 Madison (KC)		Jefferson City (KC)	MO	65101
Name of Person Who Knows You			Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number
(KC)							
#2	2/74	To 1/93	Route 1, Box 216, now known as 13750 County Road 4030 ~~Prior BI~~		Rolla	MO	65401
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number
#3		To					
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()
#4		To					
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()
#5		To					
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code / Telephone Number ()

10 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working back 7 years. List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:

 1 - High School 2 - College/University/Military College 3 - Vocational/Technical/Trade School

- For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.
- For correspondence schools and extension classes, provide the address where the records are maintained.

	Month/Year	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
#1	9/56	To 6/59	2	University of Missouri School of Law ~~Prior BI~~	LLB (later J.D.)	6/59
Street Address and City (Country) of School					State	ZIP Code
9th & Conley, Columbia					MO	65201
Name of Person Who Knew You						
#2	6/51	To 6/54	2	George Washington University	B.A.	6/54
Street Address and City (Country) of School					State	ZIP Code
19th & G Streets, Washington					DC	
Name of Person Who Knew You						
#3		To				
Street Address and City (Country) of School					State	ZIP Code
Name of Person Who Knew You			Street Address	Apt.#	City (Country) / State / ZIP Code	Telephone Number ()

Enter your Social Security Number before going to the next page → 579-46-1264

11 YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. EXCEPTION: Show all Federal civilian service, whether it occurred within the last 7 years or not.

- **Code.** Use one of the codes listed below to identify the type of employment:
 - 1 - Active military duty stations
 - 2 - National Guard/Reserve
 - 3 - U.S.P.H.S. Commissioned Corps
 - 4 - Other Federal employment
 - 5 - State Government (Non-Federal employment)
 - 6 - Self-employment (Include business name and/or name of person who can verify)
 - 7 - Unemployment (Include name of person who can verify)
 - 8 - Federal Contractor (List Contractor, not Federal agency)
 - 9 - Other
- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.
- **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#1	1/93	To Present	5	State of Missouri (KC)	Governor

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
216 State Capitol	Jefferson City (KC)	MO	65101	(573) 751-3222
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
N/A				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
N/A				()

Previous Periods of Activity (Block #1)	Month/Year	Month/Year	Position Title	Supervisor
	1/89	To 1/93	Lieutenant Governor (KC)	N/A
	1/81	To 1/85	State Treasurer (KC)	N/A
		To		Supervisor Review any kind of personnel/records

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#2	2/61	To 1/93	6	Self-Employed Attorney	Attorney

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
5th & Main Streets	Rolla	MO	65401	(573) 364-5134
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
N/A				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
None - Last Partner -	Rolla	MO	65401	(573) 364-5134

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Previous Periods of Activity (Block #2)	Month/Year	Month/Year	Position Title	Supervisor
		To	PRIOR BI	
		To		
		To		

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#3		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

Previous Periods of Activity (Block #3)	Month/Year	Month/Year	Position Title	Supervisor
		To		
		To		
		To		

Enter your Social Security Number before going to the next page → 579-46-1264

YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#4		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

PREVIOUS PERIODS OF ACTIVITY (Block #4)	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#5		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

PREVIOUS PERIODS OF ACTIVITY (Block #5)	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		

	Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#6		To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				()
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				()
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				()

PREVIOUS PERIODS OF ACTIVITY (Block #6)	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		

12 PEOPLE WHO KNOW YOU WELL

List three people who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 7 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

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#1	SL		
Home or Work Address			
#2	KC		
Home			
#3	KC		
Home			

13 YOUR SPOUSE

Mark one box to show your current marital status and provide information about your spouse(s) in items a, and/or b.

[] 1 - Never married [] 3 - Separated [] 5 - Divorced
[X] 2 - Married [] 4 - Legally Separated [] 6 - Widowed

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a Current Spouse Complete the following about your current spouse only.

Other Names Used (Specify maiden name, names by other marriages, etc., and show dates used for each name)		Country(ies) of Citizenship
Maiden Name		USA

Date Married	Place Married (Include country if outside the U.S.)	State
6/12/54	Washington, DC (KC)	DC
If Separated, Date of Separation	If Legally Separated, Where is the Record Located? City (Country) Indices only!	State

Address of Current Spouse, if different than your current address (Street, city, and country if outside the U.S.)	State	ZIP Code

b Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	State
Country(ies) of Citizenship	**Date Married**	**Place Married (Include country if outside the U.S.)**	**State**
Check One, Then Give Date [] Divorced [] Widowed	**Month/Day/Year**	**If Divorced, Where is the Record Located? City (Country)**	**State**

Address of Former Spouse (Street, city, and country if outside the U.S.)	State	ZIP Code	Telephone Number
			()

14 YOUR RELATIVES AND ASSOCIATES

Give the full name, correct code, and other requested information for each of your relatives and associates, living or dead, specified below.

1 - Mother (first) 5 - Foster parent 9 - Sister 13 - Half-sister 17 - Other Relative*
2 - Father (second) 6 - Child (adopted also) 10 - Stepbrother 14 - Father-in-law 18 - Associate*
3 - Stepmother 7 - Stepchild 11 - Stepsister 15 - Mother-in-law 19 - Adult Currently Living With You
4 - Stepfather 8 - Brother 12 - Half-brother 16 - Guardian

* Code 17 (Other Relative)-Include only foreign national relatives not listed in 1-16 with whom you or your spouse are bound by affection, obligation, or close and continuing contact. Code 18 (Associates) - Include only foreign national associates with whom you or your spouse are bound by affection, obligation, or close and continuing contact.

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country(ies) of Citizenship	Current Street Address and City (country) of Living Relatives	State
[X] Mary Kathel Carnahan	1	6/5/1895	USA	USA	N/A	
[X] Albert Sidney Johnson Carnahan	2	1/9/1897	USA	USA	N/A	
[redacted]				SL SL SL SL SL		
[X] Reginald A. Carpenter	14	8/8/07	USA	USA	N/A	
[X] Alvina A. Carpenter	15	6/21/09	USA	USA	N/A	
[]					Indices only!	
[]						
[]						

15 CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line *(this information is needed to pair it accurately with information in items 13 and 14)*.

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1. **Naturalization Certificate:** Provide the date issued and the location where the person was naturalized (Court, City and State).
2. **Citizenship Certificate :** Provide the date and location issued (City and State).
3. **Alien Registration:** Provide the date and place where the person entered the U.S. (City and State).
4. **Other:** Provide an explanation in the "Additional Information" block.

Association #1	Name		Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information	
Association #2	Name		Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information	

16 YOUR MILITARY HISTORY

	Yes	No
a Have you served in the United States military?	X	
b Have you served in the United States Merchant Marine?		X

List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and work backward. If you had a break in service, each separate period should be listed.

- **Code.** Use one of the codes listed below to identify your branch of service:
 1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard
- O/E. Mark "O" block for Officer or "E" block for Enlisted.
- Status. "X" the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an "X"; use the two-letter code for the state to mark the block.
- Country. If your service was with other than the U.S. Armed Forces, identify the country for which you served.

Prior Bill

Month/Year	Month/Year	Code	Service/Certificate #	O	E	Status: Active	Active Reserve	Inactive Reserve	National Guard (State)	Country
10/54	To 10/56	1	A02209096	X		X				
	To									

17 YOUR FOREIGN ACTIVITIES

	Yes	No
a Do you have any foreign property, business connections, or financial interests?		X
b Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?		X
c Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.)		X
d In the last 7 years, have you had an active passport that was issued by a foreign government?		X

If you answered "Yes" to a, b, c, or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your involvement.

Month/Year	Month/Year	Firm and/or Government	Explanation
	To		
	To		

18 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (Travel as a dependent or contractor must be listed.)

- Use one of these codes to indicate the purpose of your visit: 1 - Business 2 - Pleasure 3 - Education 4 - Other
- Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").
- Do not repeat travel covered in items 9, 10, or 11. SEE ATTACHED

	Month/Year	Month/Year	Code	Country		Month/Year	Month/Year	Code	Country
#1		To			#3		To		
#2		To			#4		To		

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right: See attached for Number 18

Enter your Social Security Number before going to the next page → 579-46-1264

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 2

OFFICIAL USE ONLY

	Yes	No
19 YOUR MILITARY RECORD Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below.		X

Month/Year	Type of Discharge

	Yes	No
20 YOUR SELECTIVE SERVICE RECORD		
a Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b.		X
b Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.		

Registration Number	Legal Exemption Explanation

	Yes	No
21 YOUR MEDICAL RECORD In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition?		X

If you answered "Yes", provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you.

Month/Year	Month/Year	Name/Address of Therapist or Doctor	State	ZIP Code
	To			
	To			

	Yes	No
22 YOUR EMPLOYMENT RECORD Has any of the following happened to you in the last 7 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.		X

Use the following codes and explain the reason your employment was ended:

1 - Fired from a job
2 - Quit a job after being told you'd be fired
3 - Left a job by mutual agreement following allegations of misconduct
4 - Left a job by mutual agreement following allegations of unsatisfactory performance
5 - Left a job for other reasons under unfavorable circumstances

Month/Year	Code	Specify Reason	Employer's Name and Address *(Include city/Country if outside U.S.)*	State	ZIP Code

	Yes	No
23 YOUR POLICE RECORD For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607.		X
a Have you ever been charged with or convicted of any felony offense? (Include those under Uniform Code of Military Justice)		X
b Have you ever been charged with or convicted of a firearms or explosives offense?		X
c Are there currently any charges pending against you for any criminal offense?		X
d Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?		X
e In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)		X
f In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, or e above? (Leave out traffic fines of less than $150 unless the violation was alcohol or drug related.)		X

If you answered "Yes" to a, b, c, d, e, or f above, explain below. Under "Offense," do not list specific penalty codes, list the actual offense or violation (for example, arson, theft, etc.).

Month/Year	Offense	Action Taken	Law Enforcement Authority/Court *(Include City and county/country if outside U.S.)*	State	ZIP Code

Enter your Social Security Number before going to the next page → 579-46-1264

24 YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY

	Yes	No
The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully; and your failure to do so could be grounds for an adverse employment decision or action against you, but neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.		
a Since the age of 16 or in the last 7 years, whichever is shorter, have you illegally used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), amphetamines, depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.), or prescription drugs?		X
b Have you ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?		X
c In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?		X

If you answered "Yes" to a or b above, provide the date(s), identify the controlled substance(s) and/or prescription drugs used, and the number of times each was used.

Month/Year To Month/Year	Controlled Substance/Prescription Drug Used	Number of Times Used
To		
To		

25 YOUR USE OF ALCOHOL

	Yes	No
In the last 7 years, has your use of alcoholic beverages (such as liquor, beer, wine) resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)?		X

If you answered "Yes", provide the dates of treatment and the name and address of the counselor or doctor below. Do not repeat information reported in response to item 21 above.

Month/Year To Month/Year	Name/Address of Counselor or Doctor	State	ZIP Code
To			
To			

26 YOUR INVESTIGATIONS RECORD

	Yes	No
a Has the United States Government ever investigated your background and/or granted you a security clearance? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.	X	

Codes for Investigating Agency
1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other (Specify)

Codes for Security Clearance Received
0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q
6 - L
7 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
10/54	1		3				

	Yes	No
b To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency. Note: An administrative downgrade or termination of a security clearance is not a revocation.		X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

	Yes	No
a In the last 7 years, have you filed a petition under any chapter of the bankruptcy code (to include Chapter 13)?		X
b In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?		X
c In the last 7 years, have you had a lien placed against your property for failing to pay taxes or other debts?		X
d In the last 7 years, have you had any judgments against you that have not been paid?		X

If you answered "Yes" to a, b, c, or d, provide the information requested below:

Month/Year	Type of Action	Amount	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

Enter your Social Security Number before going to the next page → 579-46-1264

28 YOUR FINANCIAL DELINQUENCIES

	Yes	No
a In the last 7 years, have you been over 180 days delinquent on any debt(s)?		X
b Are you currently over 90 days delinquent on any debt(s)?		X

If you answered "Yes" to a or b, provide the information requested below:

Incurred Month/Year	Satisfied Month/Year	Amount	Type of Loan or Obligation and Account Number	Name/Address of Creditor or Obligee	State	ZIP Code

29 PUBLIC RECORD CIVIL COURT ACTIONS

	Yes	No
In the last 7 years, have you been a party to any public record civil court actions not listed elsewhere on this form?		X

If you answered "Yes," provide the information about the public record civil court action requested below.

Month/Year	Nature of Action	Result of Action	Name of Parties Involved	Court *(Include City and county/country if outside U.S.)*	State	ZIP Code

30 YOUR ASSOCIATION RECORD

	Yes	No
a Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?		X
b Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?		X

If you answered "Yes" to a or b, explain in the space below.

Continuation Space

Use the continuation sheet(s) (SF 86A) for additional answers to items 9, 10, and 11. Use the space below to continue answers to all other items and any information you would like to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the item.

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10.

Certification That My Answers Are True

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Signature *(Sign in ink)* Mel Carnahan Date June 24, 1999

Enter your Social Security Number before going to the next page → 579-46-1264

Melvin E. Carnahan
579-46-1264

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

18. Foreign Countries You Have Visited

Month/year	Code	Country
March, 1990	1	England
November, 1991	1	Korea
March, 1993	1	Mexico
October, 1993	1	China
December, 1993	2	Mexico
June, 1994	1	Israel
September, 1994	1	Canada
June, 1995	1	Korea
July, 1995	1	Chile, Argentina, Mexico
July, 1996	1	England
December, 1996	2	Costa Rica
July, 1997	1	Philippines, Thailand, Malaysia, Singapore
November, 1997	1	Czech Republic, Hungary, Greece
July, 1998	1	Chile, Argentina, Brazil
October, 1998	1	England, France
October, 1998	2	Spain
January, 1999	1	Greece

SUPPLEMENT TO STANDARD FORM 86 (SF-86)
(Attach additional pages if necessary)

1S. Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. In addition, please provide the names of any other organizations with which you were affiliated prior to the past five years that might present a potential conflict or appearance of conflict of interest with your prospective appointment. (Please note that in the case of an attorney's client listing, it is only necessary to provide the names of major clients and those that might present a potential conflict or appearance of conflict of interest with the prospective appointment).

Rozark Farms, Inc. - Attorney

(KC) Refer to EC

2S. Please list all your interests in real property, other than a personal residence, setting forth the nature of your interest, the type of property and the address.

One-Half owner of law office building at 5th and Main Streets, Rolla, Missouri, with [redacted]

b6
b7C

3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county or municipal law, regulation or ordinance? If so, please provide full details.

No

4S. Have you or any firm, company or other entity with which you have been associated ever been the subject of Federal, state or local investigation for possible violation of a criminal statute? If so, please give full details.

No

5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness or party in interest? If so, please give full details identifying dates, issues litigated and the location where the civil action is recorded.

No (K) Refer to EC

6S. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

Never cited or disciplined, but a complaint was lodged against me to the Missouri Bar by a former client.

(KC) Refer to EC

7S. Have you ever run for political office, served on a political committee or been identified in a public way with a particular organization, candidate or issue? Have any complaints been lodged against you or your political committee with the Federal Election Commission or state or local election authorities? If so, please describe.

Yes

No complaints to my knowledge.

(KC) Check State Election Board (WFO) Check FEC

8S. Are you currently, or have you ever been, a member or office holder in any club or organization that restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, provide the name, address and dates of membership for each.

Missouri Athletic Club, 4th and Washington Streets, St. Louis, MO.

Formerly restricted membership -- policy has been changed for several years now. (KC) Refer to EC

9S. Please identify any adults (18 years or older) currently living with you who are not members of your immediate family. Provide the names of those individuals, dates and places of birth, and whether or not they are United States citizens.

N/A

10S. Is there anything in your personal life that could be used by someone to coerce or blackmail you? Is there anything in your life that could cause an embarrassment to you or to the President if publicly known? If so, please provide full details.

N/A

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated June 24, 1999 and a false statement on this form is punishable by law.

Mel Carnahan

Signature

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in, a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature *(Sign in Ink)*	Full Name *(Type or Print Legibly)*		Date Signed
Mel Carnahan	Melvin Eugene Carnahan		6-24-99
Other Names Used			Social Security Number
Mel Carnahan			579-46-1264
Current Address *(Street, City)*	State	ZIP Code	Home Telephone Number *(Include Area Code)*
100 Madison, Jefferson City	MO	65101	(573) 751-4141

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, **I hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgement or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature *(Sign in ink)*	Full Name *(Type or Print Legibly)*		Date Signed
Mel Carnahan	Melvin Eugene Carnahan		June 24, 1999
Other Names Used			Social Security Number
Mel Carnahan			579-46-1264
Current Address *(Street, City)*	State	ZIP Code	Home Telephone Number *(Include Area Code)*
100 Madison, Jefferson City	MO	65101	(573) 751-3222

THE WHITE HOUSE

WASHINGTON

PRIVACY ACT PROTECTED INFORMATION
(When Completed)

Disclosure and Authorization
Pertaining to Consumer Reports
Pursuant to the Fair Credit Reporting Act

This is a release for the Federal Bureau of Investigation and/or the Office of Counsel to the President, acting on the President's behalf, to obtain one or more consumer/credit reports about you in connection with consideration of your appointment to a position within the Executive Branch, or in the course of your employment with the Federal Government. One or more reports about you may be obtained for employment purposes, including evaluating your fitness for employment, promotion, reassignment, retention, or access to classified information.

I, Melvin Eugene Carnahan, hereby authorize the Federal Bureau of Investigation and/or the Office of Counsel to the President, acting on the President's behalf, to obtain such reports from any consumer/credit reporting agency for employment purposes.

Mel Carnahan
Signature

June 24, 1999
Date

579-46-1264
Social Security Number

Oct. 97

Aliases exist
07/06/99 View Full Response UNI040M2
15:33:34 Page 1 of 3
Press desired program function key or Enter.

A Name : CARNAHAN, MEL
DDN :
True : CARNAHAN, MELVIN, EUGENE
Name No . . . : 3 Rec No : 1 True Name No : 1 True Rec No : 1
Main/Ref . . : M Race . : W Sex. : M
Case ID . . . : 161B-HQ-1087906
Character . . : SPI SPIN
Old Case ID . :
Serial . . . :
Document Date :
Event Date. . :
DOB : 02/11/1934
POB : BIRCH TREE MO
ID Info . . . : SOC 579-46-1264 *
Locality. . . : MO DC ENG KORE MEX CHIN ISR CAN CHIL ARG FOR US

"No need to Review",

Command . . > +
F1=Help F3=Exit F4=Prompt F6=Multv F11=NextPg F12=Cancel F13=ViewSerial
F14=AddAKA F15=ViewAKA F21=ViewCase

Aliases exist
07/06/99 View Full Response UNI040M2
15:33:59 Page 1 of 3
Press desired program function key or Enter.

Name : CARNAHAN, MELVIN, EUGENE
DDN :

Name No . . . : 1 Rec No : 1
Main/Ref . . : M Race . : W Sex. : M
Case ID . . . : 161B-HQ-1087906
Character . . : SPI SPIN
Old Case ID . :
Serial . . . :
Document Date :
Event Date. . :
DOB : 02/11/1934
POB : BIRCH TREE MO
ID Info . . . : SOC 579-46-1264 *
Locality. . . : MO DC ENG KORE MEX CHIN ISR CAN CHIL ARG FOR US

"No need to Review"

Command . . > +
F1=Help F3=Exit F4=Prompt F6=Multv F11=NextPg F12=Cancel F13=ViewSerial
F14=AddAKA F15=ViewAKA F21=ViewCase

Aliases exist
07/06/99 View Full Response UNI040M2
15:33:53 Page 1 of 3
Press desired program function key or Enter.

A Name : CARNAHAN, MELVIN, E
DDN :
True : CARNAHAN, MELVIN, EUGENE
Name No . . . : 1 Rec No : 1 True Name No : 1 True Rec No : 1
Main/Ref . . : M Race . : W Sex. : M
Case ID . . . : 161B-HQ-1087906
Character . . : SPI SPIN
Old Case ID . :
Serial . . . :
Document Date :
Event Date. . :
DOB : 02/11/1934
POB : BIRCH TREE MO
ID Info . . . : SOC 579-46-1264 *
Locality. . . : MO DC ENG KORE MEX CHIN ISR CAN CHIL ARG FOR US

"No need to Review"

Command . . > +
F1=Help F3=Exit F4=Prompt F6=Multv F11=NextPg F12=Cancel F13=ViewSerial
F14=AddAKA F15=ViewAKA F21=ViewCase

```
 SSSS  PPPP   EEEE   CCCC  IIIII      A      L
S      P   P  E     C        I       A A     L
SSSS   PPPP   EE    C        I      AAAAA    L
    S  P      E     C        I     A     A   L
SSSS   P      EEEE   CCCC  IIIII  A       A  LLLLL
```

SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 06/30/1999 BUDED: 07/02/1999

X NAME SEARCHING UNIT, NEWINGTON ANNEX
X FORWARD TO PICKETT STREET
X FORWARD TO FILE REVIEW : RM 5931
X RETURN TO: [redacted] ROOM 4371, EXT.

b6
b7C

SCOPE OF SEARCH: UNRESTRICTED ACTIVE/INACTIVE (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

FR UTD ,

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: CARNAHAN,MELVIN,EUGENE *
AKA: CARNAHAN,MEL,
DOB: 02/11/1934
POB: BIRCH TREE,MO *
SSAN: 579-46-1264
LOCALITIES: MO

RELATIVES: FR UTD ,

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
	NR		

b6
b7C

3/12H

** 06/30/99 NAME CHECK Run 1 Page 1
** 11:06:49 Searcher # 0074
**
** Subject:
** Search: CARNAHAN, MELVIN, EUGENE
** Agency: WHITE HOUSE/SPECIAL INQUIRY
**
** Return to..: [redacted] 2586
Supervisor Room Ext
**
** File Review: R# _____ PROD _____ UTD _____
** Search DOB : 02-11-1934 Search SOC:
** Rules.....: SP,N,A,N,Y,N,A,N,N

b6
b7C

CARNAHAN, MELVIN, EUGENE

Entry date: 11/16/1994
(I) 161B-HQ-1087906 current/pur BI

CARNAHAN, MELVIN, E

True Name: CARNAHAN, MELVIN, EUGENE "
Entry date: 11/16/1994
(I) 161B-HQ-1087906

Carnahan, Mel. PSS [redacted] Searched UNI re "Mel" and found only field office files 1 rel ea to reports (CC+SL) + current investigation

b6
b7C

No 194 files located concerning candidate. Searched via UNI by PSS [redacted] 7/29/99

```
 SSSS  PPPP   EEEE   CCCC  IIIII      A      L
S      P   P  E     C        I       A A     L
SSSS   PPPP   EE    C        I      AAAAA    L
    S  P      E     C        I     A     A   L
SSSS   P      EEEE   CCCC  IIIII  A       A  LLLLL
```

SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 06/30/1999 BUDED: 07/02/1999

X SERVICE UNIT, ROOM 7361

X RETURN TO: [redacted] ROOM 4371, EXT. b6 b7C

SCOPE OF SEARCH: SECURE DATA INFORMATION SYSTEM

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

FR UTD 12.94

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

Please return by C.O.B 6.3.99 thanks

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: NR CARNAHAN,MELVIN,EUGENE *
AKA: CARNAHAN,MEL,
DOB: 02/11/1934
POB: BIRCH TREE,MO *
SSAN: 579-46-1264
LOCALITIES: MO

Presidential

RELATIVES: FR UTD 12.94

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
	NR		

b6 b7C

3/RH

IDENT CHECK ROOM 11262B TUBE J1, ATTN: [redacted]

b6
b7C

FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, DIV 3, RM
EXT: ATTN: PSS [redacted]

SUBJECT: MELVIN EUGENE CARNAHAN BUDED: 07/02/1999

BUREAU FILE NUMBER: 161B-*

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, RM 4371 VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:
RESULT NAME: MELVIN EUGENE CARNAHAN
AKA: MEL CARNAHAN
DOB: 02/11/1934
POB: BIRCH TREE, MO
SSAN: 579-46-1264
CURRENT ADDRESS: 100 MADISON *
JEFFERSON CITY, MO 65101
EMPLOYMENT: STATE OF MISSOURI
216 STATE CAPITOL * JEFFERSON CITY, MO 6

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE

CHECK CONDUCTED BY: [redacted], ON 6-30 99

3/12H

NCIC CHECK ROOM 132[redacted]TL153, ATTN: [redacted]
WANTED & CCH FILES

b6
b7C

FROM:
EXT: ATTN: PSS [redacted]

SUBJECT: MELVIN EUGENE CARNAHAN nc BUDED: 07/27/1999
AKA(S): CARNAHAN, MEL nr

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE RM
VIA ROUTING SLIP
MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:
RESULT NAME: MELVIN EUGENE CARNAHAN nc
DOB: 02/11/1934
POB: BIRCH TREE, MO
SSAN: 579-46-1264
CURRENT ADDRESS: 100 MADISON *
JEFFERSON CITY, MO 65101
EMPLOYMENT: STATE OF MISSOURI
216 STATE CAPITOL * JEFFERSON CITY, MO 6

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
nr			
nr			
nr			
nr			
nr			
nr			
nr			

3/RH

CIVIL APPLICANT RESPONSE

PCN 991689469706 CIDN OCA
CARNAHAN,MELVIN EUGENE W 511 1934/02/11
MNU SOC 579 46 1264 SEX M
FPC PM AA AA 05 06 10 AA AA 09 15
HEN CLASS 5 M 1 AA I 6
S 17 AA I
USCIC0002Z OFF/COUNSEL/PRSDNT PRT REC
WASHINGTON US 1999/07/19
A SEARCH OF THE FINGERPRINTS ON THE ABOVE
INDIVIDUAL HAS FAILED TO DISCLOSE PRIOR ARREST DATA.
CJIS DIVISION
1999/07/20 FEDERAL BUREAU OF INVESTIGATION

USCIC0002Z
OFF COUNSEL TO PRESIDENT
THE WHITE HOUSE
OLD EXEC BLDG-RM 136
1600 PENNSYLVANIA AVE NW
WASHINGTON, DC 20500-0001

NCIC CHECK (CONT.)

CHECK CONDUCTED BY: [redacted], ON 6:30

b6
b7C

QW.DCFBIWAA6.NAM/CARNAHAN,MELVIN EUGENE.SEX/M.DOB/021134.SOC/579461264

WED JUN 30 1999 11:03:08
1L0154540 [redacted]
DCFBIWAA6
NO NCIC WANT SOC/579461264
NO NCIC WANT DOB/19340211 NAM/CARNAHAN,MELVIN EUGENE SEX/M
QW.DCFBIWAA6.NAM/CARNAHAN,MEL.SEX/M.DOB/021134.SOC/579461264

WED JUN 30 1999 11:03:27
1L0154540 [redacted]
DCFBIWAA6
NO NCIC WANT SOC/579461264
NO NCIC WANT DOB/19340211 NAM/CARNAHAN,MEL SEX/M
QW.DCFBIWAA6.NAM/[redacted]

[redacted]

b6
b7C

Presidential

DCII CHECK REQUEST FORM

** SUBJECT IDENTIFICATION **

PLEASE RETURN TO PSS [redacted] ROOM 4371. b6 b7C

SUBJECT: CARNAHAN, MELVIN, EUGENE N/R

DOB: 1934/02/11

SSAN: 579-46-1264

OTHER-NAMES: CARNAHAN, MEL (AKA) N/R

CHECK CONDUCTED BY: SR ON: 7-2

3/RH

Presidential

FEDERAL BUREAU OF INVESTIGATION
REQUEST FOR CREDIT CHECK JUN 30 9 56 AM '99

DATE: 06/30/1999

TO: CREDIT REPORT OFFICE
FBIHQ, ROOM 4356

FROM: _ FACILITY SECURITY UNIT, NSD
ATTN: _____ ROOM _____ EXT. _____

_ PERSONNEL SECURITY UNIT, NSD
ATTN: _____ ROOM _____ EXT. _____

_ INDUSTRIAL SECURITY UNIT, NSD
ATTN: _____ ROOM _____ EXT. _____

_ BUREAU APPLICANT EMPLOYMENT UNIT, ASD
ATTN: _____ ROOM _____ EXT. _____

_ SPECIAL INQUIRY AND GENERAL BACKGROUND UNIT, NSD
ATTN: [redacted] ROOM HB 71 EXT. 2586

b6
b7C

RESPONSE CRITERIA

_ 5 WORK DAYS _ 3 WORK DAYS ✓ 24 HOURS

SUBJECT'S NAME	CARNAHAN		MELVIN	EUGENE
	LAST	SUFFIX	FIRST	MIDDLE

OTHER NAMES USED (INCLUDE MAIDEN NAME) CARNAHAN,MEL

DATE OF BIRTH (DOB): 02/11/1934

SOCIAL SECURITY ACCOUNT NUMBER: 579-46-1264

SUBJECT'S CURRENT ADDRESS OR LAST KNOWN U.S. ADDRESS:

100	MADISON *	JEFFERSON CITY
NUMBER	STREET	CITY
MO		65101
STATE		ZIP CODE

ENCLOSURE

FBI/DOJ

3/12+

********* EQUIFAX ACROFILE PLUS CREDIT REPORT W/ON-LINE DIRECTORY (DF) *********
* BEARAK REPORTS / FBI CONTRACTING UNIT *
* *
********************** Dept: SPIN / Queued by: SUPERVISOR **********************

DATE:06-30-1999 TIME:12:36:46 SUBJECT ID:Look

NM-CARNAHAN,MELVIN,EUGENE,,.
CA-100,MADISON,,JEFFERSON CITY,MO,65101.
ID-SSS-579-46-1264,BDS-02/11/34.

* 345 EQUIFAX CREDIT INFORMATION SERVICES, P O BOX 740241,
 1150 LAKE HEARN DRIVE STE 460,ATLANTA,GA,30374-0241,800/685-1111

*CARNAHAN,MELVIN,E SINCE 07/00/79 FAD 11/18/98 FN-713
 13750,COUNTY,RD 4030,ROLLA,MO,65401,TAPE RPTD 05/98
 POB 698,,,ROLLA,MO,65402,TAPE RPTD 04/98
 PO,BOX 698,,ROLLA,MO,65402,TAPE RPTD 01/97
 BDS-02/11/1934,SSS-579-46-1264
01 ES-GOVERNOR,STATE OF MISSOURI
02 EF-SELF,ATTORNEY,ROLLA,MO

*SUM-07/63-06/99,PR/OI-NO,COLL-NO,FB-NO, ACCTS:12,HC$0-12000, 11-ONES, 1-OTHER.

FIRM / IDENT CODE ECOA/ACCOUNT NUMBER	CS	RPTD OPND	LIMIT P/DUE	HICR TERM	BAL $	DLA MR (30-60-90+)MAX/DEL 24 MONTH HISTORY
FAMOUS BAR*154DC12	R1	06/99	---	1308	0	05/99 99
J/		01/65	---	---		
LORD & TAY*906DC151	R1	06/99	---	1703	0	05/97 65
I/		12/93	---	---		
CHARGE						
DILLARD *906DC755	R1	05/99	5000	---	456	01/99 64
I/		06/67	---	46		
CREDIT CARD						
UNVL BK NA*217ON333	R1	05/99	12000	---	0	18
J/		11/97	---	---		
CREDIT CARD						
NATBNKCARD*416BB1228	R1	05/99	---	0	0	03/96 60
J/		09/89	---	---		
ACCOUNT CLOSED BY CONSUMER						
CREDIT CARD						
COMMERCE *901BB29714	R1	04/99	---	0	0	08/97 12
U/		07/97	---	---		
PAID ACCOUNT/ZERO BALANCE						
CLOSED ACCOUNT						
COMMERCE *901BB29714	R1	04/99	11000	---	1850	04/99 32
J/		08/88	---	64		
CREDIT CARD						
PHELPS CNT*901BB42922	R1	03/99	---	500	0	01/93 99
J/		11/87	---	---		
LINE OF CREDIT						

MBGA BANKS	*404CG557	R1	11/97	---	800	0	11/97	34
I/			01/95	---	---			
ANB CC	*161BB5264	R	08/96	---	5000	0	09/94	12 (00-00-00)
I/			12/93	---	---			
PAID ACCOUNT/ZERO BALANCE								
CLOSED ACCOUNT								
SEARS	*906DC29	R1	01/93	3996	---	0	01/93	47
I/			07/63	---	---			
REVOLVING TOTALS				31996	9311	2306		
				---	110			
AMEX	*906ON259	O1	05/99	---	55	55	05/99	01
I/			05/78	---	---			
OPEN TOTALS				---	55	55		
				---	---			
GRAND TOTALS				31996	9366	2361		
				---	110			

*INQS-

* MEMBER #	COMP. NAME	TELEPHONE	* MEMBER #	COMP. NAME	TELEPHONE
154DC12	FAMOUS BAR	314-4443111	906DC151	LORD & TAY	800-6540520
601 OLIVE STREET			424 5TH AVENUE		
ST LOUIS	MO	63101-1767	NEW YORK	NY	10018-2771
906DC755	DILLARD	800-6438278	217ON333	UNVL BK NA	904-9548788
P.O. BOX 8027			8787 BAYPINES		
LITTLE ROCK	AR	72203	JACKSONVILLE	FL	32201
416BB1228	NATBNKCARD	800-7596262	901BB29714	COMMERCE	800-6452103
P.O. BOX 7047			7101 MERCY RD, SUITE 300		
DOVER	DE	19903	OMAHA	NE	68106-2600
901BB42922	PHELPS CNT	573-3645202	404CG557	MBGA BANKS	800-2887654
8TH & PINE			P O BOX 103000		
ROLLA	MO	65401-0000	ROSWELL	GA	30076
161BB5264	ANB CC	800-5335600	906DC29	SEARS	MAIL ONLY
P.O. BOX 15687			P.O.BOX 660101		
WILMINGTON	DE	19850	DALLAS	TX	75266-0101
906ON259	AMEX	954-5033787			
PO BOX 7871					
FT. LAUDERDALE	FL	33329-7871			

&

END OF REPORT EQUIFAX AND AFFILIATES - 06/30/99

*** END OF REPORT ID: 06-30-1999/12:36:46 (ORN: 1428) [B-0/F-0/P-0/O-0] ***

********** TRANS UNION CONSUMER CREDIT REPORT WITH LOOK **********
* BEARAK REPORTS / FBI CONTRACTING UNIT *
* *
********** Dept: SPIN / Queued by: SUPERVISOR **********

DATE:07-01-1999 TIME:11:23:37 SUBJECT ID:Look

ARPT0001000Look 14BTZ Y I09N AN00 L

TRANS UNION PEER REPORT FOR:
US DEPT OF JUSTICE
Z BT0004252 BUREAU: 07 SL

USER REF: LOOK
DATE REPORT PRINTED: 07/01/1999
CENTRAL STANDARD TIME: 11:24
IN OUR FILES SINCE: 04/1961

SUBJECT NAME:
CARNAHAN, MELVIN E.

SOCIAL SECURITY NUMBER: 579-46-1264
PHONE: 364-4267

CURRENT ADDRESS REPORTED 05/1995:
13750 COUNTY RD 4030, ROLLA MO. 65401

FORMER ADDRESSES REPORTED 03/1995:
698 POB 698, ROLLA MO. 65402
1 RR 1 POB 216, ROLLA MO. 65401

EMPLOYMENT DATA REPORTED:

ATTORNEY
POSITION: SELF EMPLOYED
DATE REPORTED: 10/1976

CREDIT INFORMATION

SPECIAL MESSAGES:

TRANS-ALERT: CURRENT INPUT ADDRESS DOES NOT MATCH FILE ADDRESS(ES)

THE FOLLOWING CREDIT SUMMARY REPRESENTS THE SUBJECT'S TOTAL FILE HISTORY

PUBLIC RECORDS:	0	CURRENT NEGATIVE ACCTS:	0	REVOLVING ACCTS:	15
COLLECTIONS:	0	PREVIOUS NEGATIVE ACCTS:	0	INSTALLMENT ACCTS:	0
TRADE ACCTS:	16	PREVIOUS TIMES NEGATIVE:	0	MORTGAGE ACCTS:	0
CREDIT INQUIRIES:	0	EMPLOYMENT INQUIRIES:	1	OPEN ACCTS:	1

	HIGH CRED	CRED LIMIT	BALANCE	PAST DUE	MNTHLY	AVAIL
REVOLVING:	$29.4K	$47.9K	$2777	$0	$143	94%
OPEN:	$55	$	$55	$0	$	0%
TOTALS:	$29.5K	$47.9K	$2832	$0	$143	

THE FOLLOWING ACCOUNT INFORMATION IS PRINTED IN ORDER BY MOST NEGATIVE MANNER OF PAYMENT (MOP) AND DATE MOST RECENTLY UPDATED.

LORD&TAYLOR D 1643002 REVOLVING ACCOUNT
VERIF'D 06/1999 BALANCE: $0 INDIVIDUAL ACCOUNT
OPENED 12/1993 MOST OWED: $1703
PAID OFF 05/1997
STATUS AS OF 05/1997: PAID OR PAYING AS AGREED

IN PRIOR 42 MONTHS FROM DATE PAID NEVER LATE

CONTACT SUBSCRIBER: LORD & TAYLOR PH#:
PO BOX 8077 LORAIN, OH 44055

FAMOUS BARR D 4073003 REVOLVING ACCOUNT
VERIF'D 05/1999 BALANCE: $570 JOINT ACCOUNT
OPENED 01/1965 MOST OWED: $1308 PAY TERMS: MINIMUM $75
STATUS AS OF 05/1999: PAID OR PAYING AS AGREED
IN PRIOR 48 MONTHS FROM DATE VERIF'D NEVER LATE

CONTACT SUBSCRIBER: FAMOUS BARR PH#:
P.O. BOX 60454 PHOENIX, AZ 85082

DILLARDS D 4456015 REVOLVING ACCOUNT
CREDIT CARD
VERIF'D 05/1999 BALANCE: $456 INDIVIDUAL ACCOUNT
OPENED 06/1967 MOST OWED: $1105 PAY TERMS: MINIMUM $46
CREDIT LIMIT: $5000
STATUS AS OF 05/1999: PAID OR PAYING AS AGREED
IN PRIOR 48 MONTHS FROM DATE VERIF'D NEVER LATE

CONTACT SUBSCRIBER: DILLARDS PH#: (800) 643-8278
POB BOX 52005 PHOENIX, AZ 85072

AMEX N 656N001 OPEN ACCOUNT
CREDIT CARD
VERIF'D 05/1999 BALANCE: $55 INDIVIDUAL ACCOUNT
OPENED 05/1978 MOST OWED: $55
STATUS AS OF 05/1999: PAID OR PAYING AS AGREED
IN PRIOR 48 MONTHS FROM DATE VERIF'D NEVER LATE

CONTACT SUBSCRIBER: AMERICAN EXPRESS PH#:
P O BOX 7871 FORT LAUDERDAL, FL 33329

NATBNKCARD B 6331059 REVOLVING ACCOUNT
ACCT CLSD BY CONSUMER CREDIT CARD
VERIF'D 05/1999 BALANCE: $0 JOINT ACCOUNT
OPENED 09/1989 MOST OWED: $1639 CREDIT LIMIT: $1500
CLOSED 04/1996
STATUS AS OF 04/1996: PAID OR PAYING AS AGREED
IN PRIOR 44 MONTHS FROM DATE CLOSED NEVER LATE

CONTACT SUBSCRIBER: NATIONSBANK N.A. PH#: (800) 274-5060
PO BOX 7028 DOVER, DE 19903

COMMERCE BK B 7170008 REVOLVING ACCOUNT
ACCT CLSD BY CONSUMER CREDIT CARD
VERIF'D 05/1999 BALANCE: $0 UNDESIGNATED ACCOUNT
OPENED 07/1997 MOST OWED: $0 CREDIT LIMIT: $5000
CLOSED 08/1997
STATUS AS OF 08/1997: PAID OR PAYING AS AGREED
IN PRIOR 12 MONTHS FROM DATE CLOSED NEVER LATE

CONTACT SUBSCRIBER: COMMERCE BANK PH#: (800) 645-2103
10840 OLD MILL RD OMAHA, NE 68154

COMMERCE BK B 7170008 REVOLVING ACCOUNT
CREDIT CARD
VERIF'D 05/1999 BALANCE: $1751 JOINT ACCOUNT

OPENED 08/1988 MOST OWED: $11000 PAY TERMS: MINIMUM $22
CREDIT LIMIT: $11000
STATUS AS OF 05/1999: PAID OR PAYING AS AGREED
IN PRIOR 33 MONTHS FROM DATE VERIF'D NEVER LATE

CONTACT SUBSCRIBER: COMMERCE BANK PH#: (800) 645-2103
10840 OLD MILL RD OMAHA, NE 68154

UNVL BK NA B 8194051 REVOLVING ACCOUNT
CREDIT CARD
VERIF'D 05/1999 BALANCE: $0 JOINT ACCOUNT
OPENED 11/1997 MOST OWED: $0 CREDIT LIMIT: $12000
PAID OFF 11/1997
STATUS AS OF 11/1997: PAID OR PAYING AS AGREED
IN PRIOR 01 MONTH FROM DATE PAID NEVER LATE

CONTACT SUBSCRIBER: UNIVERSAL BANK NA PH#: (904) 954-7500
8787 BAY PINE RD JACKSONVILLE, FL 32256

PHELPS CO BK B 79DU003 REVOLVING ACCOUNT
LINE OF CREDIT
VERIF'D 03/1999 BALANCE: $0 JOINT ACCOUNT
OPENED 11/1987 MOST OWED: $500 CREDIT LIMIT: $500
PAID OFF 01/1993
STATUS AS OF 01/1993: PAID OR PAYING AS AGREED
IN PRIOR 01 MONTH FROM DATE PAID NEVER LATE

CONTACT SUBSCRIBER: PHELPS COUNTY BANK PH#: (573) 364-5202
8TH AND PINE ST ROLLA, MO 65401

MBGA/JOSABAN Q 235001N REVOLVING ACCOUNT
VERIF'D 11/1997 BALANCE: $0 INDIVIDUAL ACCOUNT
OPENED 01/1995 MOST OWED: $800 CREDIT LIMIT: $1500
PAID OFF 07/1995 BRCG4A DL2054
STATUS AS OF 07/1995: PAID OR PAYING AS AGREED
IN PRIOR 34 MONTHS FROM DATE PAID NEVER LATE

CONTACT SUBSCRIBER: JOS A BANK PH#: (800) 288-7654
POB 103000 ROSWELL, GA 30076

NATBNKCARD B 6331059 REVOLVING ACCOUNT
CLOSED CREDIT CARD
VERIF'D 04/1996 BALANCE: $0 INDIVIDUAL ACCOUNT
OPENED 12/1993 MOST OWED: $20 CREDIT LIMIT: $5000
CLOSED 02/1995
STATUS AS OF 02/1995: PAID OR PAYING AS AGREED
IN PRIOR 08 MONTHS FROM DATE CLOSED NEVER LATE

CONTACT SUBSCRIBER: NATIONSBANK N.A. PH#: (800) 274-5060
PO BOX 7028 DOVER, DE 19903

CENTRAL BK B 516D030 REVOLVING ACCOUNT
CREDIT CARD
VERIF'D 02/1995 INDIVIDUAL ACCOUNT
OPENED 09/1989 MOST OWED: $10568 CREDIT LIMIT: $9800
STATUS AS OF 02/1995: PAID OR PAYING AS AGREED
IN PRIOR 30 MONTHS FROM DATE VERIF'D NEVER LATE

CONTACT SUBSCRIBER: CENTRAL TRUST BANK PH#: (573) 634-1234
428 B MADISON ST JEFFERSON CITY, MO 65101

MERC BK-ILL B 6977002 REVOLVING ACCOUNT
CREDIT CARD
VERIF'D 11/1993 BALANCE: $0 JOINT ACCOUNT
OPENED 01/1971 MOST OWED: $1513 CREDIT LIMIT: $5400
PAID OFF 10/1989
STATUS AS OF 10/1989: PAID OR PAYING AS AGREED

CONTACT SUBSCRIBER: MERCANTILE TRUST PH#: (800) 755-4070
P.O. BOX 306, CREDIT CARD CENTE ST LOUIS, MO 63166

FINA OIL O 90HJ002 REVOLVING ACCOUNT
VERIF'D 12/1992 BALANCE: $0 INDIVIDUAL ACCOUNT
OPENED 04/1961 MOST OWED: $364 CREDIT LIMIT: $200
PAID OFF 01/1991
STATUS AS OF 01/1991: PAID OR PAYING AS AGREED

CONTACT SUBSCRIBER: FINA OIL & CHEMICAL CO PH#: (800) 334-9039
PO BOX 219034 DALLAS, TX 75221

BOYDS C 936M002 REVOLVING ACCOUNT
VERIF'D 11/1992 BALANCE: $0 INDIVIDUAL ACCOUNT
OPENED 08/1988 MOST OWED: $441 CREDIT LIMIT: $2500
PAID OFF 11/1990
STATUS AS OF 11/1990: PAID OR PAYING AS AGREED

CONTACT SUBSCRIBER: BOYDS PH#: (214) 331-1111
5510 S WESTMORELND DALLAS, TX 75237

JC PENNEY D 1972036 REVOLVING ACCOUNT
VERIF'D 04/1991 BALANCE: $0 INDIVIDUAL ACCOUNT
OPENED 07/1966 MOST OWED: $153
PAID OFF 03/1991
STATUS AS OF 03/1991: PAID OR PAYING AS AGREED

CONTACT SUBSCRIBER: JC PENNEY PH#: (800) 542-0800
POB 31 SHAWNEE MISSIO, KS 66201

THE FOLLOWING COMPANIES HAVE REQUESTED THE SUBJECT'S FILE FOR EMPLOYMENT USE:

DATE	SUBCODE	SUBSCRIBER NAME
07/01/1999	Z 4252	US DEPT OF JUSTICE 935 PENNSYLVANIA A, ROOM WASHINGTON, DC 20535

END OF PEER REPORT - SERVICED BY:

TRANS UNION CORPORATION
760 W. SPROUL ROAD, PO BOX 390
SPRINGFIELD, PA 19064-0390
800-888-4213

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A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT

THE FEDERAL FAIR CREDIT REPORTING ACT (FCRA) IS DESIGNED TO PROMOTE ACCURACY, FAIRNESS, AND PRIVACY OF INFORMATION IN THE FILES OF EVERY "CONSUMER REPORTING

AGENCY" (CRA). MOST CRA'S ARE CREDIT BUREAUS THAT GATHER AND SELL INFORMATION ABOUT YOU -- SUCH AS IF YOU PAY YOUR BILLS ON TIME OR HAVE FILED BANKRUPTCY -- TO CREDITORS, EMPLOYERS, LANDLORDS, AND OTHER BUSINESSES. YOU CAN FIND THE COMPLETE TEXT OF THE FCRA, 15 U.S.C $$1681-1681U, AT THE FEDERAL TRADE COMMISSION'S WEB SITE (HTTP://WWW.FTC.GOV). THE FCRA GIVES YOU SPECIFIC RIGHTS, AS OUTLINED BELOW. YOU MAY HAVE ADDITIONAL RIGHTS UNDER STATE LAW. YOU MAY CONTACT A STATE OR LOCAL CONSUMER PROTECTION AGENCY OR A STATE ATTORNEY GENERAL TO LEARN THOSE RIGHTS.

- YOU MUST BE TOLD IF INFORMATION IN YOUR FILE HAS BEEN USED AGAINST YOU. ANYONE WHO USES INFORMATION FROM A CRA TO TAKE ACTION AGAINST YOU -- SUCH AS DENYING AN APPLICATION FOR CREDIT, INSURANCE, OR EMPLOYMENT -- MUST TELL YOU, AND GIVE YOU THE NAME, ADDRESS, AND PHONE NUMBER OF THE CRA THAT PROVIDED THE CONSUMER REPORT.

- YOU CAN FIND OUT WHAT IS IN YOUR FILE. AT YOUR REQUEST, A CRA MUST GIVE YOU THE INFORMATION IN YOUR FILE, AND A LIST OF EVERYONE WHO HAS REQUESTED IT RECENTLY. THERE IS NO CHARGE FOR THE REPORT IF A PERSON HAS TAKEN ACTION AGAINST YOU BECAUSE OF INFORMATION SUPPLIED BY THE CRA. IF YOU REQUEST THE REPORT WITHIN 60 DAYS OF RECEIVING NOTICE OF THE ACTION. YOU ALSO ARE ENTITLED TO ONE FREE REPORT EVERY TWELVE MONTHS UPON REQUEST IF YOU CERTIFY THAT (1) YOU ARE UNEMPLOYED AND PLAN TO SEEK EMPLOYMENT WITHIN 60 DAYS, (2) YOU ARE ON WELFARE, OR (3) YOUR REPORT IS INACCURATE DUE TO FRAUD. OTHERWISE, A CRA MAY CHARGE YOU UP TO EIGHT DOLLARS.

- YOU CAN DISPUTE INACCURATE INFORMATION WITH THE CRA. IF YOU TELL A CRA THAT YOUR FILE CONTAINS INACCURATE INFORMATION, THE CRA MUST INVESTIGATE THE ITEMS (USUALLY WITHIN 30 DAYS) BY PRESENTING TO ITS INFORMATION SOURCE ALL RELEVANT EVIDENCE YOU SUBMIT, UNLESS YOUR DISPUTE IS FRIVOLOUS. THE SOURCE MUST REVIEW YOUR EVIDENCE AND REPORT ITS FINDINGS TO THE CRA. (THE SOURCE ALSO MUST ADVISE NATIONAL CRA'S -- TO WHICH IT HAS PROVIDED THE DATA -- OF ANY ERROR.) THE CRA MUST GIVE YOU A WRITTEN REPORT OF THE INVESTIGATION, AND A COPY OF YOUR REPORT IF THE INVESTIGATION RESULTS IN ANY CHANGE. IF THE CRA'S INVESTIGATION DOES NOT RESOLVE THE DISPUTE, YOU MAY ADD A BRIEF STATEMENT IN FUTURE REPORTS. IF AN ITEM IS DELETED OR A DISPUTE STATEMENT IS FILED, YOU MAY ASK THAT ANYONE WHO HAS RECENTLY RECEIVED YOUR REPORT BE NOTIFIED OF THE CHANGE.

- INACCURATE INFORMATION MUST BE CORRECTED OR DELETED. A CRA MUST REMOVE OR CORRECT INACCURATE OR UNVERIFIED INFORMATION FROM ITS FILES, USUALLY WITHIN 30 DAYS AFTER YOU DISPUTE IT. HOWEVER, THE CRA IS NOT REQUIRED TO REMOVE ACCURATE DATA FROM YOUR FILE UNLESS IT IS OUTDATED (AS DESCRIBED BELOW) OR CANNOT BE VERIFIED. IF YOUR DISPUTE RESULTS IN ANY CHANGE TO YOUR REPORT, THE CRA CANNOT REINSERT INTO YOUR FILE A DISPUTED ITEM UNLESS THE INFORMATION SOURCE VERIFIES ITS ACCURACY AND COMPLETENESS. IN ADDITION, THE CRA MUST GIVE YOU A WRITTEN NOTICE TELLING YOU IT HAS REINSERTED THE ITEM. THE NOTICE MUST INCLUDE THE NAME, ADDRESS AND PHONE NUMBER OF THE INFORMATION SOURCE.

- YOU CAN DISPUTE INACCURATE ITEMS WITH THE SOURCE OF THE INFORMATION. IF YOU TELL ANYONE -- SUCH AS A CREDITOR WHO REPORTS TO A CRA -- THAT YOU DISPUTE AN ITEM THEY MAY NOT THEN REPORT THE INFORMATION TO A CRA WITHOUT INCLUDING A NOTICE OF YOUR DISPUTE. IN ADDITION, ONCE YOU'VE NOTIFIED THE SOURCE OF THE ERROR IN WRITING, IT MAY NOT CONTINUE TO REPORT THE INFORMATION IF IT IS, IN FACT, AN ERROR.

- OUTDATED INFORMATION MAY NOT BE REPORTED. IN MOST CASES, A CRA MAY NOT REPORT NEGATIVE INFORMATION THAT IS MORE THAN SEVEN YEARS OLD; TEN YEARS FOR BANKRUPTCIES.

- ACCESS TO YOUR FILE IS LIMITED. A CRA MAY PROVIDE INFORMATION ABOUT YOU ONLY

TO PEOPLE WITH A NEED RECOGNIZED BY THE FCRA -- USUALLY TO CONSIDER AN APPLICATION WITH A CREDITOR, INSURER, EMPLOYER, LANDLORD, OR OTHER BUSINESS.

- YOUR CONSENT IS REQUIRED FOR REPORTS THAT ARE PROVIDED TO EMPLOYERS, OR REPORTS THAT CONTAIN MEDICAL INFORMATION. A CRA MAY NOT GIVE OUT INFORMATION ABOUT YOU TO YOUR EMPLOYER, OR PROSPECTIVE EMPLOYER, WITHOUT YOUR WRITTEN CONSENT. A CRA MAY NOT REPORT MEDICAL INFORMATION ABOUT YOU TO CREDITORS, INSURERS, OR EMPLOYERS WITHOUT YOUR PERMISSION.

- YOU MAY CHOOSE TO EXCLUDE YOUR NAME FROM CRA LISTS FOR UNSOLICITED CREDIT AND INSURANCE OFFERS. CREDITORS AND INSURERS MAY USE FILE INFORMATION AS THE BASIS FOR SENDING YOU UNSOLICITED OFFERS OF CREDIT OR INSURANCE. SUCH OFFERS MUST INCLUDE A TOLL-FREE PHONE NUMBER FOR YOU TO CALL IF YOU WANT YOUR NAME AND ADDRESS REMOVED FROM FURTURE LISTS. IF YOU CALL, YOU MUST BE KEPT OFF THE LISTS FOR TWO YEARS. IF YOU REQUEST, COMPLETE, AND RETURN THE CRA FORM PROVIDED FOR THIS PURPOSE, YOU MUST BE TAKEN OFF THE LISTS INDEFINITELY.

- YOU MAY SEEK DAMAGES FROM VIOLATORS. IF A CRA, A USER OR (IN SOME CASES) A PROVIDER OF CRA DATA, VIOLATES THE FCRA, YOU MAY SUE THEM IN STATE OR FEDERAL COURT.

THE FCRA GIVES SEVERAL DIFFERENT FEDERAL AGENCIES AUTHORITY TO ENFORCE THE FCRA:

FOR QUESTIONS OR CONCERNS REGARDING:	PLEASE CONTACT:
CRA'S CREDITORS AND OTHERS NOT LISTED BELOW	FEDERAL TRADE COMMISSION CONSUMER RESPONSE CENTER - FCRA WASHINGTON, DC 20580 202-326-3761
NATIONAL BANKS, FEDERAL BRANCHES / AGENCIES OF FOREIGN BANKS (WORD "NATIONAL" OR INITIALS "N.A." APPEAR IN OR AFTER BANK'S NAME)	OFFICE OF THE COMPTROLLER OF THE CURRENCY COMPLIANCE MANAGEMENT, MAIL STOP 6-6 WASHINGTON, DC 20219 800-613-6743
FEDERAL RESERVE SYSTEM MEMBER BANKS (EXCEPT NATIONAL BANKS, AND FEDERAL BRANCHES / AGENCIES OF FOREIGN BANKS)	FEDERAL RESERVE BOARD DIVISION OF COMSUMER & COMMUNITY AFFAIRS WASHINGTON, DC 20551 202-452-3693
SAVINGS ASSOCIATIONS AND FEDERALLY CHARTERED SAVINGS BANKS (WORD "FEDERAL" OR INITIALS "F.S.B." APPEAR IN FEDERAL INSTITUTION'S	OFFICE OF THRIFT SUPERVISION CONSUMER PROGRAMS WASHINGTON, DC 20552 800-842-6929
FEDERAL CREDIT UNIONS (WORDS "FEDERAL CREDIT UNION" APPEAR IN INSTITUTION'S NAME)	NATIONAL CREDIT UNION ADMINISTRATION 1775 DUKE STREET ALEXANDRIA, VA 22314 703-518-6360
STATE-CHARTERED BANKS THAT ARE NOT MEMBERS OF THE FEDERAL RESERVE SYSTEM	FEDERAL DEPOSIT INSURANCE CORPORATION DIVISION OF COMPLIANCE & CONSUMER AFFAIRS WASHINGTON, DC 20429 800-934-FDIC
AIR, SURFACE, OR RAIL COMMON CARRIERS REGULATED BY FORMER CIVIL AERONAUTICS BOARD OR INTERSTATE	DEPARTMENT OF TRANSPORTATION OFFICE OF FINANCIAL MANAGEMENT WASHINGTON, DC 20590 202-366-1306

ACTIVITIES SUBJECT TO THE PACKERS
AND STOCKYARDS ACT, 1921

DEPARTMENT OF AGRICULTURE
OFFICE OF DEPUTY ADMINISTRATOR - GIPSA
WASHINGTON, DC 20250
202-720-7051

APER0000LOOK 14BTZ 0000425200000100AP07SL CARNAHAN MELV
GO

*** END OF REPORT ID: 07-01-1999/11:23:37 (ORN: 1428) [B-0/F-0/P-0/O-0] ***

TO: [redacted]
FROM:
SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS, 4383, [redacted]

b2
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b7C
b7E

SUBJECT: MELVIN EUGENE CARNAHAN BUDED: 07/02/1999

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS, RM 4383, VIA ROUTING SLIP MARKED 'URGENT'.

Mel Carnahan Searched in Missouri/ WDC Post Records Since 1993 (Keywords Kickbacks/allegation/ complaints)

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT +/-

NAME: MELVIN EUGENE CARNAHAN

DOB: 02/11/1934 POB: BIRCH TREE, MO
SSAN: 579-46-1264
CURRENT RESIDENCE: 100 MADISON *
JEFFERSON CITY, MO 65101
EMPLOYMENT: STATE OF MISSOURI
216 STATE CAPITOL * JEFFERSON CITY, MO 6

STL.com
KCBJ
KCSTAR
WPOST
SLPD

7,163

6 stories
None pertinent
Searched as
Melvin Carnahan

WPOST/KCSTAR 2,033

1,983 not sports/style

CHECK CONDUCTED BY: [redacted], ON 6/30/99
USING THE _____ DATABASE(S) AND THE FOLLOWING
PARAMETERS: _____

3/pt

BUREAU DEADLINE: 07/0[redacted]1999 b6 b7C

BY COURIER
RETURN TO ROOM 4371

DATE: 06/30/1999 [redacted] EXT.

TO: DIRECTOR, CENTRAL INTELLIGENCE AGENCY
1 ATTENTION: [redacted]
1 ATTENTION:

FROM: DIRECTOR, FEDERAL BUREAU OF INVESTIGATION

b3 National Security Act of 1947 and the CIA Act o

SUBJECT: MELVIN EUGENE CARNAHAN NEE:
AKA: MEL CARNAHAN

NAME CHECK REQUEST

IT IS REQUESTED THIS BUREAU BE FURNISHED ALL INFORMATION AVAILABLE IN THE FILES OF YOUR DIVISION, CONCERNING CAPTIONED SUBJECT. POSITIVE INFORMATION SHOULD BE ATTACHED TO A COPY OF THIS FORM CLASSIFIED WHERE APPROPRIATE AND RETURNED TO THIS BUREAU. IF THE REQUESTED CHECK IS NEGATIVE, A STAMPED NOTATION TO THIS EFFECT AND RETURN OF A COPY OF THIS FORM IS REQUESTED.

DATE/PLACE OF BIRTH	SSN	SEX	MARITAL STATUS	SPOUSE NAME
BIRCH TREE, MO 02/11/1934	579-46-1264		MARRIED	[redacted]

b6 b7C

RESIDENCE ADDRESS	OCCUPATION
100 MADISON * JEFFERSON CITY, MO 651	GOVERNOR
CURRENT EMPLOYER	**FORMER EMPLOYMENTS**
STATE OF MISSOURI 216 STATE CAPITOL * JEFFERSON CITY, MO 651	NOT AVAILABLE

ADDITIONAL REMARKS:
COUNTRIES VISITED: GREECE
SPAIN
UNITED KINGDOM
CHILE

1 - [redacted]
1 -
SPIN: MELVIN EUGENE CARNAHAN

b3 National Security Act of 1947 and the CIA Act of

[redacted]

TOTAL P.04

b6
b7C

BUREAU DEADLINE: 07/02/1999

BY COURIER
RETURN TO ROOM 4371
EXT: [redacted]

DATE: 06/30/1999

TO: DIRECTOR, CENTRAL INTELLIGENCE AGENCY b3 National Security Act of 1947 and the CIA Act
1 ATTENTION: [redacted]
1 ATTENTION:

FROM: DIRECTOR, FEDERAL BUREAU OF INVESTIGATION

SUBJECT: MELVIN EUGENE CARNAHA [redacted]
AKA: MEL CARNAHAN

[redacted] CHECK REQUEST

IT I[redacted] BE FURNISHED ALL INFORMATION AVAILABLE IN THE FILES OF YOUR DIVISION, CONCERNING CAPTIONED SUBJECT. POSITIVE INFORMATION SHOULD BE ATTACHED TO A COPY OF THIS FORM CLASSIFIED WHERE APPROPRIATE AND RETURNED TO THIS BUREAU. IF THE REQUESTED CHECK IS NEGATIVE, A STAMPED NOTATION TO THIS EFFECT AND RETURN OF A COPY OF THIS FORM IS REQUESTED.

DATE/PLACE OF BIRTH	SSN	SEX	MARITAL STATUS	SPOUSE NAME
BIRCH TREE, MO 02/11/1934	579-46-1264		MARRIED	[redacted]

b6
b7C

RESIDENCE ADDRESS	OCCUPATION
100 MADISON * JEFFERSON CITY, MO 651	GOVERNOR
CURRENT EMPLOYER	**FORMER EMPLOYMENTS**
STATE OF MISSOURI 216 STATE CAPITOL * JEFFERSON CITY, MO 651	NOT AVAILABLE

ADDITIONAL REMARKS:
COUNTRIES VISITED: GREECE
SPAIN
UNITED KINGDOM
CHILE

1 - [redacted]
1 - b3 National Security Act of 1947 and the CIA Act o
SIU: MELVIN EUGENE CARNAHAN

3/12H

[redacted]

b6
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JUNE 30, 1999

INVESTIGATION
TO: BACKGROUND BRANCH (IBB)
OPM

ATTN: [redacted]
FROM: Special Inquiry and General Background
Investigations Unit (SIGBIU)
FBI Headquarters

Please search the following names through OPM records:

NAME	DATE	SSAN
[redacted]		
CARNAHAN, MELVIN EUGENE aka: Mel Carnahan	2/11/34	579-46-1264

OFI-79-BI 11.16.94 FBI

[redacted]

Any questions, please call [redacted] at [redacted] SIGBIU fax number is [redacted]

3/RH

12/15

DEC 20 1994
BY COURIER

12-20-94 per

#54300

Honorable Abner J. Mikva
Counsel to the President
The White House
Washington, D.C.

Dear Mr. Mikva:

Reference is made to my letter to your office dated December 16, 1994, which furnished the partial results of a Level II background investigation concerning Mr. Melvin Eugene Carnahan.

Enclosed is a summary memorandum containing the results of additional inquiries in this matter.

This completes our investigation.

Sincerely yours,

Thomas J. Coyle
Assistant Director
Personnel Division

Enclosure

JLB:jlb

CLOSED

NOTE: Missouri Bar Association checks disclosed the second complaint filed against him was dismissed.

This is an FBI delay. Reason 01 (WMFO).

RETURN TO [] ROOM 4371

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161B-HQ-1087906

Dep. Dir ____
Chief of Staff ____
Off. of Gen Counsel ____
Asst. Dir.: ____
Crim. Inv. ____
CJIS ____
Finance ____
Info. Res. ____
Insp. ____
Lab. ____
National Sec. ____
Personnel ____
Training ____
Off. of EEOA ____
Off of Public & Cong Affs. ____
Director's Office ____

MAIL ROOM

FBI/DOJ

DEC 29 1994

MELVIN EUGENE CARNAHAN

The information in this summary memorandum supplements the information contained in the summary memorandum dated December 16, 1994, and completes the investigation.

Professional Affiliations

As previously reported in the summary memorandum dated December 16, 1994, Mr. Carnahan was the subject of two complaints filed with the State of Missouri Bar Association (MBA), one of which was a fee dispute, which is not considered a matter of legal ethics. The second complaint was filed by former clients by letter dtaed May 5, 1978, and concerned Mr. Carnahan's actions as regards his clients' land boundary dispute. These former clients made numerous allegations concerning Mr. Carnahan's misconduct, among them that he had taken a bribe from the defendants, had lied about the clients' right to a jury trial and had withdrawn from the case without notification. The letter also alleged misconduct on the part of the trial judge.

The files of the MBA contain a copy of the original judgement decree indicating that all parties had waived the right to jury trial and copies of Mr. Carnahan's notices to his clients, the court and the defendants that he intended to withdraw as attorney of record. The letters were dated shortly after his filing for a new trial on his clients' behalf in December, 1975. Also on file are letters dated January 8, 1976, in which Mr. Carnahan notified the clients again that he would withdraw if his past due bills, which included payments to the surveyor and court reporter, were not paid, and January 26, 1976, which notified both the clients and the court that he was withdrawing as attorney of record. The file also contains documents showing that Mr. Carnahan filed a suit against his clients and on behalf of the court reporter, the surveyor and himself on October 15, 1976.

On June 28, 1978, the clients were notified by the MBA that it had reviewed pertinent documents and found no violation of legal ethics. On that same date, the MBA notified

JLB:jlb (3)

Dep. Dir ___
Chief of Staff ___
Off. of Gen Counsel ___
Asst. Dir.: ___
Crim. Inv. ___
CJIS ___
Finance ___
Info. Res. ___
Insp. ___
Lab. ___
National Sec. ___
Personnel ___
Training ___
Off. of EEOA ___
Off of Public & Cong Affs. ___
Director's Office ___

RETURN TO [] ROOM 4371

MAIL ROOM ☐

b6
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FBI/DOJ

Mr. Carnahan that his actions in filing suit against the clients on behalf of the court reporter and surveyor had been improper and outlined the steps he should have taken (it is noted that this was considered a matter of procedure and not a violation of ethics). In addition, the MBA pointed out that he should have withdrawn prior to filing for a new hearing rather than after, but added that it did not consider this a matter for discipline and its remarks were cautionary.

The file also notes that there was never any credible information developed to indicate that Mr. Carnahan had accepted any bribe or committed any other ethical violation of sunstance. The file concerning the complaint was retired on June 28, 1976.

Agency Checks

Information has been received from the United States Secret Service indicating its files contain no record concerning Mr. Carnahan.

U.S. Department of [redacted]tice

Federal Bureau of Investigation

Washington, D.C. 20535

DEC 29 1994

MELVIN EUGENE CARNAHAN

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Copy of report as furnished to outside agency. Do not remove from file.

Mr. Carnahan that his actions in filing suit against the clients on behalf of the court reporter and surveyor had been improper and outlined the steps he should have taken (it is noted that this was considered a matter of procedure and not a violation of ethics). In addition, the MBA pointed out that he should have withdrawn prior to filing for a new hearing rather than after, but added that it did not consider this a matter for discipline and its remarks were cautionary.

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Agency Checks

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DEC 16 1994

BY COURIER 12/9/94 PCD 12/15/94

Honorable Abner J. Mikva
Counsel to the President
The White House
Washington, D.C.

#54300

Dear Mr. Mikva:

Based on a request received from your office dated November 15, 1994, a Level II background investigation was initiated concerning Mr. Melvin Eugene Carnahan. Enclosed is a summary memorandum containing the partial results of this investigation, along with copies of seven interviews providing details of information contained in this summary memorandum.

Additional investigation is being conducted and your office will be advised upon its completion.

Sincerely yours,

TJC

Thomas J. Coyle
Assistant Director
Personnel Division

Enclosures (8)

JJLB:gc (3)

PARTIAL

SEE NOTE NEXT PAGE

161B-HQ-1087906

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RETURN TO [] ROOM 4371

Dep Dir
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Asst Dir
Crim. Inv
CJIS
Finance
Info Res
Insp
Lab
National Sec
Personnel
Training
Off of EEOA
Off of Public & Cong Affs
Director's Office

TJC

MAIL ROOM

Honorable Abner J. Mikva

NOTE: This case was opened on 11/16/94. Mr. Carnahan is currently employed by the State of Missouri, Jefferson City, Missouri, as Governor. He is being investigated for an unspecified Presidential appointment, which requires Senate confirmation. Investigation revealed one complaint filed with Missouri Bar Association, no disciplinary action taken, and a number of individuals who alleged Mr. Carnahan tried to elicit support to oppose an amendment on the state ballot by offering political favors. No evidence was found to support these allegations. Investigation is complete, except for additional bar checks (KC), and a United States Secret Service check (WMFO).

Sent to the White House: Interviews of Mr. Carnahan, [redacted] from the KC report dated 12/8/94.

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DEC 16 1994

MELVIN EUGENE CARNAHAN

This summary memorandum contains the partial results of a Level II background investigation concerning Mr. Carnahan, which addressed the past fifteen years of his life. Inquiries were conducted in the United States as to Mr. Carnahan's character, loyalty, and general standing, but no inquiries were made as to the sources of his income.

Additional investigation is being conducted.

Birth

Mr. Carnahan was born on February 11, 1934, in Birch Tree, Missouri.

Education

Mr. Carnahan received an LL.B. degree from the University of Missouri Law School, Columbia, Missouri, in June, 1959.

Military Service

Mr. Carnahan was appointed a second lieutenant in the United States Air Force Reserve (USAFR) on June 9, 1954, after completing the Reserve Officer's Training Corps program at Washington, D.C. He served on inactive duty until October 25, 1954, when he entered on active duty in the United States Air Force. He was on active duty until October 24, 1956, when he was released and transferred back to the USAFR. He was honorably discharged on February 26, 1968, as a captain. His military file contained no unfavorable information.

Employment

From December, 1979, to January, 1993, Mr. Carnahan continued to be self-employed as an attorney in Rolla, Missouri. During the time he served as Lieutenant Governor of the State of Missouri (1989 to 1993), he continued as a partner in his law firm but saw no clients.

JLB:at

RETURN TO [] ROOM 4371

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Dep Dir
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Finance
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Lab
National Sec
Personnel
Training
Off of EEOA
Off of Public & Cong Affs
Director's Office

MAIL ROOM

Melvin Eugene Carnahan

Since January, 1981, he has been employed by the State of Missouri, in Jefferson City, Missouri, originally as State Treasurer, subsequently as Lieutenant Governor, and since January, 1993, as Governor.

Family Status

Mr. Carnahan is [redacted] He has indicated that [redacted] is a United States citizen. They reside at 100 Madison Street, Jefferson City, Missouri.

Mr. Carnahan's parents, Albert Sidney Johnson Carnahan and Mary Kathel Carnahan, are deceased. In addition to [redacted] Mr. Carnahan has listed the following living close relatives:

[redacted]

Interviews

[redacted] advised she is [redacted] Republican [redacted] which is the political opposite of Mr. Carnahan, and because of this, some of her views may be prejudiced against him due to political partisanship. She considers him a "wolf in sheep's clothing" because he often twists things to his political advantage. For example, in 1991, when signing a tax increase into law, he claimed that he was obligated by court order to support and sign the legislation. After [redacted] challenged him on this statement, he no longer maintained he was obligated to support the increase, leading her to believe that he claimed the obligation because the tax increase was a political liability. In her opinion, he was under no obligation to support the bill, although he stated the court order could be interpreted in more than one way. [redacted] also believes Mr. Carnahan used his

position as Governor to oppose the Hancock Amendment, a tax cut initiative authored by Republican Congressman Mel Hancock and which appeared on the 1994 Missouri State ballot. His behavior was not illegal, but merely, in her opinion, unethical. (See the Miscellaneous section for additional commnets about Mr. Carnahan's activities in opposition to the Hancock Amendment.) [redacted] stated she does not know Mr. Carnahan personally and could not comment on personal aspects of his life, but knew of nothing unfavorable concerning him in this regard. She noted that Mr. Carnahan tends to appoint qualified people to the government and again pointed out that most of her bias against him is due to their opposite political affiliations. She could not offer a recommendation without knowing the position for which he is being considered. b6 b7C

[redacted] advised he has known Mr. Carnahan for about twelve years. He added some of his views may be prejudiced against him due to political partisanship. [redacted] is a Republican.) He described Mr. Carnahan character as "OK" and better than most politicians. He did not, however, believe that Mr. Carnahan was always straightforward. For example, he said that although Mr. Carnahan did not do anything illegal, he used his position of Governor to defeat the Hnacock Amendment, which was introduced by the Republicans. [redacted] considered this unethical and not in the spirit of the law. He said Mr. Carnahan also has a reputation for supporting the party line, which is not always in the best interests of the public, and cited as an example Mr. Carnahan's support for an education tax bill which [redacted] does not believe would serve the public well. [redacted] does not know Mr. Carnahan personally and could not comment on personal aspects of his life, but he knew of nothing unfavorable concerning him. He said that putting party politics aside, he could recommend Mr. Carnahan for a position of trust and confidence. b6 b7C

Twenty-four additional persons, consisting of colleagues, present and former neighbors, references, current and former subordinates, professional associates, and social acquaintances, were interviewed. They provided favorable comments concerning Mr. Carnahan's character, associates, reputation, and loyalty.

All persons interviewed during the course of this background investigation stated they are unaware of any illegal drug use or alcohol abuse by Mr. Carnahan, nor have they ever known him to exhibit any type of bias or prejudice against any class of citizen or any type of religious, racial or ethnic group. They also commented that they believe Mr. Carnahan lives within his financial means. All persons interviewed, with exception of [redacted] recommended him for a position of trust and responsibility. b6 b7C

Among those interviewed are the following:

[redacted] State of Missouri National Guard, Rolla, Missouri;

[redacted] Police Department, Rolla, Missouri;

[redacted] State of Missouri, Jefferson City, Missouri; b6 b7C

[redacted] Phelps County Court, Rolla, Missouri;

[redacted] Missouri State Highway Patrol, Jefferson City, Missouri; and

[redacted] State of Missouri, Jefferson City, Missouri.

Financial Responsibility

A search of computerized credit records, which was conducted at FBI Headquarters in November, 1994, disclosed no pertinent information concerning Mr. Carnahan.

Law Enforcement Agency Checks

Information has been received from appropriate law enforcement agencies indicating their files contain no pertinent information concerning Mr. Carnahan.

Professional Affiliations

Mr. Carnahan is eligible to practice law in the State of Missouri. According to information available, two complaints have been filed against him; however, one of these complaints concerned a fee dispute and the complainant was advised that fee

disputes are not considered a matter of legal ethic and should be pursued through the court system (there is no record of any such suit having been filed). Additional checks are being done concerning the second complaint.

Miscellaneous

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A search of [redacted] a computerized news retrieval service, disclosed no pertinent information concerning Mr. Carnahan.

In a routine interview conducted during the course of this background investigation, Mr. Carnahan advised he has never been personally sued but has had numerous suits brought against him in the positions he has held as an officer of the State of Missouri. All of these suits came about because of actions made in his official capacity; none were brought as a result of any personal actions by him. He also advised he did have a complaint filed against him with the State of Missouri Bar Association that arose in connection with his handling of a civil legal matter (this complaint was made prior to his involvement in elected office). The client was dissatisfied with the ruling in the case and filed complaints against both Mr. Carnahan and the judge. The complaint was determined by the Bar Association to be without merit. He also stated that he belonged to the Missouri Athletic Club at a time when it accepted male members only. He noted that the club did not discriminate in any other way and, further, that he was never involved with setting its policy. He resigned from the club in the mid-1980's, but was given an honorary membership in 1993 when he became governor. The club had changed its policy by that time and he noted that he would not have accepted the honorary membership if the club had still prohibited female members.

[redacted] advised that at a meeting in October, 1994, of the United Missouri Bank (UMB) Board of Directors, [redacted] told other board members that he had received a call from Mr. Carnahan asking for a contribution to the Protect Missourians Campaign (PMC) which opposed the Hancock Amendment. [redacted] told the board that Mr. Carnahan had indicated a contribution by the bank to the PMC would be to its benefit. [redacted] could provide no additional information.

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[redacted], advised he was told by [redacted] that Mr. Carnahan had contacted him and suggested the bank make a $50,000 contribution to the PMC to fight the Hancock Amendment. [redacted] said the inference could be drawn that if such a contribution were made, the bank could benefit. [redacted] said the bank had suffered a loss of business from the state since its support of Congressman Mel Hancock (author of the tax cut initiative). UMB did not make any contribution to the PMC.

[redacted], advised he was never approached by Mr. Carnahan to support the fight against the Hancock Amendment, but heard that [redacted] was. [redacted] stated he understood the state had moved $700 million from the UMB as a form of penalty because of its support of [redacted] He also heard that the president of the Southwest Missouri State University had made a $20,000 contribution to fight the Hancock Amendment and that part of that money came out of the university's foundation funds. He has no idea if this improper and could provide no additional information.

[redacted], advised that prior to the November, 1994, ballot, Mr. Carnahan expressed concern to him that the Hancock Amendment would not be defeated in the upcoming vote. [redacted] assured Mr. Carnahan that there was a great deal of opposition to the amendment and that defeat seemed certain. Mr. Carnahan then told [redacted] that he had heard that UMB and [redacted] had pledged $50,000 in support of the amendment. He asked [redacted] to verify this with [redacted] called [redacted] who told him he had intended to support the amendment, but his support had caused teachers throughout the state to withdraw accounts from his banks. He decided would no longer take sides on any controversial issues. [redacted] was embarrassed that Mr. Carnahan had asked him to verify what turned out to be erroneous information, but pointed out that Mr. Carnahan never threatened retaliation or offered to remove state pressure from the bank in return for its support or a contribution. He also said he never mentioned anything to that effect to [redacted] during their conversation.

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[redacted] State of Missouri, whose personal comments concerning Mr. Carnahan are contained in the Interviews section, advised her office is aware of Mr. Carnahan's and other state officials' activities to defeat the Hancock Amendment. She said none of these activities appear to be illegal and, in fact, an attempt to challenge Mr. Carnahan's and other state officials' involvement in opposing the amendment was thrown out of court. She said that to her knowledge, no state funds were used in their opposition campaign, but added [redacted] would eventually conduct a routine audit to insure this was the case. [redacted]

Agency Checks

During the course of this background investigation, the records of the following entities were checked and found to contain either no record or no pertinent information concerning Mr. Carnahan, unless otherwise noted in this summary memorandum:

Central Intelligence Agency;
Defense Clearance and Investigations Index;
Office of Personnel Management;
State of Missouri Election Board;
State of Missouri Attorney General's Office;
Federal Election Commission;
Public Integrity Section and appropriate
United States Attorneys,
Department of Justice;
and the White House Office.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Criminal Justice Information Services Division, the indices of appropriate field offices and other appropriate computer data bases, did not identify any documents that contain pertinent information identifiable with Mr. Carnahan or his close relatives.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri: b6 b7C

ASSOCIATE:

On November 28, 1994, [redacted] (Republican), Missouri State Capitol, advised he has known Governor MELVIN EUGENE CARNAHAN for approximately twelve (12) years. He first became acquainted with him when CARNAHAN was elected as Missouri State Treasure, and [redacted] advised some of his views on CARNAHAN may be prejudiced against CARNAHAN because of their political partisanship. He does not socialize with CARNAHAN, and does not consider him a close friend. However, he often has to work with CARNAHAN and his staff due to his position in the legislature.

[redacted] advised CARNAHAN was of "OK" character, and better than most "politicians". However, [redacted] did not consider CARNAHAN to always be straight forward, and CARNAHAN often twisted things to his own political advantage. [redacted] advised as an example, in conjunction with the 1994 general election, a tax reduction initiative known as "HANCOCK II" was on the ballot. CARNAHAN opposed it, and used the position of his office to sway public opinion against the measure. The measure was defeated in the election. [redacted] was of the opinion that although CARNAHAN did not violate any law in his actions in this matter, his actions were unethical, and were not in the spirit of the law.

[redacted] advised CARNAHAN has a reputation with Republicans to usually support party lines, which sometimes were not in the best interest of the public. However, he seems to be better than most past Democratic Governor's in that he tends to appoint qualified people to head the various departments and divisions of state government.

[redacted] advised he has no knowledge of CARNAHAN's personal finances, and [redacted] is unaware of any unusual debts or obligations that CARNAHAN has incurred. [redacted] advised to his knowledge, CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He did not socialize with CARNAHAN, and did not know if he drank alcohol socially.

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To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government.

[redacted] has heard that CARNAHAN enjoys a good family life. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. [redacted] is aware that CARNAHAN has moved to insure minorities are represented in the various departments and division of state government.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. He was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. [redacted] was unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic.

[redacted] was aware that CARNAHAN and [redacted] were involved in community projects involving the Governor's Mansion directed to aid and assist minorities and such groups as handicapped children, but [redacted] advised this was just carrying on practices of past Governor's, and not something new or unique.

[redacted] felt that CARNAHAN had an even temperament, but was opinionated. He tended to stick with Democratic party lines when a decision was to be made. As an example, in 1993, CARNAHAN went along with Democratic party lines and supported a tax increase that was generally referred to as the education tax bill (Senate Bill 380). This bill, in the opinion of [redacted] did not have general popular support, and was not in the best interest of Missouri.

[redacted] advised putting partisan politics aside, he would recommend CARNAHAN for a position of trust and confidence with the U.S. Government, because he was better than most Democratic politicians. [redacted] added that most of his bias against CARNAHAN was based in their opposite political affiliations, and he was unaware of any other derogatory information concerning CARNAHAN other than the concerns he voiced above.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On November 29, 1994, [redacted] Missouri State Capitol Building, Jefferson City, Missouri, advised [redacted], went to law school with MELVIN EUGENE CARNAHAN in the 1950s, but they were not close friends, and they did not socialize. She became [redacted] in 1986, and became acquainted with him when he became Lt. Governor in 1989. She is the [redacted] in the state of Missouri, and politically opposite of CARNAHAN. She advised some of her views on CARNAHAN may be prejudiced against CARNAHAN because of their political partisanship. She does not socialize with CARNAHAN, and does not consider him a close friend. However, she often has to work with his staff in her position as State Auditor.

[redacted] advised she could best describe CARNAHAN's character and reputation as being a "wolf in sheep's clothing." She did not consider him to be straight forward, and he often twisted things to his own political advantage. [redacted] advised as an example, in 1993, the Missouri State Legislature, with the backing of Governor CARNAHAN, passed a tax increase (Senate Bill 380) that was touted to assist education in Missouri. When he signed this bill into law, he originally made a public statement to the effect that in accordance with recent U.S. District Court orders concerning state support of education in the Kansas City area, he was required by the court order to support and sign the legislation. When [redacted] publicly questioned this, he changed his position and no longer maintained he was required by court order to support or sign the legislation into law. [redacted] felt CARNAHAN lied about the court order as the tax increase did not receive overwhelming support from the public at large, and politically, the tax increase was a liability to CARNAHAN.

When [redacted] questioned CARNAHAN about the above change in his position, he indicated the court order could be interpreted several ways. However, in [redacted] opinion, the court order is very clear, and CARNAHAN, as Governor, was under no obligation to support or sign the above legislation into law.

[redacted] advised CARNAHAN has a reputation with Republicans to usually do the politically expedient thing. However, he seems to be better than most past Democratic Governor's in that he tends to appoint qualified people to head the various departments and divisions of state government.

[redacted] advised she has no knowledge of CARNAHAN's personal finances, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised to her knowledge, CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that she has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. She did not socialize with CARNAHAN, and did not know if he drank alcohol socially.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. She was aware that [redacted] had recently been arrested for driving while intoxicated. She did not know any details of this incident.

[redacted] has heard that CARNAHAN enjoys a good family life. [redacted] advised she was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. [redacted] is aware that CARNAHAN has moved to insure minorities are represented in the various departments and division of state government.

[redacted] advised she is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. She was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. Also, she is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic.

[redacted] was aware that CARNAHAN and [redacted] were involved in community projects involving the Governor's Mansion directed to aid and assist minorities and such groups as handicapped children.

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[redacted] felt that CARNAHAN had an even temperament, but was opinionated. He tended to stick with Democratic party lines when a decision was to be made. As an example, CARNAHAN recently took a strong position in opposition to "HANCOCK II", a tax cut initiative that was on the November, 1994 general Missouri ballot. This initiative was authored by U.S. Congressman MEL HANCOCK (Republican) and in the opinion of [redacted] was misrepresented by CARNAHAN and other state officials as being detrimental to the state of Missouri. While [redacted] is unaware of any illegal activity on the part of CARNAHAN or any other state official in regards to the HANCOCK II issue, she did feel that he was unethical in using his position as Governor in opposing this initiative, and encouraging other state appointed officials to also oppose it. However, she is unaware of CARNAHAN or other staff officials using any state funds in this effort, and has heard that only private funds were used in CARNAHAN's efforts to defeat this issue. [redacted] advised [redacted] would eventually conduct a routine audit of the Governor's office to insure this was the case. [redacted]

[redacted] also acknowledged that Judge WILLIAM KNOX, a federal judge in the Western District of Missouri, threw out a legal challenge by HANCOCK II supporters to CARNAHAN's and the other official's involvement in the matter.

[redacted] advised absent knowing what position CARNAHAN was being considered for, she could not recommend him for a position of trust and confidence with the U.S. Government. She did add that most of her bias against him was based in their opposite political affiliations, and she was unaware of any other derogatory information concerning CARNAHAN.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/22/94

MELVIN EUGENE CARNAHAN, Governor of the state of Missouri, was interviewed at his Missouri State Capital office. The purpose of the interview was explained at the outset of the interview. All information set out in CARNAHAN's background questionnaire (SF-86) was reviewed and CARNAHAN advised it was complete, true, and accurate.

CARNAHAN advised he was unaware of any business or personal issues such as repossessions, delinquent student loans, or debts placed for collection that he had not reported in the background questionnaire. CARNAHAN advised concerning civil suits, in all instances he has been sued in his official capacity as the Governor, Lt. Governor, and Treasure of the state of Missouri, and certainly not because of any of his personal actions. CARNAHAN advised concerning a complaint filed with the Missouri Bar Association, that complaint arose out of his handling of a civil legal matter, in his capacity as a practicing attorney in his own law practice prior to his involvement in elected office. A client was dissatisfied with the way a judge ruled in the case, which involved a property line dispute, and filed complaints against both CARNAHAN and the judge. The Missouri Bar Association determined the complaint was without basis or merit, and the matter went no further.

CARNAHAN advised he has in the past, in his private law practice, sued several clients for payment for services. Per CARNAHAN, there was nothing extraordinary concerning these suits. CARNAHAN advised he has totally separated himself from his law practice in December, 1992, just prior to the time he was installed as Governor of Missouri. [redacted] currently is involved in this law practice, which uses the name CARNAHAN, CARNAHAN, and HICKLE, Rolla, Missouri.

CARNAHAN advised he has never been involved in any criminal matter as a suspect or subject, and has never been criminally charged, arrested, or convicted.

Investigation on 11/22/94 at Jefferson City, Mo. File # 161B-HQ-1087906

by SA [redacted] phf Date dictated 11/22/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

CARNAHAN denied having ever been denied employment or dismissed from any employment.

CARNAHAN advised all contacts with foreign officials, and all foreign travel has been in his official capacity as an elected official of the state of Missouri. CARNAHAN has no lasting relationship with any foreign entity, and denied having ever accepted anything more than nominal gifts from foreign officials and sources.

CARNAHAN advised he is unaware of anything in his personal life that could be used to coerce him. He is unaware of any professional complaints, nonjudicial disciplinary action such as bar association grievances, better business complaints, student or military disciplinary proceedings, or equal employment opportunity complaints, that have not been addressed above.

CARNAHAN advised he is unaware of any business or investment circumstances on his part that involved conflict of interest allegations. CARNAHAN advised concerning his involvement in ROZARK FARMS, Incorporated, Ellsinore, Missouri, this was a charcoal manufacturing company that he owned [redacted] and a third individual by the name of [redacted] The corporation was formed in the early 1970's, and CARNAHAN and [redacted] sold their interest to [redacted] in 1975. CARNAHAN after that time acted as the corporation's attorney. As a result of a major fire that destroyed the company, the company went into bankruptcy, and has not been an active corporation since 1985. CARNAHAN was involved in the capacity of attorney for the company in handling some of the bankruptcy legal work. This work was completed in 1989, but the bankruptcy matter is still pending in the courts.

CARNAHAN denied any psychological counseling with psychiatrists, psychologist, or other qualified counselors or others. CARNAHAN denied any abuse of alcohol or prescription drugs, or any use of illegal drugs to include marijuana, or participation in any drug/alcohol counseling or rehabilitation programs. CARNAHAN admitted he takes prescription drugs on the order of his physician. He takes "norvasc" (phonetic), 5mg daily, for high blood pressure, and also an un-recalled drug for control of high cholesterol. He also takes one aspirin daily to avoid heart disease, on the advice of his physician, who he

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identified as [redacted], Internal Medicine Consultants, Jefferson City, Missouri.

CARNAHAN advised concerning his membership in the Missouri Athletic Club, St. Louis, Missouri, he had a non-resident membership in the early 1980's when the club restricted membership as to sex (males only). The club did not discriminate against any other group or individual to the knowledge of CARNAHAN, at the time he was a member. CARNAHAN was never an officer of the club, and was not involved in setting it's policies. He dropped his membership in the club in the mid 1980's. He was given a "honorary" membership again in the club when he became governor in 1993. By that time, the club had changed it policy, and did not limit it's membership to males only. CARNAHAN advised he would not have accepted honorary membership in the club in 1993 if it had any discriminatory polices at that time. CARNAHAN was unaware exactly when the club changed their membership policy.

CARNAHAN denied concealing any activity or conduct that could be used to influence, pressure, coerce, or compromise himself in any way, or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness, or responsibility. CARNAHAN denied any knowledge as an individual and/or employer of any federal, state, or local tax obligation. He denied having ever made any back payment of any such tax. CARNAHAN admitted one year one of his business deductions was disallowed and he had to pay additional federal income tax, but this was a routine matter, and there was no hint of any criminal intent, or anything improper being done on his part. CARNAHAN could not recall the year this happened, or the exact circumstances, but believed he had an accountant handle his taxes that year, and this was a case of his accountant interpreting tax law differently than the way the IRS interpreted the tax law.

FD-302 (Rev. 3-10-82)

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/1/94

[redacted], telephone number [redacted] voluntarily contacted the FBI office on November 23, 1994, and furnished the following information:

[redacted] advised that he never received a call from Governor MEL CARNAHAN or any of his staff concerning making a contribution to the Protect Missourians Campaign against the Hancock II Amendment. [redacted] advised that he did learn from a friend named [redacted] has received such a call to make a contribution. [redacted] advised that it is also his understanding that the state of Missouri removed $700 million from UNITED MISSOURI BANK as a form of penalty because UNITED MISSOURI BANK was supporting [redacted] in his campaign. [redacted] stated that he also has heard a rumor that [redacted] who was vehemently opposed to the passage of Amendment 7, the Hancock II Amendment, made a $20,000 contribution to the fight against Hancock II and $10,000 of that contribution came from the SMSU foundation funds. [redacted] advised that he had no additional information concerning this contribution and did not know if such a contribution is illegal or improper. [redacted] advised that he did not have first-hand knowledge that Governor MEL CARNAHAN made these contacts for contributions himself.

Investigation on 11/23/94 at Springfield, Missouri File # 161B-HQ-1087906

by SSRA [redacted]:dai Date dictated 11/27/94

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FD-302 (Rev. 3-10-82)

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/1/94

[redacted] voluntarily contacted the FBI on November 22, 1994, at 10:00 a.m. and furnished the following information:

[redacted] advised that on October 20, 1994, he attended a quarterly meeting at the UNITED MISSOURI BANK, Springfield, Missouri. There were approximately fifteen directors present at that meeting, at which [redacted] told all of the board members on that day that he had received a call from Governor CARNAHAN with regard to making a $50,000 contribution to the Protect Missourians Campaign and the fight against the Hancock II Amendment which was called Amendment 7 on the ballot. [redacted] advised that [redacted] told the Board of Directors that Governor CARNAHAN had learned about UNITED MISSOURI BANK making a $15,000 contribution to [redacted] and if [redacted] would reverse his position and make a contribution of $50,000 to Protect Missourians Campaign, Governor CARNAHAN would take the heat off the bank. [redacted]

[redacted]

Investigation on 11/22/94 at [redacted] File # 161B-HQ-1087906

by SSRA [redacted]:dai Date dictated 11/27/94

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/1/94

[redacted] was telephonically contacted and asked about his conversation with Governor MEL CARNAHAN and a request for a campaign contribution.

[redacted] advised that he never talked directly to Governor MEL CARNAHAN about the possibility of UNITED MISSOURI BANK making a $50,000 contribution to the Protect Missourians Campaign in the fight against Hancock II Amendment. [redacted] advised that he was approached by another board member named [redacted] advised [redacted] that he had been contacted by Governor MEL CARNAHAN who suggested to him that the UNITED MISSOURI BANK make a $50,000 contribution to the Anti-Hancock II Amendment and that it would be very much appreciated. [redacted] advised that the inference could be drawn that if such a contribution were made that Governor CARNAHAN would take the heat off UNITED MISSOURI BANK and restore some of the state's monies to the bank. [redacted] then advised that UNITED MISSOURI BANK had suffered some severe penalties from the state of Missouri since they had supported [redacted] and made a campaign contribution in support of the Hancock II Amendment. [redacted] advised that the UNITED MISSOURI BANK did not make a $50,000 contribution at the request of Governor CARNAHAN through [redacted] to the Protect Missourians Campaign simply because [redacted] chooses not to do business that way.

Investigation on 11/23/94 at Springfield, Missouri File # 161B-HQ-1087906

by SSRA [redacted]:dai Date dictated 11/27/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/13/94

[redacted] After being apprised of the official identities of the interviewing agents and advised of the purpose of the interview, [redacted] voluntarily provided the following information:

[redacted] received a telephone call from Missouri State Governor MEL CARNAHAN. CARNAHAN expressed to [redacted] a concern that the Hancock II Amendment to the Missouri State Constitution needed to be defeated in the upcoming election. [redacted] told CARNAHAN that virtually every individual and organization that he knew of was in opposition to the amendment and that its defeat seemed certain. CARNAHAN told [redacted] he had heard that [redacted] was in support of the amendment and [redacted] had pledged $50,000.00 toward its passage. CARNAHAN then asked [redacted] if he would contact [redacted] to verify that he was, indeed, supporting the amendment. CARNAHAN also asked [redacted] to contact the HEAVY CONTRACTORS UNION and request their support to the opposition of the Hancock II Amendment.

[redacted] contacted [redacted] and asked him if he had pledged $50,000.00 in support of the Hancock II Amendment. [redacted] told [redacted] that he had initially intended to support the amendment but that his support had caused teachers throughout the state of Missouri to withdraw their accounts from his banks. [redacted] advised he was no longer going to take sides on controversial issues but would continue to support various political candidates.

Investigation on 11/30/94 at [redacted] File # KC 194-53 SUB D

by SAs [redacted] and [redacted] (CAB:akr) Date dictated 12/5/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency;

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FD-302a (Rev. 11-15-83)

KC 194-53 SUB D

Continuation of FD-302 of [redacted], On 11/30/94, Page 2

[redacted] stated he was embarrassed, and somewhat angry, that CARNAHAN had him contact [redacted] with erroneous information. [redacted] never called the HEAVY CONTRACTORS UNION and never discussed the matter again with CARNAHAN. CARNAHAN never instructed [redacted] to tell [redacted] that the state of Missouri would move pension funds to his bank or remove state pressure from his banks if [redacted] joined in the opposition of the proposed amendment [redacted] in turn, never mentioned anything to that effect to [redacted]

Memorandu

To : Director, FBI
(Attn: SPIN Unit, FBIHQ, Room 4371)

Date 12/27/94

From : SAC, WMFO (161B-HQ-1087906) (RUC)

Subject: MELVIN EUGENE CARNAHAN
SPIN
BUDED: PAST
REFERENCE: WMFO reported dated 12/13/94.

ADMINISTRATIVE: All persons interviewed were furnished appropriate provisions of the Privacy Act. Express promises of of confidentiality, both limited and unlimited, have not been granted.

Attached are the results of additional inquiries regarding the appointee.

2-BUREAU (161B-HQ-1087906)
ATTN: [redacted]
1-WMFO (161B-HQ-1087906)

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CC DESTROYED

WMFO 161B-HQ-1087906
DF: df

RECORD CHECK

United States Secret Service (USSS)
1800 G Street, Northwest, Washington, D.C.

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Investigative Assistant (IA) [redacted] caused a search to be made of the files of the United States Secret Service, Department of the Treasury, and was advised on 12/28/94, that no derogatory information was located concerning the appointee, MELVIN EUGENE CARNAHAN.

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FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
KANSAS CITY	BUREAU	12/22/94	12/21/94

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN	SA [redacted]	dag
	CHARACTER OF CASE: SPIN	

REFERENCE:

Kansas City report to Bureau dated 12/8/94 and Bureau Routing Slip to Kansas City dated 12/20/94.

- RUC -

ADMINISTRATIVE:

"All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted."

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
DW/LH		

COPIES MADE:
2 - Bureau
(Attn: PSS [redacted]
SPIN Unit, Room 4371)
1 - Kansas City (161B-HQ-1087906)(SQ4)

CC DESTROYED MH

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

FD-204 (Rev. 3-3-59)

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UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [redacted] Office: KANSAS CITY
Date: December 22, 1994

Field Office File #: 161B-HQ-1087906 Bureau File #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN

Character: SPECIAL INQUIRY

Synopsis: Review of records at MISSOURI BAR ADMINISTRATION (MBA) revealed that although candidate's actions were deemed improper by [redacted], MBA, the violations did not justify disciplinary proceedings.

- RUC -

DETAILS:

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

MISSOURI BAR ADMINISTRATION:

On December 21, 1994, [redacted] Missouri Bar Administration, 3335 American Avenue, Jefferson City, Missouri made available for review their files pertaining to MELVIN EUGENE CARNAHAN, Social Security Account Number 579-46-1264. A detailed review of that file revealed the following information concerning a complaint brought against CARNAHAN by [redacted]

By letter dated May 5, 1978, [redacted] lodged a formal complaint against MELVIN E. CARNAHAN, Attorney At Law, 4th & Main Street, Rolla, Missouri. In this complaint, [redacted] made numerous allegations against CARNAHAN concerning his handling of a law suit on behalf of [redacted] The original suit involved a dispute over land boundaries of land that the [redacted] had purchased. Include in this complaint were allegations that CARNAHAN had taken a bribe from the defendants in the law suit to inadequately defend the [redacted] CARNAHAN lied to the [redacted] about their right to a jury trial, CARNAHAN had failed to return original documents to them, CARNAHAN had withdrawn from the case without notifying them, and numerous other instances of misconduct on the part of CARNAHAN. [redacted] also alleged misconduct on the part of the [redacted] in her complaint.

The file reflects numerous correspondence between CARNAHAN and the Missouri Bar Association, in which CARNAHAN defended his actions. Included in the file was a copy of the original Judgement Decree in the law suit, case number 10422, dated December 1, 1975. In this Judgement Decree, it states that all parties involved in the lawsuit agreed to waive the right to a jury trial.

One item of contention was [redacted] allegation that CARNAHAN withdrew as their attorney, without any notice, in violation of his agreement with them to represent them. The file reflects copies of CARNAHAN's notices to the [redacted] the District Court of Pulaski County, and counsel for the defendants, of his intent to withdraw as attorney of record for the [redacted] This was after CARNAHAN filed a motion for a new trial on behalf of the [redacted] on December 11, 1975.

The file reflects CARNAHAN notified [redacted] by letter on January 8, 1976 that he would withdraw as their attorney if they did not pay past due bills for their share of transcripts of

depositions in the suit, a bill for a surveyor who testified in their behalf at the trial, and the second half of his fee, which was five hundred dollars ($500.00). The file reflected a previous bill from CARNAHAN to [redacted] dated December 1, 1975, which they apparently failed to pay. CARNAHAN noted that he had filed a motion for a new trial on their behalf, but would be unable to represent them at any subsequent hearings on this matter if they did not pay their bill.

The file reflected by letter dated January 26, 1976, CARNAHAN gave notice to both [redacted] and the court that he was no longer [redacted] attorney in this matter, and no longer represented them in the suit or any appeal.

The file reflects documents showing CARNAHAN filed a suit against the [redacted] in Pulaski County on October 15, 1976, on behalf of the court reporter, the surveyor, and on his own behalf, to collect money owed them by the [redacted] Magistrate Judge TRACY L. STORIE ruled in favor of CARNAHAN and the others and awarded the money they asked for on October 25, 1976.

The file reflected that concerning the allegation that CARNAHAN would not return original documents to the [redacted] CARNAHAN retained only copies, and did not believe that he had any original documents. [redacted] alleged that documents that the surveyor had given CARNAHAN in helping him prepare for the suit belonged to them. CARNAHAN contended that the surveyor had only furnished him copies of survey documents, and while the [redacted] had a right to the originals, the copies of these documents were of no significance.

The file reflects this matter was concluded with a letter to [redacted] dated June 28, 1978, from [redacted] Missouri Bar Administration, which in part stated:

"We have now completed our investigation of your complaint and I have reviewed this file with [redacted] of this committee. It is our joint opinion that the investigation has revealed no violation of legal ethics by Mr. CARNAHAN."

The file also reflected a letter dated June 28, 1978 which was sent to CARNAHAN by [redacted] This letter in part states:

"Your action in filing suit on behalf of the court reporter and the surveyor against your former client were improper. What you should have done is paid these individuals and taken an assignment of the accounts from them and then instituted suit in the nature of three counts in your own individual name against your former client.

It is our joint opinion that your withdrawal should have been made by you prior to the hearing on the motion for new trial rather than afterwards. However, it is our feeling that the above violations do not justify disciplinary proceedings against you.

With these cautionary remarks, we are retiring our file on this complaint."

A review of the file failed to locate any credible information indication CARNAHAN had in fact accepted any bribe or committed any of the other ethical violation of substance, as alleged by [redacted] in her original letter. b6 b7C

ROUTING SLIP

Date 12/20/94

TO: SAC, KANSAS CITY

FROM: Director, FBI (161B - HQ -1087906)

SUBJECT: MELVIN EUGENE CARNAHAN
SPIN;
OO: FBIHQ
BUDED: PAST (12/8/94)

Reference FBIHQ fax to KC on 11/16/94 and KC report dated 12/8/94.

Review of KC's Bar Association check revealed two complaints. One was closed immediately. The second, filed by [redacted] on 5/5/78, was not closed out by the Bar Association until 6/28/94. While Carnahan advised the complaint had been dismissed, it appears that the Bar Association advised him his actions were actually improper although not to such an extent that the Bar Association deemed disciplinary action necessary. KC's report of the compliant included a very complete summary of [redacted] claims. The 6/28/94 letter referred to Mr. Carnahan's filing suit on behalf of others and his withdrawal from the case, but no additional information is provided to explain how those actions came about (it is recognized that the Bar Association records may be incomplete).

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LEADS

KC double check bar records for additional information concerning Carnahan's actions and the Bar Association's opinion of his actions in order that they might more fully be understood/explained. Also insure that the 6/28/94 date provided by the Bar Association was correct (sixteen years between filing of complaint and closing of complaint). If records are incomplete or fail to fully expolain his actions, contact with Carnahan to resolve the discrepancy between his answer that the complaint was dismissed and the fact that it appears he was actually reprimanded (although not disciplined) is necessary.

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[redacted] Please direct results and any questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, Ext. [redacted] by COB 12/23/94.

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FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WMFO	BUREAU	12/13/94	12/2/94-12/8/94

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN	PSS [redacted]	JOHN
	CHARACTER OF CASE: SPIN B	

REFERENCE: Bureau airtel dated 11/16/94.

-P-

ADMINISTRATIVE: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentially, both limited and unlimited, have not been granted.

The results of WMFO GENERAL and ELECTRONIC indices failed to revealed any derogatory information regarding the appointee or relevant individuals.

LEAD: U.S. Secret Service.

APPROVED: SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2-BUREAU (161B-HQ-1087906)
ATTN: [redacted]
1-WMFO (161B-HQ-1087906)

CC DESTROYED

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

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COVER PAGE

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

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Report of: PSS [redacted] Office: WMFO
Date: DECEMBER 13, 1994

Field Office File #: 161B-HQ-1087906 Bureau File #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN

Character: SPIN B

Synopsis: White House Office checked. U.S. Department of Justice, PIS, revealed no record. Federal Election Commission revealed no record.

-P-

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WMFO 161B-HQ-1087906
GHS: ghs

THE WHITE HOUSE

Office of the Executive Clerk
Old Executive Office Building
Washington, D.C.

The following investigation was conducted by Special Agent (SA) [redacted] regarding the appointee, MELVIN EUGENE CARNAHAN: b6 b7C

No record of appointment or nomination by the President of the United States could be located in this office.

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WMFO 161B-HQ-1087906
RLW:rlw

U.S. DEPARTMENT OF JUSTICE

PUBLIC INTEGRITY SECTION
Criminal Division
1400 New York Avenue, N.W.
Washington, D.C.

On December 2, 1994, Investigative Assistant (IA) [redacted] caused a search to be made of the files of the U.S. Department of Justice (DOJ), Criminal Division, Public Integrity Section, Washington, D.C., concerning the appointee MELVIN EUGENE CARNAHAN.

[redacted] Public Integrity Section, DOJ, advised IA [redacted] that a search of the files concerning the appointee CARNAHAM was met with negative results.

WMFO 161B-HQ-1087906
ADD

FEDERAL ELECTION COMMISSION (FEC)

999 E Street, N.W.,
Washington, D.C.

On December 8, 1994, Investigative Assistant (IA) [redacted] conducted the following investigation at the above captioned agency concerning the candidate, MELVIN EUGENE CARNAHAN. [redacted] Liaison, Office of the General Counsel advised that the candidate has not appeared as a respondent in any enforcement action conducted by FEC, nor has he/she been named as a defendant in any court case pursued by FEC.

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FD-263 (Rev. 4-30-85)

DATE: 11-09-2009
CLASSIFIED BY 60322 UCLP/PLJ/JN
REASON: 1.4 (C)
DECLASSIFY ON: 11-09-2034

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD	~~SECRET~~
KANSAS CITY	BUREAU	12/8/94	11/16/94 - 12/6/94	

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN	SA [redacted]	dag

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CHARACTER OF CASE
SPIN

REFERENCE:

Bureau fax to Kansas City, et al, dated 11/16/94 and Kansas City teletype to Bureau and St. Louis dated 11/22/94.

- RUC -

ADMINISTRATIVE:

"All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted."

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

~~8/18/01~~ #94325
~~CLASSIFIED BY: 39663 EKW/bcw~~
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X~~

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
WMC	DWacting	

COPIES MADE:
2 - Bureau
(Attn: PSS [redacted]
SPIN Unit, Room 4371)
1 - Kansas City (161B-HQ-1087906)(SQ4)

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CC DESTROYED 11/19

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

~~SECRET~~

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161B-HQ-1087906
SSC/psa 1

~~SECRET~~

The following investigation was conducted by Investigative Assistant [redacted]:

ADMINISTRATIVE

A check of FOIMS and general indices met with negative results concerning [redacted]

~~SECRET~~

~~SECRET~~

The following investigation was conducted by Investigative Assistant [redacted] b6 b7C

ADMINISTRATIVE:

A check of General Indices met with negative results concerning MELVIN EUGENE CARNAHAN, however, a check of FOIMS reflected the following:

A review of file 89A-KC-73334, entitled "UNSUB; [redacted] DISTRICT OF MISSOURI - VICTIM; MAY 6, 1994; CCSCAKA (CONGRESSIONAL-THREAT OF ASSASSINATION); OO:KC," reflected three of [redacted] offices had received threatening phone calls by an unknown male. In call #1, the caller stated he was upset about the gun control vote and said, "There is an assassination plot against [redacted] and Governor CARNAHAN." MEL CARNAHAN is the governor of Missouri. The MISSOURI STATE HIGHWAY PATROL was notified regarding threats to Governor CARNAHAN. These calls were received at [redacted] office on May 6, 1994. [redacted] office never received any more threatening phone calls. b6 b7C

Kansas City file 92A-3740, entitled "KANSAS CITY LA COSA NOSTRA FAMILY; REI; OO:KC," reflects in serial 590 that on December 18, 1992, a source was at a Christmas political party for the celebration of newly elected Missouri Governor MEL CARNAHAN. It is noted Kansas City file 194-53, serial 562, is a copy of an insert that contained the same information.

(X) Kansas City file [redacted] which is classified, indicates source reporting that a [redacted] b1

(X) [redacted] A return address was pre-printed on the envelope of MEL CARNAHAN, Lieutenant Governor, State of Missouri, Post Office Box 563, Jefferson City, Missouri 65102. (S)

~~SECRET~~

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

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Copy to:

Report of: SA [redacted] Office: KANSAS CITY
Date: December 8, 1994

Field Office File #: 161B-HQ-1087906 Bureau File #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN

Character: SPECIAL INQUIRY

Synopsis: Birth verified. Candidate interviewed, details set forth. Education verified. Employment verified. Residence verified as Governor's Mansion from 1993 to present. Sixteen associates and co-workers interviewed. No significant derogatory information developed. Recommendations generally following party lines, Democrats recommending and Republicans not recommending. Supreme Court of Missouri records indicate no derogatory action taken against candidate. Missouri Bar Administration records revealed candidate was a member in good standing and had two complaints on file, details set forth. Election Board records met with negative results concerning candidate. Missouri State Attorney General's Office checks met with negative results concerning candidate. Clerk of the Circuit Court of Cole County, Missouri reflect seven law suits file since January 1, 1992 in candidate's name. Review of records indicate all seven were filed against candidate as Governor and not because of any personal action. United States Attorney's Office records negative for any civil or criminal prosecutive action in the name of the candidate or [redacted] United States District Court computer and microfiche search disclosed no civil or criminal prosecutive action filed in the name of candidate or [redacted] Twenty files in which candidate was listed as a defendant were noted,

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all were related to candidate's position as Lieutenant Governor and Governor of the State of Missouri, details set forth. Arrest checks negative regarding candidate.

- RUC -

DETAILS:

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The following investigation was conducted by Investigative Assistant [redacted] at Jefferson City, Missouri:

BIRTH

On November 22, 1994, [redacted] Birth Records, Division of Health, MISSOURI DEPARTMENT OF SOCIAL SERVICES, was contacted. She made available from the microfilm records standard certificate of live birth, state file number 124-34-68608. This certificate recorded the birth of MELVIN EUGENE CARNAHAN on February 11, 1934, at Birch Tree, Missouri. The father was identified as ALBERT SIDNEY J. CARNAHAN and the mother as MARY KATHEL SCHUPP. This certificate was filed on October 11, 1943.

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The following investigation was conducted by SA [redacted] in Columbia, Missouri.

EDUCATION:
UNIVERSITY OF MISSOURI
SCHOOL OF LAW
COLUMBIA, MISSOURI
9/20/56 - 6/9/59

On November 29, 1994, [redacted] University of Missouri-Columbia(M-U), Columbia, Missouri 65211, telephone [redacted] provided the M-U Law School transcript on MELVIN EUGENE CARNAHAN, student number 51679. CARNAHAN first enter the M-U Law School September 20, 1956 after receiving a B.A. from George Washington University in 1954. While at the M-U Law School CARNAHAN was elected to the Editorial Board for the Missouri Law Review July 1957. In June 1958 CARNAHAN was elected to the order the COIF. He graduated with a ranking of 4th in a class of 45, his grade point average was 3.09 on a 4.0 scale. CARNAHAN graduated with a LL.B. degree on June 9, 1959. He received a J.D. degree in 1969 from M-U.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

EMPLOYMENT VERIFICATION:
Governor of Missouri
1993 - Present
Lt. Governor
1989-1993
State Treasure - Missouri
1981 - 1985

On November 22, 1994, [redacted] Office of the Governor of Missouri, Missouri State Capital Building, Jefferson City, Missouri advised she is familiar with MELVIN EUGENE CARNAHAN, who is both the Governor of Missouri and [redacted] She first became acquainted with CARNAHAN when he was elected as state Treasure in 1980, [redacted] when he was elected Governor in 1992.

[redacted] advised it is a matter of public record that the Governor's salary is ninety four thousand, five hundred and sixty three dollars and sixty cents ($94,563.60) per year. In addition, his residence at the Governor's Mansion is furnished. He is the chief elected official in the state of Missouri, and has no superior in the state. [redacted] was unaware of any derogatory information concerning CARNAHAN relating to his tenure in any elected office in the state of Missouri.

[redacted] was able to verify that CARNAHAN was elected to the position of Lt. Governor for the period January, 1989 - January, 1993, and the Treasure from January, 1981 - January, 1985.

[redacted] However, they do not socialize together outside of attending certain state functions.

[redacted] categorized CARNAHAN as being one of the most respected Governors ever elected to the state of Missouri. He is of impeccable character. CARNAHAN has a reputation as being very knowledgeable, sincere, objective, and reasonable. CARNAHAN is considered to be a well rounded individual, and someone who is dependable. [redacted] described CARNAHAN as a natural leader, who

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takes responsibility, yet knows when to delegate task to well qualified staff members and others. He is liked by both staff and the public in general, and enjoys widespread bi-partisan support.

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation is above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that she has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. She knows that CARNAHAN does not drink alcohol at all.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. [redacted] advised this was a matter of public record, and CARNAHAN never tried to hide [redacted] problem, or use his influence to lessen the consequences of [redacted] actions. [redacted] advised she was unaware of anything in CARNAHAN's background that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge. [redacted] advised CARNAHAN did not associate with undesirable or questionable individuals.

[redacted] advised that she has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. To the contrary, [redacted] was aware that CARNAHAN did a lot to bring a lot of different minorities to his staff and to other state office positions in the State of Missouri. He also sponsored numerous activities for minorities and disadvantaged children and persons at the Governor's Mansion. CARNAHAN did not take an extremist position on any issue.

[redacted] was unaware of any other employments on the part of CARNAHAN, but was aware that he was a practicing attorney before he sought public office. His father had previously served in elected public office, as a United States legislator from Missouri.

[redacted] advised she is unaware of CARNAHAN being involved in any personal litigation, although he has been sued numerous times in his official capacity as the Governor of the state of Missouri. [redacted] was not aware of CARNAHAN ever being fired from any job. Also, she is unaware of CARNAHAN ever being turned down for employment or a promotion.

[redacted] believes CARNAHAN to have a good work ethic. He always put the necessary effort into being prepared when meeting with other government officials, and researches matters before making a decision if circumstances allow.

[redacted] was aware that CARNAHAN's responsibilities as Governor include working with his cabinet level staff as well as the Missouri legislature in numerous matters involving the health, safety, and welfare of the citizens of Missouri. He also appoints and works with many committees on special matters such as bettering education in Missouri and bettering the quality of life for senior citizens in Missouri.

[redacted] advised he had no personal knowledge to comment on CARNAHAN's legal experience, legal reputation, etc.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. He was open minded, and handled stress situations well. CARNAHAN seemed approachable, and willing to listen to various points of view. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised she would most certainly recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri: b6 b7C

NEIGHBORHOOD:
1/93 - present
100 Madison Street
Jefferson City, Missouri

On November 16, 1994, the address of 100 Madison Street, Jefferson City, Missouri was determined to be the address for the Governor's Mansion, which is the official residence of Missouri Governor MELVIN EUGENE CARNAHAN. The Governor's Mansion is located in the downtown area of Jefferson City, and is surrounded by Missouri state office buildings and commercial establishments. No other residences are located within several blocks of the Governor's Mansion. Since conducting a neighborhood investigation in this instance would be inappropriate, none was conducted.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/1/94

[redacted] telephone number [redacted] voluntarily contacted the FBI by telephone and advised the following:

[redacted]

Investigation on 11/22/94 at Springfield, Missouri File # 161B-HQ-1087906

by SSRA [redacted] dai Date dictated 11/27/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

CO-WORKER:

On December 5, 1994, [redacted] office of the Governor of the State of Missouri, Missouri State Capitol, Jefferson City, Missouri advised she has known MELVIN EUGENE CARNAHAN for approximately [redacted] years [redacted] when CARNAHAN became Governor in 1993. [redacted] While [redacted] both likes and respects CARNAHAN, and is acquainted with [redacted] and CARNAHAN's children, they do not socialize.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri. CARNAHAN has a reputation as being very honest, open, straight forward, and of high morals. CARNAHAN is someone who has a lot of integrity. He is of the highest character.

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable and even tempered. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised concerning CARNAHAN's previous law practice, he had an excellent reputation in the legal community. CARNAHAN's law practice was general in nature, and was primarily involved in trusts, wills, and civil damage types of cases. CARNAHAN had considerable trial experience, but did not specialize in criminal cases. He had a reputation for fairness, and [redacted] was unaware of anyone ever saying anything negative about his legal work.

[redacted] advised that she has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. She has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. She was aware that

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[redacted] and CARNAHAN did not get involved in any way in this matter.

[redacted] advised she was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that she has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. CARNAHAN had a personal commitment to insure that his cabinet and all of state government reflected the diversity of the population of the state. However, CARNAHAN insisted that anyone, including minorities, had to be qualified to be considered for an appointed office.

[redacted] advised she is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. [redacted] was aware that CARNAHAN, as Governor, was named in a lot of law suits in his official capacity. [redacted] is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] knows CARNAHAN to have a good work ethic. CARNAHAN seemed to be able to balance his life between work and his personal life. Physical fitness is important to him, and he exercises daily by either walking or bicycling, and watches what he eats to control his weight.

CARNAHAN seemed approachable, and willing to listen to other's opinion. CARNAHAN's management style was to surround himself with the very best people, regardless of political affiliation, and draw on their experience and expertise. He delegated a lot of responsibility, but was not afraid to make a decision when he had the necessary facts. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised she would absolutely recommend CARNAHAN without reservation for a position of trust and confidence with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

CO-WORKER:

On December 5, 1994, [redacted] Office of the Governor of the State of Missouri, Missouri State Capitol, Jefferson City, Missouri advised she has known MELVIN EUGENE CARNAHAN for approximately [redacted] years. [redacted] CARNAHAN is both liked and respected by [redacted] they do not socialize [redacted] This is primarily due to CARNAHAN's busy schedule, which sometimes involves his attending to duties as the Governor on weekends and nights.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri. CARNAHAN has a reputation as being very hard working, honest, and a person of his word. CARNAHAN is someone who has a lot of integrity. He is religious, but does not push his religious convictions on others. [redacted] characterized him as someone who did the "right thing" as opposed to the "politically expedient thing".

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable and even tempered. He is able to remain calm even in a crisis. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised concerning CARNAHAN's previous law practice, he had an excellent reputation in the legal community. CARNAHAN's law practice was general in nature, and was primarily involved in trusts, wills, and some civil damage types of cases. CARNAHAN had some trial experience, but did not specialize in general criminal work. He had a reputation for fairness, and [redacted] was unaware of anyone ever saying anything negative about his legal work.

[redacted] advised that she has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. She has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. She was aware that [redacted] and CARNAHAN did not get involved in any way in this matter, [redacted]

[redacted] advised she was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that she has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. CARNAHAN had a personal commitment to insure that his cabinet and state government in general reflected the diversity of the population of the state. However, CARNAHAN insisted that anyone, including minorities, had to be qualified for their positions for which they were being considered.

[redacted] advised she is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. [redacted] was aware that CARNAHAN, as Governor, was named in a lot of law suits in his official capacity. [redacted] is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted], knows CARNAHAN to have a good work ethic. CARNAHAN seemed to have a good "balance" in his life between work and his personal life. Physical fitness is important to him, and he exercises daily, and watches what he eats to control his weight.

CARNAHAN seemed approachable, and willing to listen to various opinions of his staff. CARNAHAN's management style was to surround himself with the very best people, regardless of political affiliation, and draw on their experience and expertise. Politically, this sometimes caused him problems, since several of his cabinet staff did not have any political allegiance to him before he took office as Governor. He

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delegated a lot of responsibility, but was not afraid to make a decision when he had the necessary facts. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised she would most certainly recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On November 29, 1994, [redacted] Missouri Water Patrol, Water Patrol Headquarters, Jefferson City, Missouri, advised he has known MELVIN EUGENE CARNAHAN for approximately [redacted] years. [redacted] first met CARNAHAN when CARNAHAN became Lt. Governor in 1989 and [redacted] in the legislature. [redacted] was not part of the "good old boy" Democratic network and believes [redacted] when CARNAHAN became Governor was based on his qualifications and merit, and not on any political patronage or loyalty CARNAHAN felt toward the Democratic party.

[redacted] advised CARNAHAN does not interfere in the day to day operation of the Water Patrol. [redacted] believes CARNAHAN holds accountable his division and department heads, but leaves most routine decisions up the individual agencies. [redacted] felt that CARNAHAN was more concerned with the good of the people of Missouri and how the Water Patrol could impact on this, rather than using the Water Patrol as a political pawn.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri by most employees at the Water Patrol, as well as the public in general. CARNAHAN has a reputation as being honest and sincere. [redacted] knows CARNAHAN to be a person of his word and someone of integrity.

[redacted] advised he has no knowledge of CARNAHAN's personal finances, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and to [redacted] knowledge, CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. He does not socialize

with CARNAHAN, but has heard that CARNAHAN enjoys a good family life. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap,or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. CARNAHAN insisted that the various departments under him strived to hire and promote minorities, as long as they were qualified. He insisted that the state government reflected the diversity of the state's population.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. He was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. [redacted] advised he is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office and traveling on state business. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, CARNAHAN was in good physical health.

[redacted] was aware that CARNAHAN and [redacted] were involved in many community projects directed to aid and assist minorities and such groups as handicapped children. Many of these projects centered around the Governor's Mansion.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. CARNAHAN was open minded. CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he felt CARNAHAN did not let partisan politics get in the way of representing the interest of the citizens of Missouri.

[redacted] advised he would recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government. [redacted]

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri: b6 b7C

ASSOCIATE:

On November 29, 1994, [redacted] Missouri State Highway Patrol (MSHP), MSHP Headquarters, Jefferson City, Missouri, advised he has known MELVIN EUGENE CARNAHAN for approximately [redacted] first met CARNAHAN after CARNAHAN became Governor in 1992, and [redacted] based on his qualifications and merit, and he was not politically active in any way prior to this promotion.

[redacted] advised CARNAHAN does not interfere in the day to day operation of the MSHP. [redacted] believes CARNAHAN holds accountably his division and department heads, but leaves most routine decisions up the individual agencies. [redacted] felt that CARNAHAN was more concerned with the good of the people of Missouri and how the MSHP could impact on this, rather than using the MSHP as a political pawn.

[redacted] advised he was impressed with CARNAHAN recently when [redacted] CARNAHAN did not interfere or intercede in any way in this matter, and never used his office to even learn the details of the arrest or any other aspect of the case. Along these same lines, according to [redacted] CARNAHAN has never questioned his security staff, who are MSHP officers, about any MSHP operations.

[redacted] advised on one occasion, one of CARNAHAN's staff contacted the MSHP and made inquiry about employment for an acquaintance. The next day, the staff member called back and stressed he was inquiring only as a private citizen, and not as someone from the Governor's staff. [redacted] got the impression that CARNAHAN was behind the second call, trying to avoid even the appearance of something improper. The friend of the staff member did not get the job in question, and the staff member made no further inquiries.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri by most employees at the MSHP, as well as the public in general. CARNAHAN has a reputation as being honest and sincere. [redacted] knows CARNAHAN to be a person of his word and someone of integrity.

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[redacted] advised he has no knowledge of CARNAHAN's personal finances, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and to [redacted] knowledge CARNAHAN does not associate with undesirable individuals. To [redacted] knowledge, no question of CARNAHAN's integrity or honesty has ever surfaced in any MSHP investigation.

[redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. He does not socialize with CARNAHAN, but has heard that CARNAHAN enjoys a good family life. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap,or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. CARNAHAN insisted that the various departments under him strived to hire and promote minorities, as long as they were qualified. He insisted that the state government including the MSHP reflected the diversity of the state's population.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. He was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. [redacted] advised he is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office and traveling on state business. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, CARNAHAN was in good physical health.

[redacted] was aware that CARNAHAN and [redacted] were involved in many community projects directed to aid and assist minorities and such groups as under privileged children. Many of these projects centered around the Governor's Mansion.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. CARNAHAN was open minded. CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he felt CARNAHAN did not let partisan politics get in the way of representing the interest of the citizens of Missouri. CARNAHAN often expressed a desire to see the MSHP stay removed from politics.

[redacted] advised he would recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri: b6 b7C

ASSOCIATE:

On November 29, 1994, [redacted] Missouri Supreme Court, Missouri Supreme Court Building, [redacted] advised she has known MELVIN EUGENE CARNAHAN for approximately [redacted] years. [redacted] first met CARNAHAN when CARNAHAN became Lt. Governor in 1989, and [redacted] She worked with his office both when he was Lt. Governor and when he was Governor, on the Missouri Court Automation Project. When CARNAHAN became Governor, he made this project a priority, in order to modernize the justice system in Missouri. [redacted] believes this was not a political decision, but a desire by CARNAHAN to do what was the right thing for Missouri.

[redacted] at the same time that CARNAHAN became Governor. Her position is non-partisan, and CARNAHAN has never tried to interject politics into any issue before the Supreme Court to COVINGTON's knowledge. [redacted] described CARNAHAN as being of impeccable character, and someone who had a reputation as being very honest.

[redacted] advised to her knowledge, CARNAHAN is a well respected as the Governor of Missouri by everyone at the Supreme Court, as well as the public in general. CARNAHAN has a reputation as being sincere, and putting the interest of the people ahead of politics. [redacted] knows CARNAHAN to be a person of his word.

[redacted] advised she has no knowledge of CARNAHAN's personal finances, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and to [redacted] knowledge, CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that she has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. She did not socialize with CARNAHAN, and did not know if he drank alcohol socially.

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To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. She was aware that [redacted] However, to her knowledge, CARNAHAN did not interfere in [redacted]

[redacted] has heard that CARNAHAN enjoys a good family life. [redacted] advised she was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge. To the contrary, [redacted] knows that CARNAHAN supports law enforcement, and the justice system in general.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. [redacted] is aware that CARNAHAN insisted that the various departments under him strived to hire and promote minorities, as long as they were qualified. He insisted that the state government reflected the diversity of the state's population.

[redacted] advised she believes that CARNAHAN is fair and not prejudiced when he has the difficult task of reviewing death sentences for Missouri inmates on death row.

[redacted] advised she is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. She was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. Also, she is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office and traveling on state business, both in the state of Missouri, as well as to other areas, and even to other countries. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, CARNAHAN was in good physical health.

[redacted] was aware that CARNAHAN and [redacted] were involved in many community projects directed to aid and assist minorities and such groups as handicapped children. Many of these projects centered around the Governor's Mansion.

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[redacted] felt that CARNAHAN had an even temperament, and she had never seen CARNAHAN loose his temper. CARNAHAN was open minded. CARNAHAN seemed approachable, and willing to listen to other's opinion. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised she felt CARNAHAN did not let partisan politics get in the way of representing the interest of the citizens of Missouri. CARNAHAN recently took a strong position in opposition to "HANCOCK II", a tax cut initiative that was on the November, 1994 general Missouri ballot. CARNAHAN and other state officials were criticized for this, but Judge William Knox, a federal judge in the Western District of Missouri, threw out a legal challenge by HANCOCK II supporters to CARNAHAN's and the other official's involvement in the matter.

[redacted] advised she would recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government, and added she would be glad if other government officials were more like CARNAHAN.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On November 22, 1994, [redacted] Missouri Department of Natural Resources (DNR), Jefferson State Office Building, Jefferson City, Missouri, advised he has known MELVIN EUGENE CARNAHAN for approximately [redacted] years. [redacted] first met CARNAHAN when CARNAHAN became Governor in 1993, and was working [redacted]

[redacted] advised CARNAHAN did not interfere in the day to day operation of DNR. [redacted] was held accountable by CARNAHAN for the general direction DNR was taking, but CARNAHAN left most routine decisions up to [redacted] felt that CARNAHAN was more concerned with the good of the people of Missouri and how DNR could impact on this, rather than using DNR as a political pawn.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri by most employees at DNR, as well as the public in general. CARNAHAN has a reputation as being honest and sincere. [redacted] knows CARNAHAN to be a person of his word.

[redacted] advised he has no knowledge of CARNAHAN's personal finances, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and to [redacted] knowledge, CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. He does not socialize with CARNAHAN, but has heard that CARNAHAN enjoys a good family life. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that

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could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. CARNAHAN insisted that the various departments under him strived to hire and promote minorities, as long as they were qualified. He insisted that the state government reflected the diversity of the state's population.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. He was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. Also, he is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office and traveling on state business. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, CARNAHAN was in good physical health.

[redacted] was aware that CARNAHAN and [redacted] were involved in many community projects directed to aid and assist minorities and such groups as handicapped children. Many of these projects centered around the Governor's Mansion. CARNAHAN also supported historic preservation projects involving state assets and property.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. CARNAHAN was open minded. CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he felt CARNAHAN usually "did the right thing" and did not let partisan politics get in the way of representing the interest of the citizens of Missouri. CARNAHAN, along with [redacted] and other department heads recently took a strong position in opposition to "HANCOCK II", a tax cut initiative that was on the November, 1994 general Missouri ballot. CARNAHAN and the other state officials were criticized for this, but Judge William Knox, a federal judge in Jefferson City, threw out a legal challenge by HANCOCK II supporters to CARNAHAN's and the other official's involvement in the matter.

[redacted] advised he would recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted] at Sedalia, Missouri:

ASSOCIATE:

On November 28, 1994, [redacted] advised he has known MELVIN EUGENE CARNAHAN for [redacted] years. [redacted] first met CARNAHAN when CARNAHAN became Lt. Governor in 1989. [redacted] advised although he is politically opposite CARNAHAN, he respects him, and feels CARNAHAN is of better character than most politicians. [redacted] sees CARNAHAN infrequently and does not socialize with him, outside of attending state functions.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri, and when he previously served at the Lt. Governor and also as the state Treasure. CARNAHAN has a reputation as being honest and sincere. [redacted] has never known CARNAHAN to tell a lie. CARNAHAN is considered to be a person of his word.

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. He was aware that [redacted] but [redacted] was unaware of CARNAHAN using any pressure or influence to try to intervene in the matter. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

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[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. He was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. Also, he is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office and traveling on state business. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, CARNAHAN was in good physical health.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. He was open minded, even though usually they were politically opposite on issues. CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he felt CARNAHAN usually "did the right thing" and did not let partisan politics get in the way of representing the interest of the citizens of Missouri. CARNAHAN recently took a strong position in opposition to "HANCOCK II", a tax cut initiative that was on the November, 1994 general Missouri ballot. CARNAHAN was criticized for this, but a federal judge threw out a legal challenge to CARNAHAN's involvement in the matter.

[redacted] advised he would recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On November 28, 1994, [redacted] Missouri State Capitol, Jefferson City, Missouri advised he has known MELVIN EUGENE CARNAHAN for [redacted] years. Both [redacted] and CARNAHAN's families have been involved in politics in Missouri for a number of years, and both have themselves been elected to various public offices at the state level in the past. [redacted] respects CARNAHAN, and considers him a friend. [redacted] sees or talks to CARNAHAN several times a week. [redacted] and his family do not socialize with CARNAHAN and his family, outside of attending state or political functions. While their wives are acquainted, they are not close friends.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri. He previously served at the Lt. Governor, and also as the state Treasure. CARNAHAN has a reputation as being a very honest, sincere, and solid public servant. CARNAHAN is considered to be a well rounded individual, and someone who is a person of his word.

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States. He is aware that CARNAHAN served in the U.S. Air Force.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted]s knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. [redacted] but CARNAHAN did not use any pressure or influence to try to intervene in the matter. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability,

discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. To the contrary, CARNAHAN worked to bring minorities into state government. CARNAHAN did not take an extremist position on any issue.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. He was aware that CARNAHAN was named in numerous lawsuits in his official capacity as Governor. Also, he is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office and traveling on state business. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. However, CARNAHAN also knew how to make time for his family, and seemed to have a good "balance" in his life between work and his personal life. To [redacted] knowledge, CARNAHAN was in good physical health.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. He was open minded, and handled stress situations well.

CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] He was also willing to express his own point of view after he had gathered all the facts. He was decisive, but not too quick to jump to a conclusion. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he felt CARNAHAN always "did the right thing" and did not let partisan politics get in the way of representing the interest of the citizens of Missouri. CARNAHAN recently took a strong position in opposition to "HANCOCK II", a tax cut initiative that was on the November, 1994 general Missouri ballot. While CARNAHAN was aggressive, he did not cross over any ethical or legal barriers, as obvious by the fact a federal judge threw out a legal challenge to CARNAHAN's involvement in the matter.

[redacted] advised he would most certainly recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On November 22, 1994, [redacted] State of Missouri, Supreme Court Building, Jefferson City, Missouri advised he is familiar with MELVIN EUGENE CARNAHAN. He has known CARNAHAN for approximately [redacted] years. [redacted] was a lawyer, and CARNAHAN's father was a U.S. Congressman from Missouri, and they were friends on a professional and political basis. CARNAHAN is both liked and respected by [redacted] sees or talks to CARNAHAN several times a week. [redacted] and his family do not socialize with CARNAHAN and his family outside of attending state functions and political events.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri. He previously served at the Lt. Governor, and also as the state Treasure. CARNAHAN has a reputation as being a very hard working, honest, and sincere. CARNAHAN is considered to be a well rounded individual, and someone who has a lot of integrity.

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. He was aware that one of [redacted] and CARNAHAN did not get involved in any way in this unfortunate event. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could

have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. CARNAHAN did a lot to mandated that his cabinet and state government reflected the diversity of the population of the state in general. However, CARNAHAN insisted that anyone, including minorities, had to be qualified for their positions for which they were being considered.

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. NIXON was aware that CARNAHAN, as Governor, was named in a lot of law suits in his official capacity. [redacted] is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] knows CARNAHAN to have a good work ethic. CARNAHAN seemed to have a good "balance" in his life between work and his personal life.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. He was open minded, and handled stress situations well.

CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] CARNAHAN's management style was to surround himself with the very best people, regardless of political affiliation, and draw on their experience and expertise. He delegated a lot of responsibility, but was not afraid to make a decision when he had the necessary facts. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he would most certainly recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted], at Jefferson City, Missouri:

ASSOCIATE:

On November 28, 1994, [redacted] Missouri Department of Public Safety, Truman State Office Building, Jefferson City, Missouri advised he is familiar with MELVIN EUGENE CARNAHAN, who is [redacted] and someone he admires greatly. [redacted] was previously employed as [redacted] when CARNAHAN took office as Governor in January, 1993. Previous to that time, [redacted] did not personally know CARNAHAN, and [redacted] was not politically active. He has learned to respect CARNAHAN, and considers him a friend. [redacted] sees CARNAHAN several times a month, and talks to him frequently on the telephone. [redacted] and his family do not socialize with CARNAHAN and his family, outside of attending state functions such as attending the Governor's inauguration, etc.

[redacted] advised CARNAHAN is a well respected as the Governor of Missouri. He previously served at the Lt. Governor, and also as the state Treasure. CARNAHAN has a reputation as being a very honest, sincere, and reasonable public servant. CARNAHAN is considered to be a well rounded individual, and someone who is dependable.

[redacted] advised CARNAHAN appears to live within his financial means, and [redacted] is unaware of any unusual debts or financial obligations that CARNAHAN has incurred. [redacted] advised CARNAHAN's character and reputation are above question, and [redacted] knows that CARNAHAN does not associate with undesirable individuals. [redacted] considers CARNAHAN to be emotionally stable. [redacted] believes CARNAHAN supports the Constitution, and has no reason to question CARNAHAN's loyalty to the United States.

[redacted] advised that he has no knowledge of CARNAHAN ever using, buying, or selling illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen CARNAHAN consume alcohol.

To [redacted] knowledge, neither CARNAHAN, nor anyone in CARNAHAN's family, has ever done anything that would be embarrassing to himself or the government. [redacted] but CARNAHAN did not use any pressure or influence to adjudicate the matter. [redacted] advised he was unaware of anything in CARNAHAN's background that CARNAHAN

would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. CARNAHAN has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known CARNAHAN to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. CARNAHAN did not take an extremist position on any issue. [redacted] described CARNAHAN as being "solid".

[redacted] advised he is unaware of CARNAHAN being involved in any personal litigation, or ever being fired from any job. Also, he is unaware of CARNAHAN ever being turned down for employment or a promotion. [redacted] believes CARNAHAN to have a good work ethic. CARNAHAN put in a lot of hours both in his capital office as well as entertaining officials on behalf of the state of Missouri in the Governor's Mansion. However, CARNAHAN also knew how to make time for his family, and seemed to have a good "balance" in his life between work and his personal life.

[redacted] felt that CARNAHAN had an even temperament, and he had never seen CARNAHAN loose his temper. He was open minded, and handled stress situations well.

CARNAHAN seemed approachable, and willing to listen to various opinions of [redacted] He was also willing to express his own point of view after he had gathered all the facts. He was decisive, but not too quick to jump to a conclusion. [redacted] felt CARNAHAN could keep confidences.

[redacted] advised he felt CARNAHAN always "did the right thing" and did not let partisan politics get in the way of keeping the interest and welfare of the citizens of Missouri as his number one objective.

[redacted] advised he would most certainly recommend CARNAHAN without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Investigative Assistant [redacted]

BAR DATA

On November 23, 1994, [redacted] Supreme Court of Missouri Clerk's Office, Jefferson City, Missouri, advised that MELVIN EUGENE CARNAHAN, Attorney Enrollment Number 17118, was licensed to practice law in the State of Missouri on September 5, 1959, and took the Oath on September 12, 1959. CARNAHAN is in good standing, his current attorney enrollment fee is paid and there is no record of disciplinary action against CARNAHAN by the Supreme Court of Missouri. The current address shown for CARNAHAN is P.O. Box 698, Rolla, Missouri 65401.

The Supreme Court of Missouri Clerk's Office maintains the official and permanent roll of attorneys for the State of Missouri, collects annual enrollment fees and distributes cards to all attorneys licensed to practice in Missouri. Disciplinary actions against attorneys are initiated by the Missouri Bar Association. Their findings are filed by the Clerk's Office of the Supreme Court of Missouri which decides the action to be taken against the attorney. Missouri has an integrated bar and all persons licensed to practice law in Missouri and whose fees are paid are members of the Missouri Bar Association.

On November 22, 1994, [redacted] Missouri Bar Administration, 3335 American Avenue, Jefferson City, Missouri, advised that files of that office show MELVIN EUGENE CARNAHAN to be in good standing. She advised, however, her office did have two complaints filed against CARNAHAN. A review of these complaints reflected the following information:

The Missouri Bar Association was in receipt of a letter dated May 18, 1978, from [redacted] The basis of this complaint concerned whether or not CARNAHAN had earned the amounts paid to him for consultation and retainer fees. On May 26, 1978, the Missouri Bar Administration advised [redacted] that fee disputes were normally not considered to be a violation of legal ethic. [redacted] was advised the question was a legal one to be determined by the court system if he desired to do so. The Missouri Bar determined there was no ethics violation by CARNAHAN and closed their file.

A complaint letter against MELVIN E. CARNAHAN dated May 5, 1978, was sent to the Missouri Bar committee from [redacted] The complaint advised the [redacted] hired CARNAHAN on August 23, 1974, for their

case [redacted] The [redacted] turned over to CARNAHAN vital documents, such as deeds, bill of sale, purchase contracts, invoices, checks, letters, etc. All of these documents CARNAHAN has continued to keep, refusing to relinquish these documents back to the [redacted] All letters of demand have not been answered.

[redacted] advised that on May 18, 1974, STROUT REALTY, Springfield, Missouri, sold to the [redacted] the property of [redacted] consisting of a house and acreage outside [redacted] The property was closed on June 4, 1974, at which time [redacted] took full possession. On June 5, 1974, the [redacted] wanted the property back and to keep the money the [redacted] paid for it. The [redacted] refused. The [redacted] their family and friends started a personal harassment, threats, abuse and vandalism of the property. The dispute continued until May 30, 1976, when the house was destroyed by fire.

The [redacted] claimed CARNAHAN acted in an unethical manner of conduct, he was negligent in numerous situations and his actions had caused unnecessary hardship on the said plaintiffs, harm to their character, harassment, abuse, embarrassment, damage, pain and injury to them.

On June 28, 1994, [redacted], Missouri Bar Administration, sent a letter to CARNAHAN stating it was the joint opinion of his office that CARNAHAN's action in filing suit on behalf of the court reporter and surveyor against his former client was improper. [redacted] advised CARNAHAN the steps he should have taken. [redacted] also stated CARNAHAN's withdrawal from the case should have been made prior to the hearing on the motion for new trial rather than afterward. It was [redacted] feelings that the above violations did not justify disciplinary proceedings against CARNAHAN.

The Missouri Bar Administration was established by the Missouri Supreme Court to investigate allegations of professional misconduct, incompetency and incapacity of lawyers.

The following investigation was conducted by Investigative Assistant [redacted]

ELECTION BOARD

On November 29, 1994, [redacted] Election Board, Jefferson City, Missouri, advised a check of his records met with negative results concerning MELVIN EUGENE CARNAHAN.

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The following investigation was conducted by Investigative Assistant [redacted] at Jefferson City, Missouri:

ATTORNEY GENERAL CHECKS:

On November 22, 1994, [redacted] MISSOURI STATE ATTORNEY'S GENERAL'S OFFICE, advised her office can only check for law suits involving companies and not individuals. She said this type check would have to be conducted at the OFFICE OF THE CIRCUIT CLERK for Cole County, Missouri. [redacted] did, however, checked the name of MELVIN EUGENE CARNAHAN and this check met with negative results.

On December 2, 1994, records of the Clerk of the Circuit Court of Cole County, Missouri were checked concerning MELVIN EUGENE CARNAHAN. This check reflected seven law suits filed since January 1, 1992 with CARNAHAN's name involved. A review of each of the seven files reflected that all of the law suits were filed against his position as a state official and not because of any of his personal actions.

The following investigation was conducted by Investigative Assistant [redacted] at Columbia, Missouri: b6 b7C

ARREST

The following individuals were all contacted at the indicated agencies on the listed dates with negative results concerning MELVIN EUGENE CARNAHAN.

On November 22, 1994, [redacted] (Protect Identity), [redacted] COLUMBIA, MISSOURI POLICE DEPARTMENT. b6 b7C b7D

On November 22, 1994, [redacted] Circuit Court, Columbia, Missouri. (It is noted the records of the Circuit Court covers all of Boone County, Missouri.) b6 b7C

On November 29, 1994, [redacted] UNIVERSITY OF MISSOURI POLICE DEPARTMENT.

The following investigation was conducted by Investigative Assistant [redacted] at Jefferson City, Missouri: b6 b7C

ARREST

The following individuals were all contacted at the indicated agencies on the listed dates with negative results concerning MELVIN EUGENE CARNAHAN:

On November 22, 1994, [redacted] (Protect Identity), [redacted] JEFFERSON CITY, MISSOURI POLICE DEPARTMENT. b6 b7C b7D

On November 28, 1994, [redacted] (Protect Identity), Criminal Records, MISSOURI STATE HIGHWAY PATROL.

On November 28, 1994, [redacted] (Protect Identity), Records Section, COLE COUNTY, MISSOURI SHERIFF'S OFFICE.

93-4393-CV, McENTIRE versus CARNAHAN, Et Al
93-4461-CV, JONES versus SCHULTZ, Et Al
93-4465-CV, SALES versus CARNAHAN, Et Al
93-6082-CV, BRADIN versus KEMNA, Et Al
94-0049-CV, HARDIN, Et Al versus UNITED STATES ATTORNEY'S OFFICE, Et Al
94-4108-CV, PARTON versus SCHRIRO, Et Al
94-4401-CV, SALES versus CARNAHAN, Et Al
94-4425-CV, CRAWFORD versus CARNAHAN, Et Al
94-6097-DV, HICKMAN versus STATE OF MISSOURI, Et Al
94-6101-CV, BOHN versus STATE OF MISSOURI, Et Al
94-6114-CV, WRIGHT versus CARNAHAN, Et Al
94-6133-CV, BARBER versus STATE OF MISSOURI, Et Al

On December 1, 1994, all of the above cases in the years of 1992 through 1994 were reviewed and disclosed all were related to appointee's position as Lieutenant Governor and Governor of the State of Missouri.

FEDERAL RECORDS CENTER
2312 East Bannister
Kansas City, Missouri

On December 6, 1994, all of the above cases in the years of 1981 through 1983 were reviewed and disclosed all were related to appointee's position as Treasurer of the State of Missouri.

The following investigation was conducted by Investigative Assistant [redacted]

CIVIL/CRIMINAL PROSECUTIVE ACTION:

UNITED STATES ATTORNEY'S OFFICE
Western District of Missouri
1201 Walnut, Suite 2300
Kansas City, Missouri

On November 18, 1994, [redacted] Docketing, advised a search of records failed to disclose any civil or criminal prosecutive action filed in the name of appointee MELVIN EUGENE CARNAHAN or [redacted]

UNITED STATES DISTRICT COURT
Western District of Missouri
Court Clerk's Office
811 Grand
Kansas City, Missouri

On November 18, 1994, a computer and microfiche search disclosed no civil or criminal prosecutive action filed in the name of [redacted]

On November 18, 1994, computer and microfiche search disclosed the following files in which MELVIN CARNAHAN was listed as a defendant.

81-4076-CV, ATKINS versus State of Missouri, Et Al
81-4270-CV, FULLER versus KIT BOND, Et Al
82-6036-CV, NURSKI versus REGAN, Et Al
83-4317-CV, ASH GROVE NURSING HOME, Et Al versus V. TOAN, Et Al
92-4113-CV, PARKER versus GROOSE, Et Al
93-0616-CV, FIELD versus KEMNA, Et Al
93-0826-CV, DIXON versus GROOSE, Et Al
93-1149-CV, PARKS versus KEMNA, Et Al

FD-263 (Rev. 4-30-85)

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
ST. LOUIS	BUREAU	12/6/94	11/22 - 12/2/94

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN	SA [redacted]	bjb
	CHARACTER OF CASE: SPIN	

REFERENCE: Bureau facsimile, dated 11/16/94.

- RUC -

X

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

St. Louis automated and manual indices were negative for appointee and close relatives.

APPROVED _____ SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
(2) - Bureau (161B-HO-1087906)
(ATTN: [redacted]
Spin Unit, Room 4371)
2 - St. Louis (161B-HQ-1087906)

CC DES ROYER

4371

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

A*
COVER PAGE

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [redacted] Office: ST. LOUIS
Date: December 6, 1994

Field Office File #: 161B-HQ-1087906 Bureau File #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN

Character: SPECIAL INQUIRY

Synopsis: Military records verified, no courts martial or disciplinary actions noted. Employment verified, co-workers gave favorable comments. Current residence verified and three neighbors gave favorable comments. References gave favorable comments. Local criminal checks negative. Four local individuals knowledgeable of appointee gave favorable comments.

- RUC -

DETAILS: AT ST. LOUIS, MISSOURI

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

SL 161B-HQ-1087906
VXJ:lgs

St. Louis Division
at St. Louis, Missouri

MILITARY SERVICE

An analysis on December 2, 1994 by IA [redacted] of the records at the FEDERAL RECORDS CENTER, Military Branch, 9700 Page Boulevard, indicated MELVIN EUGENE CARNAHAN, serial number A02-209-097, was appointed a second lieutenant (0-1) in the Air Force Reserve on June 9, 1954, after completing the Reserve Officer's Training Corps Program at George Washington University at Washington, D.C. He served inactively until October 25, 1954, when he entered on active duty. He was honorably released from active duty on October 24, 1956, as a first lieutenant (0-2) and was transferred to the Reserve. He served in the Reserve from October 25, 1956 to February 26, 1968, when he was honorably discharged as a captain (0-3), highest rank, by reason of resignation.

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He had no foreign service and his character/efficiency ratings ranged from Satisfactory to Very Fine. There was no record of court-martial or absence without leave.

He was granted a Top Secret clearance on November 10, 1954, which was terminated at resignation.

His date and place of birth were shown as February 11, 1934 at Birch Tree, Missouri.

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161B-HQ-1087906
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St. Louis Division
at Rolla, Missouri

EMPLOYMENT

CARNAHAN, CARNAHAN & HICKLE
Fifth and Main Street
Rolla, Missouri 65401

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 28, 1994:

[redacted] date of birth [redacted] Attorney, CARNAHAN, CARNAHAN & HICKLE, Fifth and Main Street, Rolla, Missouri 65401, telephone number [redacted] was advised of the identity of the interviewing agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

The appointee started his law practice in 1961 practicing both civil and criminal law. The appointee was the sole practitioner, but in approximately 1978 he hired a [redacted] to join the practice. [redacted] left the practice in approximately 1979. [redacted] was unable to provide any information concerning the current location of [redacted] In [redacted] joined the firm [redacted] and through the years there have been several other attorneys who have worked in the offices including: [redacted] and the current associate of [redacted] When the appointee became Lieutenant Governor of the State of Missouri he remained in the firm but saw no clients and started to withdraw from the firm. When the appointee became Governor of the State of Missouri, the appointee completely withdrew from the firm and his name was removed from the letterhead of the firm. The appointee has no financial interest in the firm itself but does maintain financial interest in the building where the firm is located and some equipment maintained by the firm. [redacted] stated no personnel files are maintained and that the salary information is not available, but would be reported on the appointee's tax return.

[redacted] indicated that the appointee enjoys an outstanding reputation in the legal profession and the community. The appointee was considered a competent, fair and even-tempered attorney when he was practicing law in the Rolla area. [redacted] considers the appointee to be fair and unbiased in his handling of the clients and is unaware of any memberships in an

organization or group that restricts their membership based on race, sex, religion or national origin. [redacted] is unaware of any pending civil suits or conflicts of interest issues regarding the appointee. [redacted] indicated he is unaware of any drug use either legal or prescription or any use of alcohol by the appointee. [redacted] is unaware of any circumstances that, if known by another individual, could be used to coerce or influence the appointee or would have any bearing on his suitability for employment or access to sensitive material. [redacted] indicated the appointee is financially stable and he would highly recommend him for a position of trust with the federal government.

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161B-HQ-1087906
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St. Louis Division
at Rolla, Missouri

EMPLOYMENT

CARNAHAN, CARNAHAN & HICKLE
Fifth and Main Street
Rolla, Missouri 65401

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 28, 1994:

[redacted] date of birth [redacted] telephone number [redacted] CARNAHAN, CARNAHAN & HICKLE, Fifth and Main Street, Rolla, Missouri, telephone number [redacted] was advised of the identity of the interviewing agent and that she was being contacted in regards to the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] was hired by the appointee in [redacted] as [redacted] The appointee was willing to take a chance on her inasmuch as she had little experience in the legal area. The appointee was always polite and businesslike in all his dealings with her and any other female employees of the firm. [redacted] never observed any inappropriate behavior concerning any of the employees, whether male or female, in the firm. [redacted] believes the appointee to be an honest, trustworthy and to be a man of outstanding integrity. [redacted] never observed any bias or prejudice in the dealings with the appointee's clients and believes the appointee to be fair and open-minded individual. [redacted] is unaware of any drug use or abuse of alcohol and believes the appointee to be a loyal United States citizen. [redacted] is unaware of any behavioral circumstances that, if known by another individual, could be used to coerce or influence the appointee or would have any bearing on his suitability for employment or access to sensitive information. [redacted] would recommend the appointee for a position of trust with the federal government.

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161B-HQ-1087906
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St. Louis Division
at Rolla, Missouri

EMPLOYMENT

CARNAHAN, CARNAHAN & HICKLE
Fifth and Main Street
Rolla, Missouri 65401

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 28, 1994:

[redacted] date of birth [redacted], CARNAHAN, CARNAHAN & HICKLE, Fifth and Main Street, Rolla, Missouri, telephone number [redacted] was advised of the identity of the interviewing agent and that she was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] stated she started working for the firm in May of 1984, when the appointee was the State Treasurer and was not real active in the firm. When he returned to the firm in January of 1985, the appointee always treated all the employees with respect and "almost family like." The appointee was always personable, caring, honest and "big hearted" in his dealings with the employees at the firm. The appointee always treated the female employees with respect and [redacted] never observed inappropriate actions towards any of the employees whether male or female. [redacted] never observed any indication the appointee had any bias or prejudice towards any clients based on their race, sex or religion. [redacted] is unaware of any drug use either illegal or prescription by the appointee or any abuse of alcohol by the appointee. [redacted] believes the appointee to be a loyal citizen of the United States. [redacted] is unaware of any behavioral or circumstances that, if known by another individual, could be used to coerce or influence the appointee or would have any bearing on the appointee's suitability for employment or access to sensitive information. [redacted] highly recommended the appointee for a position of trust with the federal government.

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161B-HQ-1087906
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St. Louis Division
at Rolla, Missouri

EMPLOYMENT

CARNAHAN, CARNAHAN & HICKLE
Fifth and Main Streets
Rolla, Missouri 65401

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 28, 1994:

[redacted] date of birth [redacted], law offices of WILLIAMS, ROBINSON, TURLEY & WHITE, P.C., 202 West 9th Street, Rolla, Missouri 65401, telephone number [redacted] was advised of the identity of the interviewing agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] worked in the offices of CARNAHAN, CARNAHAN & HICKLE, Rolla, Missouri, from April 1, 1984 to April 1, 1987 as a [redacted] and has known the appointee for approximately [redacted] on a professional basis. [redacted] believes the appointee is above reproach in regards to his honesty, trustworthiness and integrity. [redacted] indicated that appointee was a competent, fair and professional attorney who chose to focus his practice on low profile cases. [redacted] believed the appointee could handle any type case if he set his mind to it. [redacted] always felt the appointee was a "gentleman's gentleman who if he gave you his word, it was good as gold." [redacted] is unaware of any bias or prejudice towards any group based on race, sex or religion and [redacted] is unaware of the appointee's membership in any group that restricts its membership based on race, sex or religion. [redacted] is unaware of any drug use or abuse of alcohol by the appointee and believes the appointee to be a good loyal citizen. [redacted] is unaware of any circumstances or behavior that, if known by another individual, could be used to coerce or influence the appointee or would affect the suitability of the appointee from employment or access to sensitive information. [redacted] would highly recommend the appointee for a position of trust with the federal government.

161B-HQ-1087906
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St. Louis Division
at Rolla, Missouri

EMPLOYMENT

CARNAHAN, CARNAHAN & HICKLE
Fifth and Main Streets
Rolla, Missouri 65401

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 29, 1994:

[redacted], date of birth [redacted], CARNAHAN, CARNAHAN & HICKLE, Fifth and Main Streets, Rolla, Missouri 65401, telephone number (314) 364-5134, was advised of the identity of the interviewing agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] joined the law firm in the summer of 1983, at which time the appointee was the Treasurer of the State of Missouri. At this time, the appointee was away from the office most of the time but in 1984 returned to the practice, at which time [redacted] worked with the appointee on a daily basis. [redacted] described the appointee as having "impeccable character, reputation and is complete honesty." [redacted] believes the appointee to be completely trustworthy and a loyal citizen. [redacted] further described the appointee as reserved and easygoing, which [redacted] thought might have caused the appointee problems when he became the Governor of the State of Missouri but, the appointee has "rose to the occasion, and in [redacted] opinion has done an excellent job as Governor of the State of Missouri." Based on the appointee's "A" rating by the MARTINDALE AND HUBBEL survey of attorneys and judges, [redacted] believes the appointee is well respected for his ability and demeanor as an attorney. [redacted] advised the appointee got along well with all the workers in the office and [redacted] never observed any inappropriate behavior by the appointee towards any of the employees, either male or female. [redacted] is unaware of any drug use, either illegal or prescription, and does not believe the appointee uses alcohol at all. [redacted] never observed any bias or prejudice in the appointee's handling of his clients and is unaware of the appointee's membership in any organization which limits its membership based on race, sex or religion. [redacted] is unaware of any circumstances, including financial status, that, if known by another individual could be used to coerce or influence the appointee or would have any bearing on the appointee's

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161B-HQ-1087906

suitability for employment for access to sensitive information. [redacted] would recommend the appointee for a position of trust with the federal government.

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St. Louis Division
at Rolla, Missouri

NEIGHBORHOOD

13750 County Road 4030
Rolla, Missouri 65401

The following investigation was conducted by Special Agent (SA) [redacted] at Rolla, Missouri:

On November 27, 1994, [redacted], date of birth (DOB) [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] since he moved into his current residence, [redacted] years ago. He indicated he has not socialized with the appointee but has, in the past, [redacted] he never observed any indication of improper activities, including drug use or alcohol abuse. He further indicated he has never observed any questionable associates at the residence of the appointee while he was working at the residence. He advised the appointee enjoys a good reputation in the community and he considers the appointee to be a loyal citizen. [redacted] believes the appointee to be of good character. [redacted] he can only recall one incident that he considers inappropriate. The appointee let a grass fire get away from him which caused property damage to his and his families property and the appointee never said anything about the incident. Even in view of the above incident, [redacted] considers the appointee to be a good neighbor.

[redacted] is unaware of any behavior or circumstances that, if known by another individual, could be used to coerce or compromise the appointee or would have a bearing on the appointee's suitability for employment or access to sensitive information.

[redacted] would recommend the appointee for a position of trust with the Federal Government.

On November 28, 1994, [redacted] DOB [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has resided [redacted] for the last [redacted] years and has known the appointee for at least [redacted] years. [redacted] described the appointee as being of outstanding "moral character" and knows of no one having a better reputation in the community. [redacted] believes the appointee to be a man of outstanding integrity and a loyal United States citizen. [redacted] is unaware of any illegal drug use or abuse of prescription drugs and any use of alcohol by the appointee. [redacted] indicated that he has never observed the appointee exhibit any bias or prejudice and is unaware of any membership in any organizations or group that restricts its membership based on race, sex or religion. [redacted] is also unaware of any behavior or circumstances, which if known by another individual, could be used to coerce or influence the appointee or could have any bearing on the appointee's suitability for employment or access to sensitive information. [redacted] highly recommends the appointee for a position of trust with the United States Government.

On November 29, 1994, [redacted] DOB [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has lived at his current residence for approximately [redacted] years but has known the appointee for approximately [redacted] years. [redacted] describes the appointee as an honest, trustworthy individual who enjoys an excellent reputation in the community. He indicated he is unaware of any use of drugs or abusive alcohol by the appointee. [redacted] is unaware of any behavior or circumstances that could be used to coerce or influence the appointee or have any bearing on the appointee's suitability for employment access to sensitive information. [redacted] believes the appointee to be a loyal United States citizen and would recommend him for a position of trust with the United States Government.

It is noted that the appointee lives in a rural area of Missouri and no other neighbors could be located.

161B-HQ-1087906
MLS:lmf

St. Louis Division
at Rolla, Missouri

REFERENCES

The following investigation was conducted by Special Agent (SA) [redacted] on Tuesday, November 22, 1994, at Rolla, Missouri:

[redacted] date of birth (DOB) [redacted] Rolla Public Schools, 6th and Main Street, Rolla, Missouri, telephone number (work) [redacted] (home) [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has known the appointee for approximately [redacted] years as the appointee was on the Rolla Public School Board when [redacted] From that point on, [redacted] both worked with the appointee and became a good friend of the appointee. [redacted] considers the appointee to be of outstanding character and possess a good reputation in the community. [redacted] believes the appointee extremely honest and trustworthy and to be a man with integrity.

[redacted] is unaware of any use of illegal drugs, abuse of prescription drugs or abuse of alcohol by the appointee. He is also unaware of the appointee being a member of any club or organization which basis its membership on race, sex, religion or national origin. [redacted] is unaware of the appointee's membership in any organization that advocates the overthrow of the United States Government and considers the appointee to be a good loyal citizen.

[redacted] is unaware of any current or past behavior or circumstances that, if known to another individual, could be used to coerce or influence the appointee or may have a bearing on his suitability for Federal employment or access to classified information.

[redacted] would highly recommend the appointee for a position of trust in the United States Government.

The following investigation was conducted by SA [redacted] on Sunday, November 27, 1994, at Rolla, Missouri:

[redacted] DOB [redacted] Missouri National Guard, 2302 Milicia Drive, Jefferson City, Missouri, telephone number [redacted] home address [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has known the appointee for approximately [redacted] years. first meeting him [redacted] in [redacted] advised he has been [redacted] at his current residence for the past [redacted] years. [redacted] worked with the appointee on the local school board, when the appointee was State Treasurer from January, 1981 to January, 1985 and at his current position as [redacted] of the Missouri National Guard.

[redacted] described the appointee as a good "Christian man" who carries this to his dealings with people, but is firm in his decision making. [redacted] advised the appointee has the ability to lead with both kindness and firmness when necessary. He indicated the appointee is honest, trustworthy, and of the highest character and has a good reputation in the local community and in the State of Missouri.

[redacted] is unaware of any use of illegal drugs or abuse of prescription drugs and indicated the appointee does not use alcohol. He is unaware of any behavior or circumstances that, if known by an individual, could be used to subject the appointee to coercion or influence or that would have any bearing on the appointee's suitability for employment or access to classified material. He further indicated he is unaware of the appointee's membership in clubs or organizations which base their membership on race, sex, religion or national origin. He further indicated he has never observed the candidate exhibit any bias or prejudice towards any individuals.

[redacted] believes the appointee to be a loyal citizen and would highly recommend the appointee for a position of trust with the Federal Government.

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161B-HQ-1087906
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St. Louis Division
at Rolla, Missouri

AGENCY CHECKS

The following investigation was conducted by Special Agent (SA) [redacted] at Rolla, Missouri:

On November 22, 1994, a review of the arrest and traffic records of the ROLLA POLICE DEPARTMENT, 1100 Elm Street, Rolla, Missouri, were reviewed revealing the appointee was issued a traffic citation on June 30, 1979 for speeding, 59 miles per hour in a 45 mile per hour zone. The record further indicated the appointee paid a fine of $12.00. No other records identifiable with the appointee were located.

On November 27, 1994, a review of the arrest records of the PHELPS COUNTY, MISSOURI SHERIFF'S DEPARTMENT, 2nd and Main Streets, Rolla, Missouri, failed to locate any record identifiable with the appointee.

On November 28, 1994, Security and Complaint Assistant (SCA) [redacted] St. Louis Office of the FEDERAL BUREAU OF INVESTIGATION (FBI), caused the computerized files of the MISSOURI DEPARTMENT OF REVENUE (driver's history records), REGIONAL JUSTICE INFORMATION SERVICE (covering St. Louis, Missouri, St. Louis County, Missouri and its 89 municipalities), the MISSOURI UNIFORM LAW ENFORCEMENT SYSTEM (statewide network) and the NATIONAL CRIME INFORMATION CENTER to be reviewed disclosing no arrest record or wanted notices identifiable with the appointee.

161B-HQ-1087906
MLS:lmf

St. Louis Division
at St. Louis, Missouri

UNITED STATES ATTORNEY'S OFFICE CHECKS

The following investigation was conducted by Investigative Assistant (IA) [redacted] on Thursday, November 23, 1994, at St. Louis, Missouri: b6 b7C

Inquiry at the Office of the United States Attorney, Eastern District of Missouri, 1114 Market Street, St. Louis, Missouri, determined no reference to the appointee could be located in the office pending or closed criminal or civil files.

161B-HQ-1087906
MLS:lmf

St. Louis Division
at Rolla, Missouri

OTHER INTERVIEWS CONDUCTED

The following investigation was conducted by Special Agent (SA) [redacted] on Tuesday, November 22, 1994 at Rolla, Missouri:

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[redacted] date of birth [redacted] [redacted] ROLLA POLICE DEPARTMENT, 1100 Elm Street, Rolla, Missouri, telephone number (314) 364-1213, was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has known the appointee, on a professional basis, for approximately [redacted] years, first meeting the appointee when he was a local attorney representing a defendant in a criminal case. [redacted] indicated the appointee always conducted himself in a professional and businesslike manner. The appointee enjoys a good reputation as an attorney and a public servant in the State of Missouri. [redacted] is unaware of any use of illegal drugs, abuse of prescription drugs or abuse of alcohol by the appointee and considers the appointee to be a loyal United States citizen. [redacted] is unaware of any behavior or circumstances that, if known by another individual, could be used to coerce or compromise the appointee or affect his suitability for employment or access to sensitive information. He is unaware of the appointee's membership in any organizations that base their membership on race, sex, religion or national origin.

[redacted] indicated he would recommend the appointee for a position of trust with the United States Government.

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161B-HQ-1087906
MLS:bjb

St. Louis Division
at Rolla, Missouri

OTHER INTERVIEWS CONDUCTED

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 28, 1994:

[redacted] date of birth [redacted] telephone number [redacted] was advised of the identity of the interviewing agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has known the appointee as a member of the community for over [redacted] years. [redacted] believes the appointee to be honest, trustworthy and of outstanding character and integrity. [redacted] indicated the appointee has an excellent reputation in the community as an attorney and a public servant. [redacted] is unaware of any use of drugs or abuse of alcohol by the appointee and believes the appointee to be a loyal United States citizen. [redacted] has never observed any indication that the appointee is biased or prejudiced towards any persons or groups based on race, sex or religion. [redacted] was unaware of any behavior or circumstances that, if known by another individual, could possibly be used to influence or coerce the appointee or would have any bearing on the appointee's suitability for employment or access to sensitive information. [redacted] believes the appointee to have a sound financial background and is currently financially sound. [redacted] would recommend the appointee for a position of trust with the federal government.

161B-HQ-1087906
MLS:bjb

St. Louis Division
at Rolla, Missouri

OTHER INTERVIEWS CONDUCTED

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 28, 1994: b6 b7C

[redacted] date of birth [redacted] Rolla, Missouri, telephone number [redacted] was advised of the identity of the interviewing agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] advised, when he was with a local law firm in the Rolla area, he practiced against the appointee on several occasions. [redacted] always found the appointee "totally honest", fair and professional. [redacted] rated the appointee as an above average attorney that possessed good temperament and common sense. [redacted] indicated the appointee possesses an excellent reputation in the community as an attorney and public servant. [redacted] is unaware of any complaints filed against the appointee and is unaware of any financial difficulties. [redacted] has no reason to doubt the appointee's loyalty to the United States and believes the appointee to be a good citizen. [redacted] never observed any bias or prejudice in the way the appointee practiced law and is unaware of the appointee's membership in any organization that restricts its membership based on race, sex, religion or national origin. [redacted] is unaware of any drug use either legal or prescription, or abuse of alcohol by the appointee. [redacted] advised he is unaware of any behavior or circumstances that could be used to coerce or influence the appointee, or would have any bearing on his suitability for employment or access to sensitive information. [redacted] highly recommended the appointee for a position of trust.

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16B-HQ-1087906
MLS:bjb

St. Louis Division
at Rolla, Missouri

OTHER INTERVIEWS CONDUCTED

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION, Rolla, Missouri, on November 29, 1994:

[redacted] date of birth [redacted], [redacted] FIRST BAPTIST CHURCH of Rolla, Ninth and Cedar Streets, Rolla, Missouri, telephone number (314) 364-3060, was advised of the identity of the interviewing agent and that he was being contacted in connection with the background investigation of MELVIN EUGENE CARNAHAN. [redacted] provided the following information:

[redacted] has been [redacted] of the FIRST BAPTIST CHURCH of Rolla since [redacted] and has known the appointee since that time. [redacted] indicated the appointee is a former deacon of the church and has, in the past, been very active on committees at the church. [redacted] described the appointee as having a high moral character and an outstanding reputation in the church and the community. [redacted] indicated although he and others do not always agree with all the appointee's political stands, the appointee is respected for his practical thinking and genuine feelings towards issues. [redacted] believes the appointee to be a loyal citizen. [redacted] is unaware of any drug use or alcohol abuse and believes the appointee to be completely unbiased and is not prejudiced based on race, sex or religion. [redacted] is unaware of a any circumstances or behavior which could be used to coerce or influence the appointee or would have any bearing on the suitability of the appointee for employment or access to sensitive information. [redacted] would recommend the appointee for a position of trust with the United States Government.

RECEIVED
TELETYPE UNIT
23 Nov 94 11 05Z
FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.
ADD Adm.
ADD Inv.
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Info. Mgmt.
Insp.
Intell.
Lab.
Off. of Public Affairs
Legal Coun.
Telephone Rm.
Director's Sec'y

4371

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DE FBIKC #0006 3262350

ZNR UUUUU

P 222347Z NOV 94

FM FBI KANSAS CITY (1613-HQ-1087906) (SQ4) (P)

TO DIRECTOR FBI/PRIORITY/

FBI ST LOUIS/PRIORITY/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //3350:SQ 4//

PASS: [redacted] SPIN UNIT, ROOM 4371, FBIHQ.

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b7C

SUBJECT: MELVIN EUGENE CARNAHAN; SPIN; OO: FBIHQ; BUDED: DEC 8, 1994.

RE BUREAU AIRTEL DATED NOV 16, 1994, CAPTIONED AS ABOVE.

ON NOV 22, 1994, CANDIDATE, WHO IS THE CURRENT GOVERNOR OF THE STATE OF MISSOURI, WAS INTERVIEWED AT HIS MISSOURI STATE CAPITAL OFFICE. THE PURPOSE OF THE INTERVIEW WAS EXPLAINED AT THE OUTSET OF THE INTERVIEW. ALL INFORMATION SET

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OUT IN CANDIDATE'S BACKGROUND QUESTIONNAIRE (SF-86) WAS REVIEWED AND CANDIDATE ADVISED IT WAS COMPLETE, TRUE, AND ACCURATE.

CANDIDATE ADVISED HE WAS UNAWARE OF ANY BUSINESS OR PERSONAL ISSUES SUCH AS REPOSSESSIONS, DELINQUENT STUDENT LOANS, OR DEBTS PLACED FOR COLLECTION THAT HE HAD NOT REPORTED IN THE BACKGROUND QUESTIONNAIRE. CANDIDATE ADVISED CONCERNING CIVIL SUITS, IN ALL INSTANCES HE HAS BEEN SUED IN HIS OFFICIAL CAPACITY AS THE GOVERNOR, LT. GOVERNOR, AND TREASURE OF THE STATE OF MISSOURI, AND CERTAINLY NOT BECAUSE OF ANY OF HIS PERSONAL ACTIONS. CANDIDATE ADVISED CONCERNING A COMPLAINT FILED WITH THE MISSOURI BAR ASSOCIATION, THAT COMPLAINT ARISE OUT OF HIS HANDLING OF A CIVIL LEGAL MATTER, IN HIS CAPACITY AS A PRACTICING ATTORNEY IN HIS OWN LAW PRACTICE PRIOR TO HIS INVOLVEMENT IN ELECTED OFFICE. A CLIENT WAS DISSATISFIED WITH THE WAY A JUDGE RULED IN THE CASE, WHICH INVOLVED A PROPERTY LINE DISPUTE, AND FILED COMPLAINTS AGAINST BOTH CANDIDATE AND THE JUDGE. THE MISSOURI BAR ASSOCIATION DETERMINED THE COMPLAINT WAS WITHOUT BASIS OR MERIT, AND THE MATTER WENT NO FURTHER.

CANDIDATE ADVISED HE HAS IN THE PAST, IN HIS PRIVATE LAW

PRACTICE, SUED SEVERAL CLIENTS FOR PAYMENT FOR SERVICES. PER CANDIDATE, THERE WAS NOTHING EXTRAORDINARY CONCERNING THESE SUITS. CANDIDATE ADVISED HE HAS TOTALLY SEPARATED HIMSELF FROM HIS LAW PRACTICE IN DEC, 1992, JUST PRIOR TO THE TIME HE WAS INSTALLED AS GOVERNOR OF MISSOURI. [redacted] b6 b7C CURRENTLY IS INVOLVED IN THIS LAW PRACTICE, WHICH USES THE NAME CARNAHAN, CARNAHAN, AND HICKLE, ROLLA, MISSOURI.

CANDIDATE ADVISED HE HAS NEVER BEEN INVOLVED IN ANY CRIMINAL MATTER AS A SUSPECT OR SUBJECT, AND HAS NEVER BEEN CRIMINALLY CHARGED, ARRESTED, OR CONVICTED.

CANDIDATE DENIED HAVING EVER BEEN DENIED EMPLOYMENT OR DISMISSED FROM ANY EMPLOYMENT.

CANDIDATE ADVISED ALL CONTACTS WITH FOREIGN OFFICIALS, AND ALL FOREIGN TRAVEL HAS BEEN IN HIS OFFICIAL CAPACITY AS AN ELECTED OFFICIAL OF THE STATE OF MISSOURI. CANDIDATE HAS NO LASTING RELATIONSHIP WITH ANY FOREIGN ENTITY, AND DENIED HAVING EVER ACCEPTED ANYTHING MORE THAN NOMINAL GIFTS FROM FOREIGN OFFICIALS AND SOURCES.

CANDIDATE ADVISED HE IS UNAWARE OF ANYTHING IN HIS PERSONAL LIFE THAT COULD BE USED TO COERCE HIM. HE IS UNAWARE

OF ANY PROFESSIONAL COMPLAINTS, NONJUDICIAL DISCIPLINARY ACTION SUCH AS BAR ASSOCIATION GRIEVANCES, BETTER BUSINESS COMPLAINTS, STUDENT OR MILITARY DISCIPLINARY PROCEEDINGS, OR EQUAL EMPLOYMENT OPPORTUNITY COMPLAINTS, THAT HAVE NOT BEEN ADDRESSED ABOVE.

CANDIDATE ADVISED HE IS UNAWARE OF ANY BUSINESS OR INVESTMENT CIRCUMSTANCES ON HIS PART THAT INVOLVED CONFLICT OF INTEREST ALLEGATIONS. CANDIDATE ADVISED CONCERNING HIS INVOLVEMENT IN OZARK FARMS, INCORPORATED, ELSINORE, MISSOURI, THIS WAS A CHARCOAL MANUFACTURING COMPANY THAT HE OWNED ALONG WITH [redacted] AND A THIRD INDIVIDUAL BY THE NAME OF [redacted] THE CORPORATION WAS FORMED IN THE EARLY 1970'S, AND APPLICANT AND [redacted] SOLD THEIR INTEREST TO [redacted] IN 1975. CANDIDATE AFTER THAT TIME ACTED AS THE CORPORATION'S ATTORNEY. AS A RESULT OF A MAJOR FIRE THAT DESTROYED THE COMPANY, THE COMPANY WENT INTO BANKRUPTCY, AND HAS NOT BEEN AN ACTIVE CORPORATION SINCE 1985. CANDIDATE WAS INVOLVED IN THE CAPACITY OF ATTORNEY FOR THE COMPANY IN HANDLING SOME OF THE BANKRUPTCY LEGAL WORK. THIS WORK WAS COMPLETED IN 1989, BUT THE BANKRUPTCY MATTER IS STILL PENDING IN THE COURTS.

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CANDIDATE DENIED ANY PSYCHOLOGICAL COUNSELING WITH PSYCHIATRISTS, PSYCHOLOGIST, OR OTHER QUALIFIED COUNSELORS OR OTHERS. CANDIDATE DENIED ANY ABUSE OF ALCOHOL OR PRESCRIPTION DRUGS, OR ANY USE OF ILLEGAL DRUGS TO INCLUDE MARIJUANA, OR PARTICIPATION IN ANY DRUG/ALCOHOL COUNSELING OR REHABILITATION PROGRAMS. CANDIDATE ADMITTED HE TAKES PRESCRIPTION DRUGS ON THE ORDER OF HIS PHYSICIAN. HE TAKES "NORVASC" (PHONETIC), 5MG DAILY, FOR HIGH BLOOD PRESSURE, AND ALSO AN UN-RECALLED DRUG FOR CONTROL OF HIGH CHOLESTEROL. HE ALSO TAKES ONE ASPIRIN DAILY TO AVOID HEART DISEASE, ON THE ADVICE OF HIS PHYSICIAN, WHO HE IDENTIFIED AS [redacted] INTERNAL MEDICINE CONSULTANTS, JEFFERSON CITY, MISSOURI. b6 b7C

CANDIDATE ADVISED CONCERNING HIS MEMBERSHIP IN THE MISSOURI ATHLETIC CLUB, ST. LOUIS, MISSOURI, HE HAD A NON-RESIDENT MEMBERSHIP IN THE EARLY 1980'S WHEN THE CLUB RESTRICTED MEMBERSHIP AS SEX (MALES ONLY). THE CLUB DID NOT DISCRIMINATE AGAINST ANY OTHER GROUP OR INDIVIDUAL TO THE KNOWLEDGE OF CANDIDATE. CANDIDATE WAS NEVER AN OFFICER OF THE CLUB, AND WAS NOT INVOLVED IN SETTING IT'S POLICIES. HE DROPPED HIS MEMBERSHIP IN THE CLUB IN THE MID 1980'S. HE WAS

GIVEN A "HONORARY" MEMBERSHIP AGAIN IN THE CLUB WHEN HE BECAME GOVERNOR IN 1993. BY THAT TIME, THE CLUB HAD CHANGED IT POLICY, AND DID NOT LIMIT IT'S MEMBERSHIP TO MALES ONLY. CANDIDATE ADVISED HE WOULD HAVE NOT ACCEPTED HONORARY MEMBERSHIP IN THE CLUB IN 1993 IF IT HAD ANY DISCRIMINATORY POLICES AT THAT TIME. CANDIDATE WAS UNAWARE EXACTLY WHEN THE CLUB CHANGED THEIR MEMBERSHIP POLICY.

CANDIDATE DENIED CONCEALING ANY ACTIVITY OR CONDUCT THAT COULD BE USED TO INFLUENCE, PRESSURE, COERCE, OR COMPROMISE HIMSELF IN ANY WAY, OR THAT COULD HAVE AN ADVERSE IMPACT ON HIS CHARACTER, JUDGEMENT, STABILITY, DISCRETION, TRUSTWORTHINESS, OR RESPONSIBILITY. CANDIDATE DENIED ANY KNOWLEDGE AS AN INDIVIDUAL AND/OR EMPLOYER OF ANY FEDERAL, STATE, OR LOCAL TAX OBLIGATION. HE DENIED HAVING EVER MADE ANY BACK PAYMENT OF ANY SUCH TAX. CANDIDATE ADMITTED ONE YEAR ONE OF HIS BUSINESS DEDUCTIONS WAS DISALLOWED AND HE HAD TO PAY ADDITIONAL FEDERAL INCOME TAX, BUT THIS WAS A ROUTINE MATTER, AND THERE WAS NO HINT OF ANY CRIMINAL INTENT, OR

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DE FBIKC #0007 3262352

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P 222347Z NOV 94

FM FBI KANSAS CITY (1613-HQ-1087906) (SQ4) (P)

TO DIRECTOR FBI/PRIORITY/

FBI ST LOUIS/PRIORITY/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

CITE: //3350:SQ 4//

PASS: [redacted] SPIN UNIT, ROOM 4371, FBIHQ.

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b7C

SUBJECT: MELVIN EUGENE CARNAHAN; SPIN; OO: FBIHQ; BUDED: DEC 8, 1994.

TEXT CONTINUES:

ANYTHING IMPROPER BEING DONE ON HIS PART. CANDIDATE COULD NOT RECALL THE YEAR THIS HAPPENED, OR THE EXACT CIRCUMSTANCES, BUT BELIEVED HE HAD AN ACCOUNTANT HANDLE HIS TAXES THAT YEAR, AND THIS WAS A CASE OF HIS ACCOUNTANT INTERPRETING TAX LAW

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DIFFERENTLY THAT WHAT THE WAY IRS INTERPRETED THE TAX LAW.

FOR THE INFORMATION OF FBIHQ, KANSAS CITY DIVISION INDICES NOTE NUMEROUS REFERENCES TO CANDIDATE, BUT THEY ALL RELATE TO HIS OFFICIAL POSITION AS AN ELECTED OFFICIAL IN THE STATE OF MISSOURI, WITH THE FOLLOWING EXCEPTION:

BY MEMO DATED NOV 18, 1994, FROM SSRA [redacted] TO THE SAC, KANSAS CITY, (194-53), THE FOLLOWING INFORMATION WAS RELATED:

"ON OCT 28, 1994, [redacted] FROM SPRINGFIELD, MISSOURI, TELEPHONICALLY CONTACTED THE FBI (SPRINGFIELD RESIDENT AGENCY) AND ADVISED THAT ON THURSDAY, OCT 20, 1994, HE WAS CONTACTED BY [redacted] WEBSTER OIL COMPANY IN SPRINGFIELD, MISSOURI. [redacted] TOLD [redacted] THAT IN A RECENT CONVERSATION WITH [redacted] WHO IS [redacted] FOR UNITED MISSOURI BANK, (SPRINGFIELD, MISSOURI), [redacted] TOLD [redacted] THAT HE WAS CALLED BY GOVERNOR CARNAHAN FROM THE STATE OF MISSOURI, AND ASKED TO CONTRIBUTE $50,000 TO THE CAMPAIGN FIGHTING AGAINST THE HANCOCK II AMENDMENT (A MISSOURI STATE AMENDMENT ON THE STATE BALLOT RELATING TO TAXES THAT WAS DEFEATED ON NOV. 8, 1994). IN RETURN FOR MAKING THIS $50,000 CAMPAIGN

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CONTRIBUTION, [redacted] WAS ASSURED THAT THE STATE OF MISSOURI SCHOOL TEACHERS PENSION FUND WOULD BE MOVED BACK INTO THE UNITED MISSOURI BANK. [redacted] ADVISED THAT HE HAD DETERMINED THAT [redacted] EMPIRE GAS IN LEBANON, MISSOURI IS ALSO AWARE OF THIS INCIDENT SINCE [redacted] ALLEGEDLY TOLD [redacted] THIS SAME THING.

IN ADDITION [redacted] ADVISED THAT HE HAS RECEIVED INFORMATION CONCERNING [redacted] CONSOLIDATED FREIGHT, INCORPORATED (CFI) IN JOPLIN, MISSOURI, AND THAT [redacted] HAD ALSO BEEN CONTACTED TO MAKE A CONTRIBUTION TO FIGHT AGAINST THIS AMENDMENT."

FOR THE INFORMATION OF FBIHQ, A LEAD WAS SET OUT ON THE ABOVE MEMO TO INTERVIEW [redacted] UNITED MISSOURI BANK, CONCERNING THIS ALLEGATION. THAT LEAD HAS NOT BEEN COVERED TO DATE, BUT IS BEING GIVEN PRIORITY ATTENTION, AND WHEN COVERED, FBIHQ WILL BE PROMPTLY ADVISED OF THE RESULTS. CANDIDATE WAS NOT QUESTIONED CONCERNING THIS ALLEGATION DURING HIS INITIAL INTERVIEW, AND ANY LEADS CONCERNING FOLLOW UP INTERVIEW OF CANDIDATE CONCERNING THIS ALLEGATION WILL BE LEFT TO THE DISCRETION OF FBIHQ, ONCE THE

PAGE FOUR DE FBIKC 0007 UNCLAS

[redacted] INTERVIEW IS COMPLETED. b6 b7C

INVESTIGATION TO CONTINUE AT KANSAS CITY, AND FBIHQ AND INTERESTED OFFICES WILL BE PROMPTLY ADVISED OF ANY ADDITIONAL PERTINENT INFORMATION.

BT

#0007

NNNN

TO: [redacted] - ATTN: [redacted] (DATA BANK)

FROM: SPECIAL INQUIRY UNIT, 4371, TL# 114
[redacted]

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SUBJECT: MELVIN EUGENE CARNAHAN BUDED: 11/18/94

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT +/-

NAME: MELVIN EUGENE CARNAHAN

DOB: 02/11/34 POB: BIRCH TREE, MO
SSAN: 579-46-1264

------ CURRENT RESIDENCE: 100 MADISON ST
JEFFERSON CITY, MO 65101

EMPLOYMENT: STATE OF MISSCURI
216 CAPITOL BLDG * JEFFERSON CITY, MO 65

1 SEARCH

ND KCMO

CHECK CONDUCTED BY: [redacted], ON 11-14-94
USING THE [redacted] DATABASE(S) AND THE FOLLOWING
PARAMETERS: Melvin Carnahan

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b7C

BUREAU DEADLINE: 11/18/94

BY COURIER
RETURN TO ROOM 4371 TL 114

DATE: 11/16/94

TO: DIRECTOR, CENTRAL INTELLIGENCE AGENCY
1 ATTENTION:
1 ATTENTION:

b3 National Security Act of 1947 and the CIA Act

FROM: DIRECTOR, FEDERAL BUREAU OF INVESTIGATION

SUBJECT: MELVIN EUGENE CARNAHAN
AKA: MEL CARNAHAN

NEE:

11/25/94

NAME CHECK REQUEST

IT IS REQUESTED THIS BUREAU BE FURNISHED ALL INFORMATION AVAILABLE IN THE FILES OF YOUR DIVISION, CONCERNING CAPTIONED SUBJECT. POSITIVE INFORMATION SHOULD BE ATTACHED TO A COPY OF THIS FORM CLASSIFIED WHERE APPROPRIATE AND RETURNED TO THIS BUREAU. IF THE REQUESTED CHECK IS NEGATIVE, A STAMPED NOTATION TO THIS EFFECT AND RETURN OF A COPY OF THIS FORM IS REQUESTED.

DATE/PLACE OF BIRTH	SSN	SEX	MARITAL STATUS	SPOUSE NAME
BIRCH TREE, MO 02/11/34	579-46-1264	M	MARRIED	

b6
b7C

RESIDENCE ADDRESS	OCCUPATION
100 MADISON ST JEFFERSON CITY, MO 651	GOVERNOR

CURRENT EMPLOYER	FORMER EMPLOYMENTS
STATE OF MISSOURI 216 CAPITOL BLDG * JEFFERSON CITY, MO 651	NOT AVAILABLE

ADDITIONAL REMARKS:
COUNTRIES VISITED: ISRAEL
MEXICO
JAPAN
CHINA

b3 National Security Act of 1947 and the CIA Act

1 -
1 -
SPIN: MELVIN EUGENE CARNAHAN

SPECIAL INQUIRY

b6
b7C

BUREAU DEADLINE: 11/18/94

BY COURIER
RETURN TO ROOM 4371 TL 114
[redacted] EXT. 2586

DATE: 11/16/94

b3 National Security Act of 1947 and the CIA Act

TO: DIRECTOR, CENTRAL INTELLIGENCE AGENCY
1 ATTENTION:
(1) ATTENTION: [redacted]

pas

FROM: DIRECTOR, FEDERAL BUREAU OF INVESTIGATION

SUBJECT: MELVIN EUGENE CARNAHAN NEE:
AKA: MEL CARNAHAN

NAME CHECK REQUEST

IT IS REQUESTED THIS BUREAU BE FURNISHED ALL INFORMATION AVAILABLE IN THE FILES OF YOUR DIVISION, CONCERNING CAPTIONED SUBJECT. POSITIVE INFORMATION SHOULD BE ATTACHED TO A COPY OF THIS FORM CLASSIFIED WHERE APPROPRIATE AND RETURNED TO THIS BUREAU. IF THE REQUESTED CHECK IS NEGATIVE, A STAMPED NOTATION TO THIS EFFECT AND RETURN OF A COPY OF THIS FORM IS REQUESTED.

DATE/PLACE OF BIRTH	SSN	SEX	MARITAL STATUS	SPOUSE NAME
BIRCH TREE, MO 02/11/34	579-46-1264	M	MARRIED	[redacted]

b6
b7C

RESIDENCE ADDRESS	OCCUPATION
100 MADISON ST JEFFERSON CITY, MO 651	GOVERNOR

CURRENT EMPLOYER	FORMER EMPLOYMENTS
STATE OF MISSOURI 216 CAPITOL BLDG * JEFFERSON CITY, MO 651	NOT AVAILABLE

ADDITIONAL REMARKS:
COUNTRIES VISITED: ISRAEL
MEXICO
JAPAN
CHINA

b3 National Security Act of 1947 and the CIA Act of

1 - [redacted]
1 -
SPIN: MELVIN EUGENE CARNAHAN

SPECIAL INQUIRY

[redacted]

b6
b7C

REQUEST FOR CREDIT CHECK

18 11 29 AM

DATE 11/16/94

TO: CONTRACTOR
FROM: SPECIAL INQUIRY UNIT (SPIN), CID

ATTENTION: [] ROOM 4371 []

RESPONSE CRITERIA	TYPE OF REQUEST
5 WORK DAYS	SUITABILITY

SUBJECT'S NAME: CARNAHAN,MELVIN EUGENE MAIDEN:

OTHER NAMES USED: CARNAHAN,MEL

DATE OF BIRTH (DOB): 02/11/34 PLACE OF BIRTH (POB): BIRCH TREE,MO

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 579-46-1264

SPOUSE'S NAME: [] MAIDEN: []
AKA:

SUBJECT'S CURRENT ADDRESS: 100 MADISON ST, JEFFERSON CITY,MO 65101

SUBJECT'S ADDRESS(ES) FOR LAST SEVEN (7) YEARS: 100 MADISON ST, JEFFERSON CITY,MO 65101
* ROUTE 1, BOX 216 *, ROLLA,MO

ENCLOSURE - RELEASE FORM TO BE ATTACHED

Standard Form 86
Revised December 1990
U.S.Office of Personnel Management
FPM Chapter 732

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may be contacted for such a release at a later date.

I Further Authorize the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in ink)	Full Name (Type or Print Legibly)		Date Signed
Mel Carnahan	Melvin Eugene Carnahan		11-9-94
Other Names Used			Social Security Number
			519-46-1214
Current Address (Street, City)	State	ZIP Code	Home Telephone Number (Include Area Code)
100 Madison Street, Jefferson City	MO	65101	(314) 751-4141

SPIN 5 DAY E
00000049 941118 125317

MADISON STREET

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CC CC BB BB RR RR
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CARNAHAN, MELVIN EUGENE
100 MADISON
JEFFERSON CIT, MO 65101

SSN: 579461264

E A S Y R E A D
CREDIT BUREAU REPORTS, INC.
BOX 36403 HOUSTON, TX 77236

---------- IDENTIFICATION ----------
---------- MULTIPLE 1 ----------

NAME: CARNAHAN, MELVIN E SSN: 579461264 DOB: 02-11-34

ADDRESS: BOX 1436 PO JEFFERSON CITY, MO 65102 DATE: 02-94
13750 CO RD ROLLA, MO 65401 02-94
BOX 698 P O ROLLA, MO 65401 09-93

EMPLOYER: STATE OF MISSOURI
OCCUPATION: GOVERNOR

---------- TRADE ----------

CREDITOR NAME / CREDITOR #	ECOA	TYPE	DLR	DO	DLA	ACCOUNT NUMBER / HIGH	TERM	MOP / BAL	HIST / PAST	PAYMENT PATTERN	REMARKS 30 60 90
PHILLIPS		R				6558078264		1	80		CURRENT
606OC00248			10-94		05-94	324		0			
CENTRAL BK		I	R			400901133844		1	61		CURRENT
906BB02715			11-94	09-89	10-94	9800		331			
DILLARD		I	R			16502262		1	9		CURRENT
906DC00755			10-94	06-67	09-94	1105	20	119			
FAMOUS BAR		J	R			-103780961		1	73		CURRENT
154DC00012			10-94	01-65	10-94	700	20	130			
AMEXTRVLSV		I	O			37811		1	80		CURRENT
906ON00259			10-94	04-78	09-93	1506		1506			
COMMERCE		J	R			3081004817		1	70		CURRENT
901BB29714			09-94	08-88	09-94	9000	28	626			
AMOUNT IN H/C COLUMN IS CREDIT LIMIT											
PHELPS CNT		J	O			5732102		1	65		CURRENT
901BB42922			10-94	04-89	05-89	10000		0			
PHELPS CNT		J	I			5627600		1	76		CURRENT
901BB42922			10-94	06-88	11-89	1		0			
PHELPS CNT		J	I			5618005		1	78		CURRENT
901BB42922			10-94	04-88	05-89	132000	1000	0			
PHELPS CNT		J	I			5613708		1	78		CURRENT
901BB42922			10-94	04-88	05-89	125000	1000	0			

TRADE

CREDITOR NAME / CREDITOR #	DLR	ECOA	TYPE	DO	DLA	ACCOUNT NUMBER / HIGH	TERM	MOP / BAL	HIST / PAST	PAYMENT PATTERN	REMARKS 30 60 90
PHELPS CNT 901BB42922	10-94	J	I 11-87		01-93	1009079 0		1 0	83		CURRENT
MERCANTILE 654ON11358	11-93	J	R 01-71		10-89	515125280000080 5400		1 0	99		CURRENT
SEARS 906DC00029 AMOUNT IN H/C COLUMN IS CREDIT LIMIT	01-93	I	R 07-63		01-93	8602-076015558 3996		1 0	26		CURRENT
FINA 682OC04418	12-92	I	R 04-61		01-91	1108488030 364		1 0	99		CURRENT
BOYD'S 682CG04528	11-92	U	R 08-88		08-91	20021770 441		1 0			CURRENT
CONOCO 906OC00073	08-92	A	R 11-72		09-91	220681829 362		1 0	71		CURRENT
J C PENNEY 906DC00185	04-91	I	R 07-66		03-91	51-512191254620 153		1 0	4 2---		CURRENT
TRAVLRS BK 496ON00044 AMOUNT IN H/C COLUMN IS CREDIT LIMIT	06-90	J	R 04-87		07-89	530893 9500		1 0	37		CURRENT

INQUIRY

DATE	MEMBER CODE	MEMBER NAME	DATE	MEMBER CODE	MEMBER NAME
06-04-93	615RZ01898	K C CLUB	01-26-93	155YC01564	TWSI

CREDITOR IDENTIFICATION

154DC00012	FAMOUS BAR	(314) 444-3111
496ON00044	TRAVLRS BK	BY MAIL ONLY
606OC00248	PHILLIPS	BY MAIL ONLY
615RZ01898	K C CLUB	(816) 421-6789
654ON11358	MERCANTILE	(314) 444-4080
682CG04528	BOYD'S	(214) 283-8711
901BB29714	COMMERCE	(800) 645-2103

--- CREDITOR IDENTIFICATION ---

901BB42922	PHELPS CNT	(314) 364-5202
906BB02715	CENTRAL BK	(314) 634-1234
906DC00029	SEARS	BY MAIL ONLY
906DC00185	J C PENNEY	BY MAIL ONLY
906DC00755	DILLARD	(800) 643-8278
906OC00073	CONOCO	BY MAIL ONLY
906ON00259	AMEXTRVLSV	BY MAIL ONLY

------ CONSUMER REFERRAL DATA -------

VENDOR: C B I
BUREAU ID: CBI
PULL DATE: 941118
PULL TIME: 130142

LOCATION:
EQUIFAX CREDIT INFO SERVICES
5505 PEACHTREE DUNWOODY 600
ATLANTA, GA 30342
(404) 250-4100

-------- CBR CUSTOM SCORING ---------

CUSTOMER NUMBER: 00000049 INPUT DATE: 941118 SCORE MODEL: S515P049
ACCESS PORT: 35 INPUT TIME: 125317 SCORE VERSION: A

	SCORE	LEVEL	REASONS
FULL REPORT	403	P	
MULTIPLE 1	403	P	

---------- END OF REPORT ----------

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b7C

DCII CHECK REQUEST FORM

** SUBJECT IDENTIFICATION **

PLEASE RETURN TO PSS [], ROOM 4371.

SUBJECT: CARNAHAN, MELVIN, EUGENE

DOB: 34/02/11

SSAN: 579-46-1264

OTHER-NAMES: CARNAHAN, MEL (AKA)

CHECK CONDUCTED BY: [] ON: 11-17-94

*01 CARNAHAN,MEL SSN=579461264 DB=340211 SB= CB=
*** RECORD NOT [redacted]UND ***

PF1 = HELP PF4 = RNTR PA2 = EXT
PRINT * 00 DEMAND = 00 DELETE = 00 CHANGE = 00 ADD * 00 DDAS * 00

4ß NUM — 33

[redacted]

[redacted] b6 b7C

NOVEMBER 16, 1994

TO: INVESTIGATION BACKGROUND BRANCH (IBB)
OPM
ATTN: [redacted]

FROM: SPECIAL INQUIRY UNIT
FBI HEADQUARTERS

PLEASE SEARCH THE FOLLOWING NAMES THROUGH OPM RECORDS:

NAME	DOB	SSAN
MELVIN EUGENE CARNAHAN AKA MEL	2/11/34	579-46-1264
[redacted]		

NR

NR

NR

ANY QUESTIONS, PLEASE CALL [redacted] AT [redacted] SPIN
UNIT FAX NUMBER IS [redacted]

FAX

161B-HQ-1087906

11/16/94

FROM: Director, FBI (~~161B-HQ-1087~~)

TO: SACs, KANSAS CITY (Enc.)
ST. LOUIS (Enc.)
ADIC, WMFO (Enc.)

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MELVIN EUGENE CARNAHAN
SPIN;
OO: FBIHQ
BUDED: 12/8/94

Enclosed for receiving offices is a copy of CARNAHAN's SF-86 (including release of information form) dated 11/9/94.

Bureau has been requested by the White House to conduct a Level II background investigation (BI) of appointee in connection with a Presidential appointment which requires Senate confirmation. Appointee was born 2/11/34, at Birch Tree, MO; resides at 100 Madison Street, Jefferson City, MO; and has SSAN 579-46-1264.

Field Offices are reminded that the investigative status of a BI, all deadlines and the position for which the appointee is being considered shall not be disclosed to any interviewees. If during the course of the investigation, interviewees provide the nature of the position, that information is not to be further divulged outside the FBI. Inquiries by interviewees concerning the nature of the position are to be handled as set forth in MIOG, Part II, Section 17-5 (5). Appointees making inquiries regarding the status of their BI are to be referred to the client agency.

Direct results/questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, [redacted] If BUDED will not be met, telephonically advise PSS [redacted] and set forth reason(s) in Administrative section of investigative report. SPIN Unit facsimile numbers are: [redacted]

Advise FBIHQ (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1).

Fax to SACs, KANSAS CITY, et al, dated 11/16/94
Re: MELVIN EUGENE CARNAHAN

LEADS:

EACH RECEIVING OFFICE:

In addition to investigation to be conducted in those areas marked for coverage in appointee's SF-86, receiving offices are to conduct specific investigation set forth below.

KANSAS CITY

1. Interview appointee in accordance with MIOG, Part II, Section 17-5.6. Discuss affiliation with Rozark Farms and verify. Discuss law suits filed against him (no action necessary on cases in which he was the plaintiff). Discuss bar complaint. Verify. Discuss membership in Missouri Athletic Club.

2. Insure at least 13 people knowledgeable concerning appointee are interviewed.

3. Check U.S. Attorneys Office.

4. Check Missouri Bar Association for membership/grievances.

5. Check State Board of Elections for compliance and/or complaints.

6. Check State Attorney General's Office in connection with his position as a state official. Insure that all suits filed against him were filed against his position(s) and not because of any of his actions personally.

ST. LOUIS

1. Check FRC-M.

2. Check U.S. Attorneys Office.

3. Insure at least 12 people knowledgeable concerning appointee are interviewed.

WMFO

1. Check White House.

2. Check USSS.

3. Check PIS/DOJ.

4. Check FEC.

```
 SSSS   PPPP    EEEE    CCCC   IIIII     A      L
S       P   P  E       C         I      A A     L
 SSSS   PPPP   EEE     C         I     AAAAA    L
     S  P      E       C         I     A   A    L
 SSSS   P      EEEE    CCCC   IIIII   A   A    LLLLL
```

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SPECIAL INQUIRY (SPIN) UNIT
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 11/16/94 BUDED: 11/18/94

X NAME SEARCHING UNIT, NEWINGTON ANNEX
X FORWARD TO FILE REVIEW : RM 6712
X RETURN TO: [redacted] ROOM 4371, [redacted]

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

FR UTD , 19

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: CARNAHAN,MELVIN,EUGENE *
AKA: CARNAHAN,MEL,
DOB: 02/11/34
POB: BIRCH TREE,MO *
SSAN: 579-46-1264
LOCALITIES: MO

RELATIVES: FR UTD , 19

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES

4-22 (12-3-92)

Federal Bureau of Investigation
Information Services Section

Date _____

- ☐ Name Searching Unit, Newington Annex
- ☐ Information Services, 5991
- ☐ Forward to File Review, 6712
- ☐ Attention _____
- ☑ Return to [redacted] 4371 [redacted]
 Supervisor, Room, Ext.

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Scope of Search: (Check One)

- ☑ Automated Data Base (ADB)-HQGI
- ☐ Inactive Index-HQGI/Pickett St.
- ☐ Secure Data Information System (SDIS)
- ☐ Unrestricted (ADB & Inactive, SDIS)

Type of Search Requested:

- ☑ All References (Security & Criminal)
- ☐ Security Search
- ☐ Criminal Search
- ☐ Main _____ References Only

Special Instructions:

- ☐ Exact Name Only (On the Nose)
- ☐ Buildup ☐ Variations
- ☐ Restricted to Locality of _____

Subject Carnahan Melvin Eugene
AKA(s) Carnahan Mel

Birthdate & Place 2/11/34 Birch Tree MO
SSAN 519-46-1264
Localities MO ISR MEX JAP PHC ITAL HONG KONG FRAN ENG BAH GER FOR
R# _____ Searcher Initials 471

File Number	Serial	Ident	SDIS	ADB-HQGI	Inactive	Date of Ref M/Y
161B-HQ-1087906		H		✓		
Mel (aka)						
SI		H		✓		

IDENT CHECK ROOM 11262 TUBE J1, ATTN: [redacted]

FROM: SPECIAL INQUIRY UNIT, DIV 6, RM 4371, TL # 114
[redacted] ATTN: [redacted] PSS [redacted]

b6
b7C

SUBJECT: MELVIN EUGENE CARNAHAN BUDED: 11/18/94

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:
RESULT NAME: MELVIN EUGENE CARNAHAN
AKA: MEL CARNAHAN
DOB: 02/11/34 4PTS
POB: BIRCH TREE, MO
SSAN: 579-46-1264
CURRENT ADDRESS: 100 MADISON ST
JEFFERSON CITY, MO 65101
EMPLOYMENT: STATE OF MISSOURI
216 CAPITOL BLDG * JEFFERSON CITY, MO 65

CLOSE RELATIVES

11-18-94 (1)

RESULT	NAME	DOB	RESIDENCE
------	[redacted]		

ON BASIS OF DATA FURNISHED UNABLE TO LOCATE ARREST RECORD IN FBI IDENTIFICATION DIVISION
NOV 21 '94

CHECK CONDUCTED BY: _____, ON _____

PCN 943227928111 OCA
CARNAHAN,MELVIN EUGENE W 511 02/11/34
MNU SOC 579 46 1264 SEX M
USCIC000Z CONFLICT OF INT CNCL PRT REC
WASHINGTON US 11/18/94
A SEARCH OF THE FINGERPRINTS ON THE ABOVE INDIVIDUAL HAS FAILED TO DISCLOSE PRIOR ARREST DATA.
IDENTIFICATION DIVISION
11/21/94 FEDERAL BUREAU OF INVESTIGATION

USCIC000Z
CONFLICT OF INTEREST
COUNSEL
THE WHITE HOUSE
WASHINGTON, DC 20500-0001

(01/26/1998)

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b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 07/27/1999 **Date:** 07/01/1999

To: Kansas City
St. Louis
Washington Field **Attn:** Squad A-1

From: Administrative Services
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted]

Approved By: [redacted]

Drafted By: [redacted] rsh

RH

Case ID #: 161B-HQ-1087906 (Pending)

Title: MELVIN EUGENE CARNAHAN
AKA, MEL CARNAHAN
LEVEL II-PAS

Synopsis: Initiation of full-field background investigation (FFI) on captioned candidate.

Administrative: BUDED is 07/27/1999.

RECEIVING OFFICES ARE TO ENSURE THAT A COPY OF THIS EC IS PROVIDED TO EACH INDIVIDUAL CONDUCTING INVESTIGATION IN CAPTIONED MATTER. ADDITIONALLY, WHEN SENDING FOLLOW-UP LEADS TO OTHER FIELD OFFICES NOT KNOWN TO BE INVOLVED IN CAPTIONED MATTER, "ENSURE" THAT A COPY OF THIS EC IS PROVIDED TO THOSE FIELD OFFICES AND THAT THIS EC SERIAL IS REFERENCED.

Field offices should also refer to the investigative guidelines set forth in MIOG, Part I, Section 161, and Part II, Section 17, for additional assistance in conducting investigation in captioned matter.

I. CANDIDATE INTERVIEWS

Regardless of the scope, i.e., the time frame, to be addressed in candidate's FFI, the "INITIAL" candidate interview is to address each of those areas set forth in MIOG, Part II, Section 17-5.6(2)(a)-(o), for the candidate's entire adult life, i.e., *since his/her 18th birthday, EXCEPT FOR COUNSELING*. Only information pertaining to counseling received by the candidate "WITHIN THE SCOPE OF THE FFI (but not prior to his/her 18th birthday)" is to be solicited and addressed, and then, only as

MAIL DIRECT from SPECIAL INQUIRY UNIT, CID BY

7.1.99

Return to [redacted] Room 4371

161B-HQ-1087906-3

Faxed 7/1

specifically set forth below under the "COUNSELING/COUNSELOR INTERVIEWS" section.

When addressing each of the areas with the candidate, he/she is to be advised that responses to all questions asked are to address candidate's entire adult life (i.e.,since his/her 18th birthday), except for counseling which must be addressed as set forth in Section II, "Counseling/Counselor Interviews", below. The fact that questions addressing each of the areas were asked, as well as candidate's responses thereto and knowledge of their scope, must be clearly set forth in the FD-302 reflecting the results of the candidate's interview.

If the candidate refuses to answer any question, that is to be clearly set forth in the FD-302 reflecting the results of the candidate's interview. An effort should be made to obtain from the candidate an explanation for refusing to answer and, if provided, the explanation is also to be reported in the FD-302. If the candidate refuses to provide an explanation, that also is to be reported. Thereafter, no further efforts to obtain a response to the question candidate refused to answer should be made, unless instructed by SIGBIU, FBIHQ.

II. COUNSELING/COUNSELOR INTERVIEWS

A. Counseling information to be solicited from the candidate is to be limited only to that information set forth in questions 21 and 25 of candidate's SF-86, except that the information is to cover "only" the scope of candidate's FFI (but not prior to his/her 18th birthday). For example, if the scope of candidate's FFI is 15 years, then counseling information solicited from the candidate, and to be addressed in the FFI, must be limited to the last 15 years or since candidate's 18th birthday, whichever is less. Counseling which occurred outside the scope of the candidate's FFI is "not" to be solicited or addressed, unless otherwise instructed by SIGBIU, FBIHQ. NOTE: Policy also prohibits marriage, family, or grief counseling from being solicited and addressed, except where violence by the candidate is involved.

B. For interviews of counselors, a copy of an executed "Authorization for Release of Medical Information" form must be utilized. NOTE: The questions which can be asked of counselors are limited. In addition to verifying the dates of the counseling, the questions asked are to be "limited only to the three questions set forth on the aforementioned release." The counselor is to be instructed to provide only that information he/she believes is responsive to each of the three questions.

Each question is to be specifically asked and answered, and responses to each clearly reported in the FD-302/insert reflecting the interview results.

Because information concerning counseling is limited to the scope of the FFI, if the candidate voluntarily provides counseling information which occurred outside the scope of the BI, that information is to be fully explored with the candidate only during his/her interview, recorded and reported. However, no additional investigation is to be conducted concerning the information, unless instructed to do so by SIGBIU, FBIHQ. The fact that the information beyond the scope of the FFI was volunteered by the candidate, and not solicited (except as further explored with the candidate) by the FBI, must also be clearly set forth in the FD-302 reflecting the results of the candidate's interview.

Ensure to conduct investigation as set forth under the "Leads" section herein and/or as marked on the enclosed SF-86.

Field offices are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity

Direct results/questions to PSS [redacted] supra. Advise SIGBIU (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If BUDED will not be met, telephonically advise PSS Hamilton and set forth reason(s) in **Administrative Section** of investigative report. SIGBIU facsimile numbers are [redacted]

Enclosures: Being forwarded to each field office with a hard copy of this EC, via Bureau mail, is one copy each of the following: candidate's SF-86 dated 06/24/1999, Authorization for Release of Information dated 06/24/1999, and Authorization for Release of Medical Information dated 06/24/1999.

Details: Bureau has been requested by the WH to conduct a Level II FFI of candidate who is being considered for a Presidential appointment with requires Senate confirmation.

At this time, PSS [redacted] has not received appropriate HQ credit reports for review. If review reveals unsatisfactory credit history, PSS [redacted] will immediately forward copies of pertinent details to appropriate Field Offices for lead coverage.

To: Kansas City From: Administrative Services
Re: 161B-HQ-1087906, 07/01/1999

A prior BI of candidate was conducted by the FBI and completed in December, 1994. Update from that point and as indicated on the SF-86. (NOTE THE CANDIDATE INTERVIEW IS NOT TO BE UPDATED.)

IN ADDITION TO INVESTIGATION TO BE CONDUCTED IN THOSE AREAS MARKED FOR COVERAGE ON THE CANDIDATE'S SF-86, RECEIVING OFFICES ARE TO CONDUCT SPECIFIC INVESTIGATION SET FORTH IN THE LEADS SECTION BELOW.

ALL OFFICES: REVIEW EC AND ENCLOSURES (PARTICULARLY NOTING POLICY SET FORTH IN THE "ADMINISTRATIVE SECTION" OF THIS EC), AND CONDUCT APPROPRIATE INVESTIGATION.

To: Kansas City From: Administrative Services
Re: 161B-HQ-1087906, 07/01/1999

LEAD(s):

Set Lead 1:

KANSAS CITY

AT JEFFERSON CITY, MO

Conduct candidate interview using enclosed candidate interview guidelines and ensure each question is thoroughly addressed and clearly set forth in candidate's 302. In addition, specifically address the following:

Ensure the candidate's response to question 1s of his supplemental is complete. Thereafter, discuss his association, role, and tenure with Rozark Farms, Inc. If still affiliated with this corporation, set additional leads to verify his role and tenure and obtain the names of 2-3 clients who may have used his services. Also, set additional leads to have these clients interviewed.

Discuss candidate's response to question 5s of his supplemental. Advise candidate that in connection with his 1994 FBI investigation, he indicated in response to question 5s of his supplemental that he had been the subject of numerous law suits filed against him as Governor and Treasurer. Thereafter, obtain any additional information concerning suits associated with him, either personally or in his official capacity.

Discuss candidate's response to question 6s of his supplemental in which he has indicated he was the subject of one filed with the Missouri Bar Association. Obtain all specific information concerning this complaint.

Discuss candidate's response to question 8s of his supplemental in which he indicates he is a member of the Missouri Athletic Club which formerly restricted membership. Determine is current status (member/officer, etc.), if membership is still restricted, anything he may have done to has policies changed.

Discuss candidate's travel abroad and any continuing relationships he may have with foreign nationals.

Determine from the candidate where he may be licensed to practice law. If not already set out in this communication, set additional leads to verify current membership standing and any grievances.

During candidate's 1994 FBI investigation, he advised he was required to pay additional federal income taxes in connection with business deductions. Ensure to readdress with the candidate.

Set Lead 2:

AT KANSAS CITY, MO

Review records of appropriate U.S. Attorney's Offices for any pertinent information concerning candidate. **(ENSURE TO DOCUMENT INFORMATION AS IT RELATES TO HIM PERSONALLY OR IN HIS OFFICIAL CAPACITY.)**

Conduct appropriate law enforcement checks in areas where the candidate has resided and been employed for the scope of this investigation.

Check Department of Motor Vehicles for any information concerning candidate.

Set Lead 3:

AT KANSAS CITY, MO

Check State Attorney General's Office in connection with his position as a state official. Also, check for any grievances or complaints associated with the candidate. **(ENSURE TO DOCUMENT INFORMATION AS IT RELATES TO HIM PERSONALLY OR IN HIS OFFICIAL CAPACITY.)**

Check Office of Circuit Court, or equivalent, for any grievances or complaints associated with the candidate. **(ENSURE TO DOCUMENT INFORMATION AS IT RELATES TO HIM PERSONALLY OR IN HIS OFFICIAL CAPACITY.)**

Check U.S. District Court for any grievances or complaints associated with the candidate. **(ENSURE TO DOCUMENT INFORMATION AS IT RELATES TO HIM PERSONALLY OR IN HIS OFFICIAL CAPACITY.)**

Set Lead 4:

AT KANSAS CITY, MO

Check the Missouri Bar Association for current membership standing and any grievances. (IT SHOULD BE NOTED THAT DURING CANDIDATE'S 1994 FBI INVESTIGATION, RECORDS DISCLOSED ONE

COMPLAINT AND A FEE DISPUTE.) Provide all pertinent dates, nature of complaints, and resolutions.

Check Missouri State Auditor's Office, or equivalent, for any records of audits conducted concerning the Governor's Office. (IT SHOULD BE NOTED THAT DURING CANDIDATE'S 1994 FBI INVESTIGATION, A REPRESENTATIVE OF THIS OFFICE ADVISED THAT CANDIDATE AND OTHER STATE OFFICIALS MAY HAVE ACTED IMPROPERLY OR ILLEGALLY TO DEFEAT THE HANCOCK AMENDMENT. AT THAT TIME, THE FBI WAS ADVISED THEY WOULD EVENTUALLY CONDUCT A ROUTINE AUDIT TO ENSURE NO ILLEGAL ACTIONS WERE TAKEN.) If audit was conducted, obtain detailed information concerning this matter (or any others) and the outcome or resolution. If not yet conducted, when they anticipate conducting an audit.

Set Lead 5:

AT KANSAS CITY, MO

Review field office file KC 194-53. (DURING CANDIDATE'S 1994 FBI INVESTIGATION, CANDIDATE WAS NAMED IN THIS FILE; HOWEVER, AT THAT TIME, THE FBI'S INVESTIGATION OF INFORMATION CONTAINED IN THAT FILE WAS NOT YET COMPLETED.)

Set Lead 6:

AT KANSAS CITY, MO

Check the bi-laws of the Missouri Athletic Club, or interview president of that association, to determine if they restrict membership for any reason. If they did, at some point, when they changed their policies. Also, verify candidate's current membership standing.

Check the State Board of Elections for compliance and/or any complaints.

Set Lead 7:

AT KANSAS CITY, MO

Ensure 20-25 people who are knowledgeable concerning candidate are interviewed. Ensure individuals' full names, titles, and positions are reported.

Set Lead 8:

ST. LOUIS

AT ROLLA, MO

Conduct routine third-party interview of candidate's listed reference, [redacted] b6 b7C

Set Lead 9:

AT ST. LOUIS, MO

Conduct appropriate indices searches concerning candidate's relatives as indicated on the enclosed SF-86.

Set Lead 10:

WASHINGTON FIELD

AT WASHINGTON, DC

Check White House. Check U.S. Secret Service.

Check PIS at DOJ. Check FEC.

♦♦

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 07/27/1999 **Date:** 07/06/1999

b6
b7C

To: Kansas City
St. Louis

From: Administrative Services
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted]

Approved By: [redacted]

Drafted By: [redacted] :rsh

Case ID #: 161B-HQ-1087906 (Pending)

Title: MELVIN EUGENE CARNAHAN
AKA, MEL CARNAHAN
LEVEL II-PAS

Synopsis: Additional lead regarding background investigation (BI) on captioned candidate.

Reference: 161B-HQ-1087906 Serial 3

Administrative: BUDED is 07/27/1999.

Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to PSS [redacted] supra. Advise SIGBIU (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If BUDED will not be met, telephonically advise PSS [redacted] and set forth reason(s) in **Administrative Section** of investigative report. SIGBIU facsimile numbers are [redacted]

Details: A review of the computerized records of the Criminal Law Enforcement Application has disclosed that information possibly pertaining to the candidate is maintained in field office files SL 183 XX*493 14 5 and KC 92A XX*3740 921 A.

MAIL DIRECT from SPECIAL INQUIRY UNIT, [redacted] 3Y

Return to [redacted], Room 4371

161B-HQ-1087906-4

To: Kansas City From: Administrative Services
Re: 161B-HQ-1087906, 07/06/1999

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b7C

LEAD(s):

Set Lead 1:

KANSAS CITY

AT KANSAS CITY, MO

Review field office file KC 92A XX*3740 921 A for possible identification with candidate. If that file contains information identifiable with candidate and is pertinent, **IMMEDIATELY TELEPHONICALLY ADVISE PSS** [redacted]

Set Lead 2:

ST. LOUIS

AT ST. LOUIS, MO

Review field office file SL 183 XX*493 14 5 for possible identification with candidate. If that file contains information identifiable with candidate and is pertinent, **IMMEDIATELY TELEPHONICALLY ADVISE PSS** [redacted]

♦♦

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 07/27/1999 **Date:** 07/08/1999

b6
b7C

To: Kansas City
St. Louis

From: Administrative Services
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted]

Approved By: [redacted]

Drafted By: [redacted] rsh

Case ID #: 161B-HQ-1087906 (Pending)

Title: MELVIN EUGENE CARNAHAN
AKA, MEL CARNAHAN
LEVEL II-PAS

Synopsis: Additional lead regarding background investigation (BI) on captioned candidate.

Reference: 161B-HQ-1087906 Serial 3

Administrative: BUDED is 07/27/1999. Reference is also made to telephone conversation between PSS [redacted] supra, and [redacted] Kansas City Field Division, on 07/08/1999.

Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to PSS [redacted] supra. Advise SIGBIU (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If BUDED will not be met, telephonically advise PSS [redacted] and set forth reason(s) in **Administrative Section** of investigative report. SIGBIU facsimile numbers are [redacted]

Details: Candidate indicated on his Standard Form 86 (supplemental) that he is a member of the Missouri Athletic Club,

[redacted] Room 4321

161B-HQ-1087906-5

4th and Washington Streets, St. Louis, Missouri. He also advised this club formerly restricted membership; however, policy has been changed for several years.

To: Kansas City From: Administrative Services
Re: 161B-HQ-1087906, 07/08/1999

LEAD(s):

Set Lead 1:

KANSAS CITY

AT KANSAS CITY, MO

Discontinue lead to check the bi-laws of the Missouri Athletic Club and additional requested investigation.

Continue remaining investigation.

Set Lead 2:

ST. LOUIS

AT ST. LOUIS, MO

Check the bi-laws of the Missouri Athletic Club, or interview president of that association, to determine if they restrict membership for any reason. If they did, at some point, when they changed their policies. Also, verify candidate's current membership standing.

♦♦

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 07/23/1999 **Date:** 07/14/1999

b6
b7C

To: Administrative Services **Attn:** [redacted]
SIGBIU, Rm 4371

Kansas City **Attn:** [redacted]
Joplin RA

From: Kansas City
Squad 12
Contact: Staffing Assistant [redacted]

Approved By: [redacted]

Drafted By: [redacted] tmg

Case ID #: 161B-HQ-1087906 - 10 (Pending)

Title: MELVIN EUGENE CARNAHAN,
aka Mel Carnahan;
LEVEL II - PAS

Synopsis: Additional background investigation.

Details: Per telcal of SA [redacted] an additional reference for appointee is [redacted] a retired physician.

4371
3/RH

To: Administrative Services From: Kansas City
Re: 161B-HQ-1087906, 07/14/1999

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b7C

LEAD (s):

Set Lead 1:

KANSAS CITY

AT JOPLIN, MO

Locate and interview [redacted]

♦♦

FD-263 (Rev. 4-30-85)

8/5/99

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
ST. LOUIS	Bureau	7/19/99	07/10 - 16/1999

TITLE OF CASE
MELVIN EUGENE CARNAHAN, aka
Mel Carnahan

REPORT MADE BY: SA [redacted]

TYPED BY: lgs

CHARACTER OF CASE
LEVEL II - PAS

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REFERENCE

Bureau ECs to Kansas City, 07/06/1999 and 07/08/1999.

- P -

X

ADMINISTRATIVE

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Investigation continuing at St. Louis.

APPROVED WGE/Rhw SPECIAL AGENT IN CHARGE

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(ATTN: PSS [redacted]
SIGBIU, Room 4371)

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BY SL 7-21-99

Budded 7.27.99

161B-HQ-1087906-7
4371

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FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

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Copy to:

Report of: SA [redacted] Office: ST. LOUIS
Date: July 19, 1999

Case ID #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN

Character: LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION

Synopsis: A review of St. Louis file, SL 183 XX*493 14 5 conducted on 07/13/1999 at St. Louis, Missouri, revealed no references made to the candidate, Melvin Eugene Carnahan. Contact was made on 07/16/1999 with [redacted] Missouri Athletic Club, 4th and Washington Streets, St. Louis, Missouri, who advised that the candidate in question was a member in good standing with the Missouri Athletic Club. Further, [redacted] stated that pursuant to revised bylaws completed in 1988, the Missouri Athletic Club no longer restricts membership to any viable candidate as it would apply to race, color, creed, religion, national origin, ancestry, veteran status, handicap, disability or sex.

- P -

DETAILS: at St. Louis, Missouri

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161B-HQ-1087906
JOL:lgs

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St. Louis Division
at St. Louis, Missouri

The following Investigation was conducted by Special Agent [redacted] at St. Louis, Missouri, on July 13, 1999:

A review of St. Louis file 183A-493 Sub 14, Serial 5, entitled "GANGMURS; UNSUB; ET AL; PAUL JOHN LEISURE - VICTIM; RICO; OO: ST. LOUIS," in connection with summarized reports prepared pursuant to recordings intercepted on a Misure, otherwise identified as EDMO-60, consisting of 18 pages of intercept logs beginning on October 28, 1982 and ending on November 16, 1982, were found to be negative with regard to any intercepted recordings made of the candidate, Melvin Eugene Carnahan, or any mention of his name by others who were intercepted on the mentioned recording logs.

161B-HQ-1087906
JOL:lgs

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St. Louis Division
at St. Louis, Missouri

On July 16, 1999, the following investigation was conducted by Special Agent (SA) [redacted] of the Federal Bureau of Investigation, at St. Louis, Missouri:

[redacted] for the Missouri Athletic Club, located at 4th and Washington Streets, St. Louis, Missouri 63043, telephone number 314-432-2260, was contacted at his offices at the Missouri Athletic Club and provided the following information:

[redacted] advised that the candidate, Melvin Eugene Carnahan, was a member in good standing with the Missouri Athletic Club, and was found to be so listed in the latest published roster booklet, dated January 1999, provided to the interviewing Agent by [redacted]

With regard to membership restrictions in the past, [redacted] advised that the Missouri Athletic Club had taken measures to correct this inequity in 1988, and as so stated in the provided published document dated June 1999, containing the current membership policies and bylaws, [redacted] directed the interviewing Agent to page 133 of said document under articles, part 1.2, Application for Membership, subparagraph d, entitled "Non-discrimination" which currently states, "Notwithstanding anything herein to the contrary, the club is committed to assuring equal opportunity for all potential members and will not deny membership on account of race, color, creed, religion, national origin, ancestry, veteran status, handicap, disability, or sex."

FD-263 (Rev. 4-30-85)

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
ST. LOUIS	BUREAU	7/20/99	7/17/99

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN, aka MEL CARNAHAN	SA [redacted]	saw
	CHARACTER OF CASE LEVEL II-PAS	

REFERENCES: Bureau EC to St. Louis dated 7/1/99 (serial 3).

- c -

ADMINISTRATIVE:

Where appropriate, Privacy Act(e)(3) data was furnished to persons interviewed. Express promises of confidentiality both limited and unlimited have been noted where granted.

St. Louis indices was negative for candidates relatives.

St. Louis indices was negative for candidate for period since last investigation.

APPROVED WGE/pw	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW

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Attn: SIGBIU, PSS [redacted]
2 - St. Louis (161B-HQ-1087906)

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161B-NQ-1087906-10

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Notations

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FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

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Report of: SA [redacted] Office: ST. LOUIS
Date: July 20, 1999

Case ID #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN,
aka MEL CARNAHAN

Character: LEVEL II-PAS

Synopsis: Reference provided favorable comments and recommended candidate.

-C-

DETAILS:

AT ROLLA, MISSOURI:

0001

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St. Louis Division
At Rolla, Missouri

REFERENCE

The following investigation was conducted by Special Agent (SA) [redacted] at Rolla, Missouri on July 17, 1999:

[redacted] date of birth [redacted] was advised of the identity of the interviewing Agent and that she was being contacted in connection with the background investigation of Melvin Eugene Carnahan. [redacted] provided the following information:

[redacted] has known the candidate for approximately [redacted] years on a social basis. [redacted] described the candidate as honest, trustworthy and a dedicated public official. [redacted] rated the candidate "Number One" as it pertains to his integrity. [redacted] is unaware of any abuse of alcohol or use of drugs by the candidate and is unaware of any behavior or circumstances that could be used to coerce or influence the candidate. [redacted] believes the candidate to be a loyal United States citizen. [redacted] would highly recommend the candidate for a position of trust.

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201saw04.ins

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 07/30/1999 **Date:** 07/28/1999

To: St. Louis

From: Administrative Services
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted]6

Approved By: [redacted]

Drafted By: [redacted]:rsh

Case ID #: 161B-HQ-1087906 (Pending)

Title: MELVIN EUGENE CARNAHAN
AKA, MEL CARNAHAN
LEVEL II-PAS

Synopsis: Additional lead regarding background investigation (BI) on captioned candidate.

Reference: 161B-HQ-1087906 Serial 3

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b7C

Administrative: BUDED is 07/30/1999. Initial BUDED was 07/27/1999. Reference is also made to Kansas City Field Division report dated 07/20/1999.

Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to PSS [redacted] supra. Advise SIGBIU (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If BUDED will not be met, telephonically advise PSS [redacted] and set forth reason(s) in **Administrative Section** of investigative report. SIGBIU facsimile numbers are [redacted]

Details: Candidate advised during his routine interview conducted by the FBI that he currently resides at the Governor's mansion in Jefferson City, Missouri, and spends weekends at his

Faxed 7/28

161B-HQ-1087906-11

"home" residence at 13750 Country Road 4030, Rolla, Missouri. Candidate did not indicate on his SF-86 that he maintained this residence after 1993.

To: St. Louis From: Administrative Services
Re: 161B-HQ-1087906, 07/28/1999

LEAD(s):

Set Lead 1:

ST. LOUIS

AT ROLLA, MO

Conduct neighborhood inquiry concerning candidate in Rolla, Missouri. Ensure routine third-party interviews are conducted of at least three neighbors.

Review records of appropriate U.S. Attorney's Offices for any pertinent information concerning candidate.

Conduct appropriate law enforcement checks in areas where the candidate has resided for the scope of this investigation.

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FD-263 (Rev. 4-30-85)

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
Kansas City	Bureau	7/27/99	7/1/99 - 7/27/99

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN, aka Mel Carnahan	SA [redacted]	tmg
	CHARACTER OF CASE LEVEL II - PAS	

Reference:

Bureau EC to Kansas City, et al, dated 7/1/99.

Enclosures:

Judges Docket Sheet in the Circuit Court of Cole County, Missouri; copies of the State Audit for the Governor of Missouri for the four years ending June 30, 1998 and two years ending June 30, 1994.

- P -

Administrative:

"All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted."

APPROVED [signature] SPECIAL AGENT IN CHARGE

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2 - Bureau
(Attn: PSS [redacted]
SIGBIU, Rm 4371)
1 - Kansas City (161B-HQ-1087906) -17

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Notations

up charged 7/30/99

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The following investigation was conducted by Investigative Analyst [redacted]: b6 b7C

ADMINISTRATIVE

A check of ACS reflected numerous files/references under the name of Mel Carnahan. A review of these references are set forth as follows:

Kansas City (KC) 92A-3740, was consolidated into 92A-KC-75880. A review of 92A-3740, Serial 590, reflects that on December 18, 1992, a source advised he/she had attended a political Christmas party for the celebration of newly elected Missouri Governor Mel Carnahan. Some of the other individuals in attendance, all by private invitation, were several Clay County Prosecutors, some organized crime figures, and other businessmen.

(This information was also placed in file 194-KC-C81080-B-562.)

KC control file 194-53 was consolidated into control file 194A-KC-C81080.

Serial 229 is a Federal Document (FD)-302, dated on May 4, 1999. A source states Governor Mel Carnahan awarded a highway improvement contract to one of his political allies. Carnahan had been able to explain why the entire budget for the highway improvements for the current fiscal year had already been spent, but the source found it to be very curious.

Serial 234 is an FD-302, dated June 9, 1999, wherein a source advises Missouri Governor Mel Carnahan recently contacted a state senator regarding $150,000 the senator had left in his campaign finance account. Carnahan requested the senator donate the money to Carnahan's senate election campaign in the year 2000. Carnahan promised the senator an appointment to become head of a state agency in exchange for the money.

File 89A-KC-73334, Serial one, was captioned "Unsub; [redacted] - Victim; Governor Mel Carnahan - Victim; 05/06/1994; CCSCAKA (Congressional - Threat of Assassination)." On May 6, 1994, three telephonic threats were received at [redacted] office in Kansas City, Missouri. The case was closed on June 3, 1994. b6 b7C

194-KC-81080-L (North Kansas City, Missouri, Clay and Platte Counties, Missouri), Serial 129, is an insert that sets forth information from a source. The source advised the wife of a councilman had advised that in 1994, when a landfill rezoning issue had first come up, Governor Mel Carnahan had put a lot of pressure on a councilman to vote in favor of the landfill.

Serial 144 is an FD-302, dated March 16, 1999, of a source who provided information concerning the issue of the removal of the Clay County Commissioner and to have it done while Mel Carnahan is still the Governor of Missouri.

194-KC-C81080-D (Jefferson City, Missouri Resident Agency (RA) territory), Serials 124 and 125, are memos captioned "Information concerning Hancock II Amendment." These memos contain information about Missouri Governor Mel Carnahan's telephone call expressing his concern about the Hancock Amendment. Carnahan asked an individual to call the chairman of the United Missouri Bank and to also call the Heavy Contractors Union re this amendment.

194A-KC-73558-302-12, page three was an FD-302, in 1994, which set forth information about a [redacted] who may have made a contribution to Governor Mel Carnahan and the Missouri Democratic Party. [redacted] was with Becker Gaming, Incorporated. [redacted] decided on Jefferson City, Missouri, as the location for his riverboat casino. In November 1992, the state of Missouri approved riverboat gaming. b6 b7C

194B-KC-75834-3, Serial 47, is a 1997 insert that sets forth the fact that a source was unable to obtain any further information concerning a governor's pardon granted by Missouri Governor Mel Carnahan to [redacted]

(This information was also set out in file 194A-KC-73558).

194A-KC-73558-G, Serial 15, captioned "Organized Crime Influence, Waste Industry; OC/DI - KCLCN." This was a memo dated March 23, 1995, setting forth information provided by a police officer with the Intelligence Unit of the Kansas City, Missouri Police Department. An individual had provided information concerning property close to her residence that might be converted into a waste dump site. It was determined the possible location was on land leased to City Management, Incorporated, of Detroit, Michigan, until the proper zoning could be acquired to allow the land to be used as a dump. While fighting to keep the

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waste dump out, this individual became familiar with several people involved in the political scene, as well as the names of waste disposal companies, such as "City Management." Many state legislators had received contributions either directly from city management or from subsidiaries, their officers, or agents. This list included Governor Mel Carnahan.

194A-KC-73558-A, Serial 68, is an FD-302 of [redacted] position, had no staff, and only one secretary. [redacted] the opening session of the Missouri General Assembly on January 4, 1995. She told her secretary to find someone in the governor's office to meet with her following the session. She did meet with an aide of Governor Mel Carnahan.

194B-KC-73101, Serial 46, is an insert setting forth information about a source that [redacted] doing business as [redacted] pledged to pay a $25,000 bribe to [redacted] a member of the Clay County Commission, if [redacted] would get a rezoning issue approved by the commission. [redacted] wanted to expand the [redacted] business into a landfill operation, which required rezoning by the Clay County Board of Zoning Adjustment.

In late 1994, [redacted] delivered a $5,000 check that was delivered to [redacted] by another individual. [redacted] supposedly gave $25,000 to Governor Carnahan. The reason for this payment was unknown.

Serial 107 of this file is an insert setting forth source information regarding the same rezoning issue. When the [redacted] rezoning issue first came up, Governor Mel Carnahan put a lot of pressure on a councilman to vote in favor of the landfill.

KC file 194-KC-C81080-E, Serial 225, is an insert setting forth information from a source. [redacted] of the Holiday Inn - City Center, Kansas City, Missouri, and [redacted] is involved with City Center Development, Incorporated, which appears to be a management company that has been established to manage five hotels in the Kansas City area. A [redacted] is close to a Kansas City, Missouri city council member, and somehow arranged for the city to give three million dollars towards the renovation of a hotel. [redacted] claim to have the support of Missouri Governor Mel Carnahan. Supposedly, Carnahan has promised that land based casino gambling will be legalized with certain zones. The five hotels will fall in one of these zones.

KC file 194-KC-C81080-E, Serial 314, is an insert setting forth source information concerning a meeting orchestrated by [redacted] between [redacted] and Governor Mel Carnahan. [redacted] is a Kansas City businessman and [redacted] is [redacted] The meeting was arranged so they could pledge financial contributions to Carnahan's campaign. Historically, Carnahan has repaid financial backers by awarding them fee service offices as a sort of "political patronage." [redacted] were each awarded fee offices in exchange for their financial contributions.

KC 194-0-372 is an Electronic Communication (EC) from St. Louis dated October 18, 1996, that is captioned [redacted] A review of this serial reflects it is a record of a complaint by [redacted] former member of the Missouri General Assembly on October 17, 1996. [redacted] alleged both [redacted] accepted [redacted] from Democratic Governor Mel Carnahan as payment for voting [redacted] ago. Within a couple of months, [redacted] resigned their office and took patronage jobs.

File 194A-CV-57659; a review of Serial 704 reflects this is an FD-302 of [redacted] who stated he had a substantive conversation with a [redacted] During this conversation, [redacted] told [redacted] that he had contributed $10,000 to Mel Carnahan, the Democratic candidate for the state of Missouri.

Kansas City indices check met with negative results for candidate's spouse.

On 7/22/97 SSA [redacted] Springfield RA, & SSA [redacted] (Corruption Squad) advised they knew of no investigation initiated or conducted concerning Carnahan. MSH

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [redacted] Office: Kansas City
Date: July 27, 1999

Case ID #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN, also known as Mel Carnahan

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b7C

Character: LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION

Synopsis: Candidate interviewed. Employment verified and recommended. Neighborhood unable to be conducted due to candidate living within the Governor's Mansion located in an non-residential area. References and associates interviewed and recommended. Bar membership verified. Candidate admitted to practice law within State of Missouri on September 5, 1959. Candidate is a member in good standing, no disciplinary action on file. Candidate member of Missouri Bar Association, several complaints on file, none were found to be sufficient grounds for disciplinary actions. No record on file for candidate regarding the Election Division, Attorney General's Office, and Missouri Ethics Commission. Candidate has valid Missouri driver's license, to expire November 26, 2001, no citations listed. Records checked and show several cases on file at the Cole County Circuit Court, Jefferson City, Missouri, all involving the candidate in his official positions, none had been filed against him personally. U.S. Attorney and U.S. District Court checks met with negative results. Arrest check negative for candidate.

- P -

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FEDERAL BUREAU OF INVESTIGATION

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Date of transcription 07/20/99

On July 16, 1999, contact was made with Governor Melvin E. Carnahan at the Missouri State Capitol, Jefferson City, Missouri. Also present during the interview was Special Agent (SA) [redacted] At that time Governor Carnahan was apprised of the identity of the interviewing Agents and that the purpose of the interview was to insure complete and accurate information is available concerning his background. Governor Carnahan was also advised that the scope of the interview is back to when he was 18 years of age. At that time Governor Carnahan provided the following information:

Governor Carnahan was asked to review and comment on the accuracy of his Standard Form 86 (SF-86), previously filled out and signed. Governor Carnahan stated that to the best of his recollection, everything was accurate and complete in the SF-86.

Governor Carnahan elaborated that on the area of his employment, he previously had shown part-time employment as an attorney covering the time frame from February 1961 through January 1993. Not previously mentioned was that he served in the House of Representatives for the State of Missouri from 1963 through 1967 in a part-time capacity. Governor Carnahan explained that he considered his employment as a House of Representatives Member as part-time, therefore did not need to list it. He also explained that the only employments he has had have been self employments or when he was an elected official with the State of Missouri. Governor Carnahan was then asked if he had ever been fired or dismissed from a job, which he indicated he had not.

Governor Carnahan indicated that in addition to residing at the Governor's Mansion in Jefferson City, Missouri he usually spends weekends at his residence in Rolla, Missouri, now with a current address of 13750 Country Road 4030, Rolla, Missouri. He provided the name of [redacted] as a close neighbor and also [redacted] as neighbors that could verify his residency in Rolla.

Governor Carnahan was questioned as to if there was any personal or business financial obligations that could appear as a possible conflict of interest. He stated that he does not have

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nor has he had any personal investments that he feels would compromise any position he currently holds or would hold, if he took a position with the Federal Government. He stated that he has not nor does he currently have any personal finances or business credit issues.

Governor Carnahan stated that he has no unpaid tax obligations and is current on all federal, state and local tax obligations. He has never made any back payments on any such taxes to include income taxes, Medicare taxes, social security taxes and unemployment taxes.

Governor Carnahan stated that he does not nor has he had any civil suits filed against him other than those filed against him in his position as the Governor of Missouri. Governor Carnahan commented that he did not mention the suits filed against the State of Missouri and him as the Governor because he did not consider them his personal civil law suits. He stated that the only civil suit where he has been involved, other than as an attorney representing one of the parties, is when he filed a suit against his former client, [redacted]

Governor Carnahan stated that he has never been arrested and he is not involved in any criminal matters as a suspect or subject of any criminal investigations or charges. The Governor stated that he does not associate with questionable persons or groups. He stated that he has held his current position as the Governor of the State of Missouri since 1993. Governor Carnahan stated he has not had any continuing contact with representatives of any foreign countries. Governor Carnahan stated that he routinely travels representing the state of Missouri and has regular contact with the Defense Minister for the United Kingdom while representing the Boeing Corporation. The Governor stated that all of his international travel is in his capacity as the Governor of Missouri.

Governor Carnahan was asked to explain a complaint with the Missouri State Bar Association that had been filed by a previous client. He explained that while representing [redacted] in a property/boundary dispute, he had gone to trial with

the case. During that trial, the defense alleged that the [redacted] had anonymously telephoned the respondents in the middle of the night and threatened them. From that point forward the judge ultimately believed the respondents in the case and ruled in their favor. The complainants [redacted] felt that Governor Carnahan did not represent them adequately and refused to pay his fees, the Surveyor or the Court Reporter. Governor Carnahan stated that he paid the fees for the Court Reporter and the Surveyor and then sued his clients in an attempt to recover the fees. The Bar ruled that he did not act improperly but wrote him a letter suggesting that he should not have sued his former clients for the fees of the Court Reporter and the Surveyor. Governor Carnahan was then asked if he has been the subject of any other professional complaints, to include Bar grievances. The Governor responded that, to the best of his knowledge, this was the only complaint he has received.

Governor Carnahan was then asked if he has ever used any illegal drugs or narcotics, including marijuana. Carnahan stated that he has never used any illegal narcotics, including marijuana and has not and does not use alcohol. Governor Carnahan was specifically asked if he has or has ever abused the use of prescription drugs. Governor Carnahan responded that he does not and has never abused the use of prescription drugs.

Governor Carnahan was then questioned as to his membership in the Missouri Athletic Club, located in St. Louis, Missouri. Governor Carnahan explained that he did not join the club until it had changed its policies concerning all discrimination. He stated that he researched the club prior to joining and he was confident that there was no discrimination. He stated that he had no role in the Club changing its policies.

Governor Carnahan was then advised that during the course of the background investigation, information had developed that indicated that some people felt that the Governor's Office had acted improperly with regard to defeating the Hancock Amendment in 1994. Governor Carnahan stated that his office had not used any state funds in their efforts to defeat the amendment. He went on to explain that members of his party

opened an office in Jefferson City, Missouri with the name "Protect Missourians". In support of that campaign, the Governor indicated that he contacted various businessmen throughout the state soliciting funds. The Governor went on to explain that he made no telephone calls from any state offices in his fund raising, but used the office for the "Protect Missourians" campaign to make all the telephone calls.

It was explained to the Governor that there was an allegation that he had contacted [redacted] and requested $50,000 with the implication that the Teacher's Pension Fund would be returned to the United Missouri Bank if a contribution was made. Governor Carnahan denied the allegation, stating that he had not spoken with [redacted] for many years and is confident that he does not have the type of relationship with [redacted] that would lend itself for him soliciting funds from [redacted].

The Governor went on to state that it was his understanding that [redacted] had in fact made a $50,000 contribution to the pro-Hancock Amendment movement.

It was then explained to Governor Carnahan that prior investigation by the FBI determined that he had made a call to [redacted] requesting that [redacted] contact [redacted] to find out whether or not [redacted] had made a contribution to support the Hancock drive. Governor Carnahan stated that he had contacted [redacted] but does not specifically recall what the telephone conversation entailed. He stated that at not time would he have implied that the Teacher's Pension Fund would be returned to the United Missouri Bank in that he had no influence over that decision. He explained that there is a commission that is appointed to handle the Teacher's Pension Fund and at the time of the Hancock Amendment he did not have a person appointed from his office on that commission. He stated that currently he has one person assigned and eventually he will have a second appointee to the commission.

Governor Carnahan was then questioned as to his current association with the Rozark Farm, Incorporated of Ellsinore, Missouri. The Governor stated that he stopped all contact with

the company in 1989. He stated that the company had filed bankruptcy and that the bankruptcy has been finalized. He stated that he has not played any roll whatsoever since 1989. Currently, [redacted] has maintained all the company records and bankruptcy files.

Governor Carnahan stated that other than Rozark Farms, he does not currently, nor has he in the past, had any affiliation with other corporations, firms, partnerships, or other business enterprises, including non-profit organizations. The Governor explained that with the exception he had been a member of the Kiwanis Club in Rolla, Missouri for a short time in the 1970s prior to moving to Jefferson City, Missouri as a full time state employee. He stated that he discontinued his membership because he was not available for meetings and participation in the organizations. Governor Carnahan stated that to the best of his knowledge, the Kiwanis Club does not restrict its membership in any way.

Governor Carnahan stated that since being elected to a full time position with the State of Missouri, he has not conducted any business outside of his official responsibilities. He stated that he is currently licensed to practice law in the State of Missouri but has no clients. He stated that he stopped taking on clients because the job as State Treasurer, Lieutenant Governor and now as Governor have been more than full time positions, requiring all of his attention.

Governor Carnahan stated that he is not involved in, nor has he ever been involved in any activity or conduct that could be used to influence, pressure, coerce or compromise him in any way, or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or his responsibilities.

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/29/99

On July 29, 1999, telephonic contact was made with Governor Melvin E. Carnahan, who at the time was in Washington, D.C.

Governor Carnahan was advised that the investigating Agent had additional questions to ask concerning his background investigation. He was also advised that any questions concerning psychological counseling where only for the past fifteen years, not back to his 18 birthday, as the rest of the investigation would cover. At that time he indicated that he has never received any psychological counseling.

Governor Carnahan was then questioned as to where he is currently licensed to practice law. He stated that he is currently licensed and a member in good standing with the Missouri State Bar.

The Governor was then asked to explain in detail a business deduction which was not allowed that he had mentioned in his 1994 background investigation. Carnahan explained that after graduating from law school, in approximately 1960 he became associated with the law firm, Northern and Crow of Rolla, Missouri as an Associate Partner. He worked with the firm for approximately one and one half years. As a Partner, he earned equity in the firm. When he voluntarily disassociated himself with the firm, he requested from the [redacted] to be paid for approximately $1,000 in company equity that he had accrued. [redacted] refused to pay and Carnahan showed the loss of the $1,000 in his next year's taxes as a business loss. In the mid 1960s the Internal Revenue Service (IRS) came back, disallowing that deduction and Carnahan was assessed for taxes and penalties in an amount less than $300, which he paid. Carnahan explained that he then recontacted [redacted] and requested [redacted] to pay for his tax liability. Carnahan stated that [redacted] did pay Carnahan approximately the $300 which he had incurred in taxes and penalties.

Carnahan stated that he did not specifically mention his employment with Northern and Crow because at the time he was working with them as an independent, self employed attorney and considered that as part of his listing of self employment.

Investigation on 07/29/1999 at Jefferson City, MO (telephonically)

File # 161B-HQ-1087906 Date dictated 07/29/1999

by SA [redacted] :tsm

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Carnahan was asked to outline in detail his membership in the Missouri Athletic Club and his knowledge as to any discriminatory practices the Club has or has had during his association. Carnahan explained that prior to his becoming a non-resident member in the early 1980s, he checked to make sure the club had no restrictions on membership. His research assured him the Missouri Athletic Club of St. Louis, Missouri did not restrict members based on race, religion, or ethnic background. He stated that he did not know if the club was restricted based on gender at the time of his becoming a member. In the late 1980s Carnahan became aware that the club began a campaign to attract more female members and that campaign proved to be successful.

Carnahan explained a non-resident member is someone who pays a reduced membership fee based on the Club's assumption that, as someone who does not live in the immediate area of the Club, the member will not use the club as often as a local member. After becoming Governor, he was given a honorary membership in the Missouri Athletic Club. Carnahan is confident that the Missouri Athletic Club of St. Louis, Missouri does not restrict its membership in any way. Carnahan stated that at no time during his association with the Missouri Athletic Club has he known, nor does he believe that the Club restricted its membership based on sex, race, color, religion, or national origin. Governor Carnahan stated that at no time during his association with the organization has he held a policy making position nor has he been involved in any way with the admissions policies. Governor Carnahan stated that at the time he became a non-resident member, he was aware that there were members from all of the minority classes, including African-Americans and members of the Jewish religion, that were members of the Club.

Governor Carnahan was asked if he has been or has ever been associated or is currently a member of any organization which advocates the use of force to overthrow the United States Government or any involvement in the commission of sabotage, espionage or assistance of others in terrorism. Governor

Carnahan stated that he has never, nor is he currently involved in any organization that advocates the violent overthrow of the United States Government nor is he involved in any commissions of sabotage, espionage nor assistance of any others in terrorism.

The following investigation was conducted by Special Agent (SA) [redacted] on July 14, 1999 at Jefferson City, Missouri:

EMPLOYMENT:

On July 14, 1999, [redacted] was contacted at Room [redacted] Missouri State Capitol, Jefferson City, Missouri. [redacted] provided her date of birth as [redacted] and her Social Security Account Number as [redacted]

[redacted] indicated that she is currently employed as the [redacted]

She stated that she first became acquainted with the candidate in 1959, when they both were residing in the same neighborhood in Rolla, Missouri. At that time they had regular social contact as neighbors. [redacted] indicated that both the candidate's and her children routinely would play together at each other's homes. This regular contact has continued to the present time.

[redacted]

[redacted] described the candidate as a great employer who treats his employees extremely fairly. She stated he is very "laid-back", making him easy to get along with and that he never gets excited about mistakes made by employees or problems. She stated he has an extremely hectic work schedule but yet is always pleasant when dealing with his employees and constituents.

[redacted] explained that in her position as [redacted] her responsibilities include [redacted]

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[redacted] stated that she knows of no incident that have risen during the candidate's tenure as an elected official for the State of Missouri that would reflect negatively on his character, reputation or abilities.

[redacted] stated that she considers the candidate's character to be flawless, describing him as an extremely honest and straight-forward man. She recalled a campaign where some of the campaign workers viewed the candidate as such a "straight arrow", that they made campaign buttons with arrows on them. [redacted] stated that there has never been any question as to the candidate's excellent reputation indicating that he is an extremely capable and talented individual.

[redacted] knows of no issues with regards to the candidate's ability to meet his financial responsibilities. [redacted] stated she is confident that the candidate has never possessed, manufactured, sold, purchased, used, or distributed any illegal drugs, include marijuana. She stated that he does not abuse prescription drugs and does not use any alcohol. [redacted] indicated that the candidate does not have any questionable associates or friends. She stated that he currently is a member of the Shriner's but due to his hectic schedule, rarely is able to attend meetings. She stated that while living in Rolla, the candidate was a member of the Kiwanis Club but he ended his association with the organization after moving to Jefferson City and was unable to attend meetings.

[redacted] described the candidate as a loyal and honest individual who holds no prejudices or biases.

[redacted] was questioned as to her previous interview for the background investigation conducted on the candidate in 1994. [redacted] stated that she had been interviewed and that there were no changes or corrections that she needed to make to her initial statements. She stated that her views of the candidate have remained consistent and nothing since 1994 has changed her opinion in any way.

[redacted] advised that she would highly recommend the candidate for a position of trust and confidence with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] and SA [redacted] on July 16, 1999 at Jefferson City, Missouri:

EMPLOYMENT:

On July 16, 1999, [redacted] Missouri Department of Public Safety (MDPS), Truman State Office Building, Jefferson City, Missouri was contacted. [redacted] advised he is familiar with the candidate, both as the Governor of Missouri and [redacted] indicated that he has been in the position as [redacted] In addition, [redacted] stated that he sees the candidate occasionally each year during various official social functions at the Governor's Mansion.

Prior to becoming [redacted] stated he was [redacted] In that position he had occasional contact with the candidate, [redacted]

[redacted] stated that the candidate has an excellent reputation as a hard working, dedicated public servant who Kempker highly respects. [redacted] emphasized that the candidate is one of the most honest and moral individuals he has ever known. [redacted] stated that the candidate will always make the right moral decision with regards to his duties as Governor regardless of the influences or pressures from outside sources. It has been [redacted] experience in dealing with the candidate that no matter what the politic consequences, [redacted] has been instructed to base his official decisions on what is right for the citizens of Missouri and what is ethically and morally correct.

[redacted] advised that the candidate appears to live within his financial means and is unaware of any unusual debts or financial obligations. [redacted] stated that the candidate has excellent character and reputation. [redacted] is confident that the candidate does not associate with undesirable individuals or groups. [redacted] is confident that the candidate is emotionally stable and has never known him to act irrationally. [redacted] believes the candidate supports the constitution and has no reason to question his loyalty to the United States.

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[redacted] advised that he has no knowledge of the candidate using, possessing, manufacturing, purchasing, selling, or distributing any type of illegal drugs, including marijuana, nor has he known him to abuse prescription drugs or use alcohol in any way.

[redacted] is confident that the candidate does not express or manifest any biases or prejudice toward any individuals or groups based upon sex, race, color, religion, national origin, handicap or age, nor does he belong to any organization that does.

[redacted] advised that he is unaware of the candidate being involved in any personal litigation or having been fired from any jobs. [redacted] stated that the candidate has an unbelievably strong work ethic, working 12 hours a day, six to seven days a week, year in, year out. Even though the candidate works long hours, [redacted] stated that he is always impressed on the dedication and attention that the candidate gives to his family. He stated that the candidate has an exceptionally good relationship with his wife and children and he makes a point to be available for all important family anniversaries and functions.

[redacted] stated he would highly recommend the candidate, without reservation, for a position of trust and confidence with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

EMPLOYMENT:

On July 7, 1999, [redacted] Missouri State Capitol, Jefferson City, Missouri advised he has known the candidate for [redacted] years. Both [redacted] and the candidate's families have been involved in politics in Missouri for a number of years, and both have themselves been elected to various public offices at the state level in the past. [redacted] respects the candidate, and considers him a friend. [redacted] sees or talks to the candidate several times a week in their capacity as [redacted] and Governor. [redacted] and his family do not socialize with the candidate and his family, outside of attending state or political functions. While their wives are acquainted, they are not close friends.

[redacted] was allowed to review a copy of a report reflecting his previous interview regarding the candidate, conducted in November, 1994. [redacted] advised this report accurately reflected his beliefs at that time, nothing has changed since that time. The candidate continues to be involved in controversial issues in his position as Governor of the State of Missouri, but [redacted] believes that his decisions are based on both strong personal conviction, as well as the best interest of the people of Missouri. One issue that was controversial was the Governor's stay of execution for a death row inmate. [redacted] believed the candidate stayed the execution as he personally had questions regarding the conviction he wanted to have resolved, and not because of any pressure from any political group.

[redacted] advised the candidate continues to be well respected as the Governor of Missouri. The candidate continues to have a reputation as being a very honest, sincere, and solid public servant. [redacted] advised the candidate's character and reputation are above question and [redacted] knows that the candidate does not associate with undesirable individuals. [redacted] considers the candidate to be emotionally stable. [redacted] believes the candidate supports the Constitution, and has no reason to question the candidate's loyalty to the United States. [redacted] is unaware of the candidate's personal finances.

[redacted] advised that he has no knowledge of the candidate ever using, possessing, manufacturing, buying, selling, or any type of distribution of illegal drugs, abusing prescription drugs, or having a problem with alcohol. He has never seen the candidate consume alcohol.

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To [redacted] knowledge, neither the candidate, nor anyone in the candidate's family, has ever done anything that would be embarrassing to himself or the government. The candidate had never used his official position for personal reasons. [redacted] advised he was unaware of anything in the candidate's background that he would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility.

[redacted] advised that he has never known the candidate to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did To the contrary, the candidate continues to work to bring minorities into state government. The candidate did not take an extremist position on any issue. [redacted] advised he is unaware of the candidate being involved in any personal litigation, or ever being fired from any job. He was aware that the candidate was named in numerous lawsuits in his official capacity as Governor. Also, he is unaware of the candidate ever being turned down for employment or a promotion. [redacted] believes the candidate to have a good work ethic. The candidate continues to put in a lot of hours both in his capital office and traveling on state business. He also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, the candidate was in good physical health.

[redacted] felt that the candidate had an even temperament, and he had never seen the candidate loose his temper. He was open minded, and handled stress situations well.

[redacted] advised he would most certainly recommend the candidate without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted] on July 14, 1999 in Jefferson City, Missouri:

EMPLOYMENT:

On July 14, 1999, contact was made with [redacted] Office of the State Auditor for the State of Missouri. [redacted] provided copies of two audits conducted by his office on the Missouri State Governor's Office. The first audit was a two year audit ending on June 30, 1994 and the second was a four year audit ending June 30, 1998.

After review of the two audits by SA [redacted] nothing was discovered that would indicate that the candidate nor his staff has acted illegally or inappropriately in dealing with the State appropriated operational funds for his department.

The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri: b6 b7C

NEIGHBORHOOD:

100 Madison
Jefferson City, Missouri
1/93 - Present

On July 19, 1999, the address of 100 Madison Street, Jefferson City, Missouri, was determined to be the address for the Governor's Mansion, which is the official residence for the candidate. The Governor's Mansion is located in the downtown area of Jefferson City, and is surrounded by Missouri state office buildings and commercial establishments. No other residences are located within several blocks of the Governor's Mansion. Since conducting a neighborhood investigation in this instance would be inappropriate, none was conducted.

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The following investigation was conducted by Special Agent (SA) [redacted] on July 14, 1999, in Jefferson City, Missouri:

REFERENCE:

[redacted] date of birth [redacted] Social Security Account Number (SSAN) [redacted] telephone number [redacted] at the Exchange Bank located at 132 East High Street, Jefferson City, Missouri 65109, telephone number [redacted] was contacted regarding the candidate. [redacted] has been [redacted] for approximately [redacted] was previously employed for [redacted]

[redacted] advised that the [redacted] and Carnahan families have known each other for at least three generations. [redacted] described the candidate's character as "a highly ethical gentleman." [redacted] knew of no unfavorable associates that the candidate may have and described the reputation of the candidate as a person of honesty and integrity, in fact the Carnahan name is synonymous with honesty and integrity.

[redacted] advised that the candidate is loyal to the United States and described him as a "patriotic individual." [redacted] further advised that the abilities of the candidate are to be concerned with public welfare and concerned for how the "system" works. He continued by stating the candidate is a strong leader in education and hard worker.

[redacted] knew of no prejudices or biases held by the candidate. [redacted] stated that, although not familiar with the financial responsibilities of the candidate, he perceived the candidate's finances to be solid.

[redacted] knew of no alcohol abuse and does not think the candidate even drinks socially. [redacted] was not aware of the candidate using, possessing, purchasing, selling, manufacturing or distributing illegal drugs or abusing prescription medication.

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[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility.

[redacted] advised that he would recommend the candidate for a position of trust and confidence with the United States Government.

The following investigation was conducted by Special Agent (SA) [redacted] on July 15, 1999 at Jefferson City, Missouri:

REFERENCE:

[redacted] date of birth [redacted] Social Security Account Number [redacted] telephone number [redacted] advised he [redacted] has had professional and social contact with the candidate since 1980. Since 1993, [redacted] has been to the Governor's Mansion approximately 6 to 8 times a year for various functions. [redacted] advised that the Governor's Mansion is located at 100 Madison Street, Jefferson City, Missouri and is the residence of the candidate.

[redacted] described the candidate's character as "outstanding" and further stated the Governor's standards, ethics and principles are very high. [redacted] knew of no unfavorable associates of the candidate and described the candidate's reputations as "excellent, never heard any bad words said." Hanson advised that the candidate was loyal to the United States.

[redacted] relayed that the candidate's abilities as intelligent and experienced in many areas. He further advised the candidate is an active leader and had sound judgement. Hanson knew of no bias or prejudice held by the candidate against any group or individual. [redacted] stated the candidate had a good mind for finances regarding fiscal responsibilities for the State. [redacted] stated he was not familiar with the candidate's personal financial responsibilities, but believes the candidate to carry the same financial responsibility with his own finances as he does with the State's.

[redacted] knew of no alcohol use and described the candidate as a "tea-totaler". To the best of [redacted] knowledge, he was not aware of the candidate using, possessing, purchasing, manufacturing, selling, or distributing any illegal drugs or abusing prescription medication.

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[redacted] was unaware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, trustworthiness or responsibility.

[redacted] advised that he would recommend the candidate for a position of confidence and trust in the United States Government.

The following investigation was conducted by Special Agent (SA) [redacted] on July 13, 1999 at Columbia, Missouri:

REFERENCE:

[redacted] date of birth [redacted] telephone number [redacted] was contacted regarding the candidate. [redacted] is a [redacted]

[redacted] met the candidate in approximately 1956 or 1957 while [redacted] and the Governor attended UMC. [redacted] has kept contact with the candidate approximately two times a year since [redacted] graduated from UMC in approximately 1959 or 1960.

[redacted] described the candidate's character as very good. He continued stating that the candidate is an honest person with high morals and good integrity. In referring to integrity, [redacted] stated the candidate was "more than average, by far."

[redacted] has no knowledge of unfavorable associates of the candidate and described the candidate's reputation as outstanding, his loyalty to the United States is excellent. [redacted] described the particular abilities of the candidate a intelligent, good character, steady and consistent, has good common sense and is always calm, cool and collected. [redacted] knew of no biases held by the candidate.

[redacted] further stated the candidate has done very well financially. [redacted] had no knowledge of the candidate abusing alcohol. [redacted] stated, "don't even think he touches it." [redacted] had no knowledge of the candidate using, possessing, purchasing, selling, manufacturing or distributing illegal drugs or abusing prescription medications.

[redacted] is not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility.

[redacted] state he would recommend the candidate for a position of trust and confidence with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] on July 20, 1999 at Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] Supreme Court Building, Jefferson City, Missouri, telephone number [redacted] advised he had been [redacted] and had known the candidate for approximately [redacted] years, having met him through politics. [redacted] further advised he met with the candidate on a work related basis approximately once a week.

[redacted] advised the candidate possessed outstanding character, was a straight shooter and had a very good reputation. [redacted] was not aware of the candidate associating with anyone of an undesirable nature and felt he had no reason to question his loyal to the United States.

[redacted] was not aware of the candidate possessing or displaying bias or prejudice against any group or individual. [redacted] had no knowledge of the candidate abusing alcohol or prescription drugs or possessing, manufacturing, buying, selling, using, or distributing any type of illegal drugs. [redacted] was not aware of the candidate having any financial problems or unusual debts or obligations.

Regarding the candidate's ability, [redacted] advised the candidate was an extremely hard worker and a very stable individual. [redacted] further advised the candidate got along well with other individual with whom he worked.

[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility. [redacted] had no knowledge of a derogatory nature concerning the candidate.

[redacted] advised he would absolutely recommend the candidate for a position of confidence and trust with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Joplin, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] Social Security Account Number [redacted] telephone number [redacted] was interviewed at his residence on July 19, 1999. [redacted] stated he knew the candidate since approximately [redacted] and the candidate were students together at the University of Missouri and attended the same church, Calvary Baptist Church, in Columbia, Missouri. [redacted] and the candidate socialized often during the college years and have maintained contact with each other since that time. [redacted] considered himself and the candidate to be friends.

[redacted] described the candidate's character and reputation as being way above average and "above the common herd". He said the candidate was a loyal and morally upright person who always displayed exemplary conduct. Although he did not agree with his politics, [redacted] had the highest respect for the candidate. Although [redacted] did not have any direct knowledge of the candidate's professional ability he did know that he had maintained a respectable law practice and was a competent attorney.

[redacted] considered the candidate to be loyal to the United States and as patriotic as any citizen he knew. He had never seen him display any bias or prejudice toward any individual or group based on race, religion or gender. He described the candidate as a very giving person but was also careful and frugal with his finances, always living within his financial means. [redacted] stated the candidate's friends and associates were always of good character and reputation, humorously adding with the exception of the political company he keeps. He had never seen the candidate abuse alcohol or prescription drugs and was not aware of the candidate using, possessing, purchasing, manufacturing, selling, or distributing illegal drugs.. He said he was a very light social drinker only when he had to be.

[redacted] was not aware of any activity or conduct in the candidate's background that could be used to influence pressure, coerce or compromise him in any way, or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness, or responsibility.

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[redacted] has the utmost admiration for the candidate and would highly recommend him for a position of trust and confidence with the United States government. He concluded by saying anything asked of the candidate he would do well.

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The following investigation was conducted by Special Agent (SA) [redacted] on July 15, 1999 at Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] telephone number [redacted] advised she had been [redacted] for the past [redacted] years. [redacted] first met the candidate when he became Governor in January 1993. [redacted]

[redacted] advised the candidate and [redacted] are really nice people who are easy going and very good to work for. She described the candidate as being a very friendly, devoted family man who was kind and always very respectful of the people he worked around. [redacted] advised the candidate had a very solid reputation and was well liked by everyone around him. [redacted] had no knowledge of the candidate associating with anyone of an undesirable nature and felt she had no reason to question his loyalty to the United States.

[redacted] was not aware of the candidate possessing or displaying bias or prejudice against any groups or individuals. [redacted] had no knowledge of the candidate abusing alcohol or prescription drugs and he was not aware of the candidate using, possessing, purchasing, manufacturing, selling, or distributing illegal drugs. [redacted] was not aware of the candidate having any financial problems or unusual debts or obligations.

[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility. [redacted] had no knowledge of a derogatory nature concerning the candidate.

[redacted] advised the candidate was a very stable individual who had an extremely solid work ethic, putting in numerous hours everyday. [redacted] advised she would absolutely recommend the candidate for a position of confidence and trust with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] on July 15, 1999 at Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] telephone number [redacted] advised she had been the [redacted]

[redacted] advised she thought of the Carnahans like a second mom and dad. [redacted] stated she has nothing but the highest regard and respect for them, both on a professional and personal level. [redacted] further advised she had extensive contact with both the candidate and [redacted] and felt she knew the candidate quite well.

[redacted] advised the candidate was a very down to earth, caring, appreciative and kind individual with an untarnished reputation, who treated his employees as family. [redacted] had no knowledge of the candidate associating with anyone of an undesirable nature and felt she had no reason to question his loyalty to the United States.

[redacted] was not aware of the candidate possessing or displaying bias or prejudice against any groups or individuals. Earls had no knowledge of the candidate having any financial problems or unusual debts or obligations. [redacted] was not aware of the candidate abusing alcohol or prescription drugs and he was not aware of the candidate using, possessing, purchasing, selling, manufacturing or distributing illegal drugs or abusing prescription medication. [redacted] further advised the candidate did not drink alcoholic beverages.

[redacted] advised the candidate possessed an incredible work ethic, working non-stop around the clock, as long as needed to do his job. [redacted] further advised the candidate looked at himself as a servant to the State and was consistently doing what he could to serve the State of Missouri. [redacted] further advised she did not know anyone who had worked for the candidate that did not adore him.

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[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility. [redacted] had no knowledge of a derogatory nature concerning the candidate.

[redacted] advised that a perfect example of the candidate's leadership occurred approximately five years ago when the

[redacted]

[redacted] advised that she would, absolutely without hesitation, recommend the candidate for a position of confidence and trust with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On July 13, 1999, [redacted] Missouri State Highway Patrol (MSHP), MSHP Headquarters, Jefferson City, Missouri, advised he has known the candidate for approximately [redacted] years. [redacted] first met the candidate after the candidate became Governor, and [redacted] was assigned to the MSHP Field Operations Bureau. [redacted] had contact with the Governor's office from time to time in that position, and on occasion would meet with the candidate. [redacted] advised he was promoted [redacted] based on his qualifications and merit and he was not politically active in any way prior to this promotion.

[redacted] advised the candidate does not interfere in the day to day operation of the MSHP in any way. [redacted] believes the candidate holds accountably his cabinet level appointed officials, but leaves most routine decisions up the individual department heads. [redacted] felt that the candidate was most concerned with the good of the people of Missouri and how the MSHP could positively impact on this, rather than using the MSHP as a political pawn.

[redacted] advised he was unaware of any instance where the candidate had ever used his influence or position to impact MSHP operations or investigations.

[redacted] advised he believed the candidate is a well respected as the Governor of Missouri by most state employees, including employees at the MSHP, as well as the public in general. The candidate has a reputation as being honest and trustworthy. [redacted] knows the candidate to be a person of his word and someone of integrity.

[redacted] advised he has no knowledge of the candidate's personal finances, and [redacted] is unaware of any unusual debts or financial obligations that the candidate has incurred. [redacted] advised the candidate's character and reputation are above question, and to [redacted] knowledge, the candidate does not associate with undesirable individuals. To [redacted] knowledge, no question of the candidate's integrity or honesty has ever surfaced in any MSHP investigation.

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[redacted] considers the candidate to be emotionally stable. [redacted] believes the candidate supports the Constitution, and has no reason to question the candidate's loyalty to the United States.

[redacted] advised that he has no knowledge of the candidate ever using, possessing, purchasing, selling, manufacturing or distributing illegal drugs or abusing prescription drugs, or having a problem with alcohol. To [redacted] knowledge, neither the candidate, nor anyone in the candidate's family, has ever done anything that would be embarrassing to himself or the government. [redacted] does not socialize with the candidate, but has heard that the candidate enjoys a good family life.

[redacted] advised he was unaware of anything in the candidate's background that the candidate would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. The candidate has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known the candidate to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. The candidate did not take an extremist position on any issue. [redacted] was aware that the candidate insisted that the various departments under him strived to hire and promote minorities, as long as they were qualified. He insisted that the state government including the MSHP reflected the diversity of the state's population.

[redacted] advised he is unaware of the candidate being involved in any personal litigation, or ever being fired from any job. He was aware that the candidate was named in numerous lawsuits in his official capacity as Governor, but this in no way reflected personally on the candidate. [redacted] advised he is unaware of the candidate ever being turned down for employment or a promotion. [redacted] believes the candidate to have a good work ethic. [redacted] was aware that the candidate put in a lot of hours both in his capital office and traveling on state business, since MSHP employees acted as the candidate's body guards. The candidate also spent many evenings and weekends entertaining officials on behalf of the state of Missouri in the Governor's Mansion. To [redacted] knowledge, the candidate was in good physical health.

[redacted] was aware that the candidate and [redacted] were involved in many community projects directed to aid and assist children and minorities. Many of these projects centered around the Governor's Mansion.

[redacted] felt that the candidate had an even temperament, and he had never seen the candidate loose his temper. The candidate was open minded. The candidate seemed approachable, and willing to listen to various opinions of others. [redacted] felt the candidate could keep confidences.

[redacted] advised he felt the candidate did not let partisan politics get in the way of representing the interest of the citizens of Missouri. The candidate often expressed to [redacted] a desire to see the MSHP stay removed from politics.

[redacted] advised he would recommend the candidate without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted] on July 15, 1999 at Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] telephone number [redacted] advised he had been the [redacted] at the Governor's Mansion for the past [redacted] years. [redacted] further advised he first came to know the candidate through his employment and he had frequent contact with the candidate on a daily basis.

[redacted] advised he had tremendous respect for the candidate and he and [redacted] were the best bosses he had ever worked for. [redacted] further advised the Carnahans were really good people who put forth an extreme effort in taking care of their employees. [redacted] advised the candidate was a very honest, sincere and polite individual with an outstanding reputation. [redacted] felt the candidate was a man of his word and was well liked by all people around him.

[redacted] had no knowledge of the candidate associating with anyone of an undesirable nature and felt he had no reason to question the candidate's loyalty to the United States.

[redacted] was not aware of the candidate possessing or displaying bias or prejudice against any group or individual. [redacted] had no knowledge of the candidate abusing alcohol or prescription drug and he was not aware of the candidate using, possessing, purchasing, selling, manufacturing or distributing illegal drugs. [redacted] advised the candidate did not drink alcoholic beverages. [redacted] was not aware of the candidate having any financial problems or unusual debts or obligations.

[redacted] advised the candidate was an extremely hardworking individual who was very emotionally stable. [redacted] stated the candidate maintained excellent physical condition.

[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility. [redacted] had no knowledge of a derogatory nature concerning the candidate and advised he had never heard anyone at the Mansion say anything bad concerning the candidate.

[redacted] advised that he would absolutely recommend the candidate for a position of confidence and trust with the United States Government. [redacted] further advised that the candidate would be an asset to anybody or any organization he was a part of.

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The following investigation was conducted by Special Agent (SA) [redacted] on July 15, 1999 at Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] telephone number [redacted] advised he had been employed with the State of Missouri, Capitol Police for [redacted] years, having worked all of those [redacted] years as a [redacted]

[redacted] advised the candidate was an outstanding individual with very high morals and an impeccable reputation. [redacted] further advised the candidate was very professional and a tremendous person to work for. [redacted] was not aware of the candidate associating with anyone of an undesirable nature and felt he had no reason to question the candidate's loyalty to the United States.

[redacted] was not aware of the candidate possessing or displaying bias or prejudice against any groups or individuals. [redacted] had no knowledge of the candidate abusing alcohol or prescription drugs and he was not aware of the candidate using, possessing, purchasing, selling, manufacturing or distributing illegal drugs. [redacted] was not aware of the candidate having any financial problems or unusual debts or obligations.

[redacted] advised the candidate was a very stable individual who treated his security detail with the utmost of respect. [redacted] further advised the candidate was a dedicated public servant who worked an amazing schedule, putting in numerous hours daily.

[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility. [redacted] had no knowledge of a derogatory nature concerning the candidate.

[redacted] advised he would highly recommend the candidate for a position of confidence and trust with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] on July 14, 1999 in Jefferson City, Missouri with the following results:

ASSOCIATE:

On July 14, 1999, contact was made with [redacted] at Room [redacted] of the Missouri State Capitol building. At that time, [redacted] provided her date of birth as [redacted] and her Social Security Number as [redacted] Her office telephone number is [redacted]

[redacted] stated that she has known the candidate for approximately [redacted] years. She indicated that in the 1970's she was employed as [redacted]

[redacted] knows the candidate to be married and has four children. [redacted] stated that the candidate is dedicated to his family and has a very close relationship with [redacted] indicated that the candidate does not have any questionable associates or friends. [redacted] feels the candidate's ability to maintain a strong family connection reflects highly on his character. [redacted] went on to describe the candidate as a person of excellent character, who is determined to accomplish what he feels strongly about, yet is not an aggressive personality, who she described is typical in politics. She stated that she has found him to be "laid back" which is a pleasant change from most politicians. [redacted] went on to explain that even though she finds the candidate to be a person of easy going nature, he is determined and resolute in accomplishing his goals.

[redacted] described the candidate's reputation as excellent in that he is known to be someone who maintains a slow but steady course. She stated that he is a very "grounded person" who is aware of what is important in life. He is determined to improve the lives of the citizen's of Missouri.

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[redacted] advised that she has no knowledge of the candidate's personal finances but is confident that he would be responsible in meeting his commitments. She went on to state that she has no knowledge of the candidate ever using, possessing, purchasing, selling, manufacturing or distributing illegal drugs or abusing prescription drugs or having a problem with alcohol. She emphasized that with the activities of the press in the 1990's, she seriously doubts that the candidate would possess any obvious personality flaws that would not be well known to the general public.

[redacted] went on to state that she has never known the candidate to express or manifest any biases or prejudices toward any individuals or groups based upon sex, race, color, religion, national origin, handicap or age, or belonging to any organization that did.

[redacted] stated that she is confident that the candidate is a loyal American, who does not support any organization or any individual that believes in the violent overthrow of the Federal Government.

[redacted] stated that she highly recommends the candidate for any position of trust and confidence with the United States Government.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On July 20, 1999, [redacted] Missouri State Capitol, advised he has known the candidate for approximately [redacted] years. He first became acquainted with him when the candidate was elected as Missouri State Treasure, and [redacted]

[redacted] was allowed to review a report reflecting an interview he gave on November 28, 1994, concerning the candidate, and advised after reviewing the report, it accurately reflected his views regarding the candidate.

[redacted] advised five years had passed since his original interview and he knew the candidate better, and has formed the opinion that the candidate will sometimes ignore the "spirit" of the law to push his own political agenda. As an example, the state of Missouri recently enacted legislation regarding the funding for family planning. The general intent of the legislation was to not allow abortions to be funded with state funds. However, the candidate, through the Department of Social Services, allowed state funds to be utilized to support Planned Parenthood, which supports abortions. The candidate did not make any secret of this, and arguably the candidate was not violating the law. However, [redacted] was of the opinion that he was violating both the spirit and letter of the law. [redacted] pointed out that this was just his opinion, and others might disagree.

[redacted] advised the candidate enjoys a good reputation. [redacted] advised he has no knowledge of the candidate's personal finances, and [redacted] is unaware of any unusual debts or obligations that the candidate has incurred. [redacted] advised to his knowledge, the candidate does not associate with undesirable individuals. [redacted] considers the candidate to be emotionally stable and believes the candidate supports the Constitution, and has no reason to question the candidate's loyalty to the United States.

[redacted] advised that he has no knowledge of the candidate ever using, purchasing, selling, manufacturing, possessing, or distributing illegal drugs, abusing prescription

drugs, or having a problem with alcohol. He did not socialize with the candidate, and did not know if he drank alcohol socially.

To [redacted] knowledge, neither the candidate, nor anyone in the candidate's family, has ever done anything that would be embarrassing to himself or the government.

[redacted] has heard that the candidate enjoys a good family life. [redacted] advised he was unaware of anything in the candidate's background that the candidate would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. The candidate has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known the candidate to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did. The candidate did not take an extremist position on any issue. [redacted] is aware that the candidate has moved to insure minorities are represented in the various departments and division of state government.

[redacted] advised he is unaware of the candidate being involved in any personal litigation, or ever being fired from any job. He was aware that the candidate was named in numerous lawsuits in his official capacity as Governor. [redacted] was unaware of the candidate ever being turned down for employment or a promotion. [redacted] believes the candidate to have a good work ethic.

[redacted] advised he knew nothing derogatory about the candidate that would disqualify him for a position of high trust and confidence in the United States Government. [redacted] advised even though they were politically opposite each other, he would recommend the candidate if he was being actively considered for such a position.

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The following investigation was conducted by Special Agent (SA) [redacted] at Jefferson City, Missouri:

ASSOCIATE:

On July 19, 1999, [redacted] date of birth [redacted] advised he has known the candidate for approximately [redacted] years. [redacted] first met him just before the candidate entered law school, and was introduced to him by the candidate's father, A.S.J Carnahan, who was a Missouri Congressman at the time. [redacted] was two (2) years behind the candidate in law school at the University of Missouri, but [redacted] has occasional contact with the candidate the year they were in law school together.

Per [redacted] after the candidate got into legal practice in the Rolla, Missouri area, and [redacted] practiced law in Jefferson City, Missouri, he had occasional contact with the candidate through their common legal profession, although they never opposed each other in court on any case they were handling. [redacted] also has had occasional contact with the candidate throughout the candidate's political career, and on occasion has been asked by the candidate to review something the candidate was working on, for a second legal opinion. [redacted] gave as an example an occasion where the candidate was the Treasure of the State of Missouri, and was needing a second legal opinion regarding issuing an official state check for an un-recalled purpose.

[redacted] advised the candidate went to great lengths to not only avoid any wrongdoing, he also was concerned about any appearance of wrongdoing on his part, while involved in political office. [redacted] described the candidate as being of impeccable character, and someone who had a reputation as being very honest. The candidate has a reputation as being sincere, and putting the interest of the people ahead of politics. [redacted] knows the candidate to be a person of his word.

[redacted] advised he has no knowledge of the candidate's personal finances, but is unaware of any unusual debts or financial obligations that the candidate has incurred. [redacted] considered the candidate to be fiscally responsible with regards to state spending. [redacted] advised the candidate's character

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and reputation are above question, and to [redacted] knowledge, the candidate has never associated with undesirable individuals. [redacted] considers the candidate to be emotionally stable. [redacted] believes the candidate supports the Constitution, and has no reason to question the candidate's loyalty to the United States.

[redacted] advised that he has no knowledge of the candidate ever using, possessing, purchasing, manufacturing, selling, or distributing illegal drugs, abusing prescription drugs, or having a problem with alcohol. He did not socialize with the candidate, and did not know if he drank alcohol socially. To [redacted] knowledge, neither the candidate, nor anyone in the candidate's family, has ever done anything that would be embarrassing to himself or the government.

[redacted] has heard that the candidate enjoys a good family life. [redacted] advised he was unaware of anything in the candidate's background that the candidate would be concealing that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. The candidate has never had any problems with law enforcement to [redacted] knowledge.

[redacted] advised that he has never known the candidate to express or manifest any bias or prejudice toward any individuals or groups, based upon sex, race, color, religion, national origin, handicap, or age, or belong to any organization that did the candidate did not take an extremist position on any issue. [redacted] advised he believes that the candidate is fair and not prejudiced when he has the difficult task of reviewing death sentences for Missouri inmates on death row.

[redacted] advised he is unaware of the candidate being involved in any personal litigation, or ever being fired from any job. [redacted] considers the candidate to be in good health. [redacted] is unaware of the candidate ever being turned down for employment or a promotion. [redacted] believes the candidate to have a good work ethic.

[redacted] advised he considered the candidate to be more than competent in the legal profession. At law school, the candidate was bestowed the honor of "Order of the COIF" which is given to only the top 10% of any law class at the University of

Missouri School of Law. While [redacted] did not have any cases before any court where the candidate opposed him, [redacted] did see the candidate in court at Law Day on occasion. The candidate had a good courtroom demeanor, and had a reputation for fairness in the courtroom. To [redacted] knowledge, the candidate handled general legal practice cases such as divorces, handling of estates, and simple suits. [redacted] was unable to comment on the candidate's trial experience. In the courtroom, in [redacted] limited exposure, the candidate seemed to have a good temperament. He was fair, but firm.

[redacted] was aware that the candidate and [redacted] were involved in many community projects directed to aid and assist minorities and such groups as handicapped children, and education. Many of these projects centered around the Governor's Mansion.

[redacted] advised he would recommend the candidate without reservation for a position of trust and confidence with the U.S. Government.

The following investigation was conducted by Special Agent (SA) [redacted] on July 16, 1999 at Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] Social Security Account Number [redacted] telephone number [redacted] advised he has been the [redacted] for the State of Missouri since [redacted] Prior to that, she has held various positions within the [redacted]

[redacted] first met the candidate while working at the [redacted] has limited social contact with the candidate, however, [redacted] attends functions at the candidate's Mansion approximately five to six times a year.

[redacted] described the candidate's character as "the epitome of honesty and goodness." [redacted] went on to state the candidate was very steady, had high integrity and was a caring person. [redacted] knew of no unfavorable associates of the candidate and described his reputation as "impeccable honesty and integrity without question." [redacted] further stated the candidate was absolutely loyal to the United States.

[redacted] knew of no biases or prejudices held by the candidate and described the candidate's abilities as a caring person who is in touch with his employees. [redacted] continued by stating the candidate was always looking out for the best interest of his subordinates. [redacted] had no direct knowledge of the candidate's financial position but described the candidate's lifestyle as comfortable. [redacted] did not know of any financial problems.

[redacted] stated the candidate "does not drink." To the best of her knowledge, [redacted] stated she was not aware of the candidate using, possessing, purchasing, selling, manufacturing or distributing illegal drugs or abusing prescription drugs.

[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness or responsibility.

[redacted] advised she would recommend the candidate for a position of trust and confidence with the United States Government. b6 b7C

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The following investigation was conducted by Special Agent (SA) [redacted] on July 15, 1999 in Jefferson City, Missouri:

ASSOCIATE:

[redacted] date of birth [redacted] Social Security Account Number [redacted] advised he is the [redacted] for the State of Missouri and has held that title since [redacted] Prior to this, [redacted] worked four years in the Department of Education. Prior to [redacted] employment with the Department of Education, he worked as [redacted] for [redacted] years.

[redacted] first met the candidate in 1977 during school board functions in [redacted] very rarely socialized with the candidate and has only visited the candidate's home one time during their association.

[redacted] described the candidate's character as "outstanding, stands for what he believes in and stays with his decision." [redacted] had no knowledge of unfavorable associates of the candidate and described the candidate's reputation professionally as pro-education, serves the people well, is a hard worker, aggressive and ambitious.

[redacted] expressed no problem with the loyalty of the candidate and described the abilities of the candidate as analytical, a good thinker, solicits input from others and is able to synthesize that information. The candidate provides leadership as well.

[redacted] knew of no known prejudices or biases of the candidate. [redacted] had no direct knowledge of the candidate's financial position but perceived the candidate to responsibly handle finances. [redacted] had no insight as the use of alcohol by the candidate and has never seen the candidate engage in alcoholic beverages.

To the best of his knowledge, [redacted] was not aware of the candidate using, possessing, purchasing, manufacturing, selling, or distributing illegal drugs or abusing prescription medications.

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[redacted] was not aware of anything in the candidate's background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgement, stability, trustworthiness or responsibility. [redacted] advised he would recommend the candidate with a position of trust and confidence with the United States Government.

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The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri:

VERIFICATION OF BAR MEMBERSHIP:

On July 9, 1999, [redacted] Supreme Court of Missouri, Attorney Enrollment Office, advised that Melvin Eugene Carnahan, Attorney Enrollment Number 17118, was licensed to practice law in the State of Missouri on September 5, 1959. Mr. Carnahan is in good standing, his current enrollment fee is paid, and there is no record of disciplinary action against him by the Supreme Court of Missouri. The most current address shown for Mr. Carnahan is PO Box 698, Rolla, Missouri 65401-0698.

The Supreme Court of Missouri, Attorney Enrollment Office, maintains the official and permanent roll of attorneys for the State of Missouri, collects annual enrollment fees and distributes cards to all attorneys licensed to practice in Missouri. Disciplinary actions against attorneys are initiated by the Missouri Bar Administration. Their findings are filed with the Clerk's Office of the Supreme Court of Missouri which decides the action to be taken against the attorney. Missouri has an integrated bar and all persons licensed to practice in Missouri and whose fees are paid are members of the Missouri Bar Association.

On same date, [redacted] Missouri Bar Administration, Disciplinary Counsel, was requested to provide for review the public and private files of that office regarding Melvin Eugene Carnahan, date of birth February 11, 1934, Social Security Account Number (SSAN) 579-46-1264. [redacted] provided those files which reflected the following complaints/allegations:

On May 5, 1978, [redacted] alleged that Mr. Carnahan withdrew as her attorney at a critical time in her case without sufficient notice. Mr. Carnahan claimed that his attorney's fee for services was not paid as agreed and that [redacted] had been notified that if payment was not received prior to court, he would not appear on her behalf. [redacted] also requested the return of any and all documents still in Mr. Carnahan's possession. After reviewing the case, on June 28, 1978, the

Missouri Disciplinary Counsel determined no justification existed for disciplinary proceedings against Mr. Carnahan and no violation of legal ethics had occurred.

On May 17, 1978, [redacted] filed a fee dispute against Mr. Carnahan alleging his fee included matters that ultimately were not filed in court. On May 26, 1978, the Disciplinary Counsel stated that fee disputes were not considered a violation of legal ethics and therefore a legal question to be determined by the court system, ie. Magistrate Court of Phelps County, Missouri, under the Small Claims Act.

On September 28, 1988, [redacted] alleged Mr. Carnahan originally agreed to take his property right-of-way case to court, but then changed his mind after some additional research. Mr. Carnahan suggested the matter should be negotiated between the parties involved. [redacted] felt Mr. Carnahan should have followed through on his original agreement. The Disciplinary Counsel stated there was no basis for disciplinary proceedings.

On September 23, 1996, a complaint was filed by [redacted] alleged Governor Carnahan violated the Rules of Professional Responsibility as they related to a lawyer's duty to maintain the integrity of the legal profession. The background for the allegation was a political fundraiser attended by Governor Carnahan and several of his supporters who were attorneys actively engaged in practicing Workers' Compensation law before [redacted] in the St. Louis office. Allegedly the Governor stated [redacted] was a bad judge and has to go" or words to that effect. On November 11, 1996, [redacted] stated there were no grounds for initiation of an investigation as attorneys are not prohibited from expressing an opinion as to whether a judge or any other public official has done a good or bad job in office or whether they should be removed or retained in office.

No other complaints were on file with the Missouri Bar Administration.

The Missouri Bar Administration was established by the Missouri Supreme Court to investigate allegations of professional misconduct, incompetency, and incapacity of lawyers.

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The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri:

ELECTIONS DIVISION RECORDS:

On July 9, 1999, [redacted] Missouri Secretary of State, Elections Division, was requested to review their records for any complaints or grievances filed against Melvin Eugene Carnahan, date of birth February 11, 1934, Social Security Account Number 579-46-1264. [redacted] advised she was unable to locate any derogatory information against Governor Carnahan in either his personal or official capacity.

On July 16, 1999, [redacted] and [redacted] were also requested to search their records and both advised no personal or official complaints/grievances had been filed with their offices against Governor Carnahan.

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The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri:

ATTORNEY GENERAL:

On July 20, 1999, [redacted] was requested to review their records for any complaints or grievances filed against Melvin Eugene Carnahan, date of birth February 11, 1934, Social Security Account Number 579-46-1264. [redacted] advised he found no record of complaints or grievances concerning Governor Carnahan filed with his office.

The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri:

MISSOURI ETHICS COMMISSION:

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On July 21, 1999, [redacted] was requested to review their records for any complaints or grievances filed against Melvin Eugene Carnahan, date of birth February 11, 1934, Social Security Account Number 579-46-1264. [redacted] advised his records were negative for Governor Carnahan in either a personal or official capacity.

The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri: b6 b7C

DRIVER'S LICENSE VERIFICATION:

On July 7, 1999, a review of driver's license records at the Missouri Department of Revenue, Driver's License Bureau, reflected a current license for Melvin E. Carnahan, date of birth February 11, 1934, Social Security Account Number/Driver's License Number (SSAN/DLN) 579-46-1264, residing at 13750 County Road 4030, Rolla, Missouri 65401. This license expires on November 26, 2001, and no citations were reflected.

The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri:

CIRCUIT COURT:

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On July 9, 1999, [redacted] was requested to review their records for any complaints/grievances associated with Melvin Eugene Carnahan, date of birth February 11, 1934, Social Security Account Number 579-46-1264. [redacted] advised that her search reflected only cases in which Mr. Carnahan was identified in his official capacity as Governor of Missouri, noting that no complaints had been filed against him personally. She provided copies of the judges' docket sheets for the Circuit Court for all cases in which Governor Carnahan was named. As no personal complaints were filed, copies of those docket sheets in which Mr. Carnahan is named in his official capacity, are enclosed.

The following investigation was conducted by Investigative Analyst [redacted]

UNITED STATES ATTORNEY'S OFFICE:

Western District of Missouri
400 E. 9th
Kansas City, Missouri

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On July 8, 1999, [redacted] Docketing, advised a search of records failed to disclose any record of civil or criminal prosecutive action filed in the name of Melvin Eugene Carnahan, also known as (aka) Mel Carnahan.

The following investigation was conducted by Investigative Analyst [redacted]: b6 b7C

UNITED STATES DISTRICT COURT:

Western District of Missouri
Clerk of the Court
400 East 9th Street
Kansas City, Missouri

On July 29, 1999, a search of the computer and microfiche records regarding Melvin Carnahan disclosed the following files:

99CV04138 Kind versus Carnahan, Et Al
99CV04034 Spencer versus Carnahan, Et Al
99CV04001 Lewis versus Carnahan, Et Al
98CV06058 Mace versus Department of Corrections, Et Al
98CV00656 Eberspacher versus Kemna, Et Al
98CV04281 Lewis versus Carnahan, Et Al
98CV04107 Powell versus Board of Corrections, Et Al
98CV04061 Powell versus Webster, Et Al
98CV04054 Crawford versus Carnahan, Et Al
98CV04047 Rosendahl versus Carnahan, Et Al
98CV00878 Gallimore versus Dormire, Et Al
97CV04355 Burnfin versus Carnahan, Et Al
97CV04348 Waldrep versus Mittelhause, Et Al
97CV04321 Powell versus Dormire, Et Al
97CV04264 McBride versus Carnahan, Et Al
97CV04228 Bradin versus Carnahan, Et Al
96CV06077 Steward versus Bond, Et Al
96CV05093 Johnson versus Clinton, Et Al
96CV05085 Salazar versus Sifferman, Et Al
96CV04434 Powell versus Dormier, Et Al
96CV04086 State of Missouri, Et Al versus Craig
95CV06067 Harris versus Kemna, Et Al
95CV06031 Crawford versus Kemna, Et Al
95CV04474 Missouri Commerce Department versus Carnahan, Et Al
95CV04385 Goodwin versus Department of Corrections, Et Al
95CV04324 Mack versus Carnahan, Et Al
95CV04212 Dulany versus Carnahan, Et Al
95CV04205 Weeks versus Callahan, Et Al
95CV04149 Annesley versus Carnahan, Et Al
95CV04012 Weatherwax versus Balboa, Et Al
95CV03230 Corum versus McGuire, Et Al
95CV00637 Bronfman versus Kansas City, Et Al
94CV06213 Duenscheidt versus Kemna, Et Al

94CV06213 Duenscheidt versus Kemna, Et Al
94CV06207 Carrico versus Kemna, Et Al
94CV06191 Newman versus Carnahan, Et Al
94CV06133 Barber versus State of Missouri, Et Al
94CV06101 Bohn versus State of Missouri, Et Al
94CV04532 Crawford versus Schriro, Et Al
94CV04445 Glover versus Carnahan, Et Al
94CV04429 Heston versus Carnahan, Et Al
94CV04425 Crawford versus Carnahan, Et Al
94CV04401 Sales versus Carnahan, Et Al
94CV04108 Parton versus Schriro, Et Al
94CV03511 Pankey versus Groose, Et Al
94CV03482 Dollas versus Carnahan, Et Al
94CV03397 Pankey versus Missouri Supreme Court, Et Al
94CV00980 Gilreath versus Carnahan, Et Al
94CV00049 Hardin versus United States of America, Et Al

93CV04465 Sales versus Carnahan, Et Al
93CV04461 Jones versus Schulze, Et Al
93CV04393 McEntire versus Carnahan, Et Al
93CV01149 Parks versus Kemna, Et Al
93CV00826 Dixon versus Groose, Et Al
93CV00616 Field versus Kemna, Et Al
92CV04113 Parker versus Groose, Et Al

96CV06150 Statham versus Schriro, Et Al
96CV06149 Makenson versus Schriro, Et Al
95CV06132 Mitchell versus Kemna, Et Al
95CV06055 Key versus Kemna, Et Al
95CV06035 Williams versus Kemna, Et Al
95CV06027 Rogers versus Kemna, Et Al
95CV06017 Jackson versus Kemna, Et Al
95CV06006 Knoll versus Kemna, Et Al
94CV06202 Hickman versus State of Missouri, Et Al
93CV06082 Bradin versus Kemna, Et Al

97CV04073 Lutrell versus Schriro, Et Al
93CV06114 Wright versus Carnahan, Et Al

96CV04436 Hood versus Carnahan, Et Al
96CV04370 Lowery versus Carnahan, Et Al
95CV06025 Martin versus Kemna, Et Al
95CV06014 Dailey versus Carnahan, Et Al
95CV06012 Jones versus Kemna, Et Al
95CV00416 Boschert versus Carnahan, Et Al
94CV06211 Elliott versus Kemna, Et Al
94CV06097 Hickman versus State of Missouri, Et Al
94CV01172 Beals versus Carnahan, Et Al

96CV06137 Buchanan versus Carnahan, Et Al
95CV06076 Mitchell versus Kemna, Et Al

All of the above listed cases were reviewed and determined to be related to appointee's position as Lieutenant Governor and Governor of the State of Missouri.

It should be noted that all records from 1992 are on the computer for review. Files prior to 1992 have been placed on microfiche.

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The following investigation was conducted by Investigative Analyst (IA) [redacted] at Jefferson City, Missouri:

ARREST CHECK:

On July 8, 1999, [redacted] Jefferson City, Missouri Police Department, was requested to review their Department's records for any information concerning Melvin Eugene Carnahan, date of birth February 11, 1934, Social Security Account Number (SSAN) 579-46-1264. [redacted] advised her records were negative for Mr. Carnahan.

OFFICES OF THE
STATE AUDITOR OF MISSOURI
JEFFERSON CITY

OFFICE OF GOVERNOR

TWO YEARS ENDED JUNE 30, 1994

MARGARET KELLY, CPA

Report No. 95-49
May 30, 1995

OFFICE OF GOVERNOR

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STATE AUDITOR OF MISSOURI
JEFFERSON CITY, MISSOURI 65102

MARGARET KELLY, CPA
STATE AUDITOR

(314) 751-4824

INDEPENDENT AUDITOR'S REPORT

Honorable Mel Carnahan, Governor
Jefferson City, Missouri 65102

We have audited the special-purpose financial statement, identified in the table of contents, of the General Revenue Fund-State of the Office of Governor for the years ended June 30, 1994 and 1993. This financial statement is the responsibility of the office's management. Our responsibility is to express an opinion on this financial statement based on our audit.

We conducted our audit in accordance with generally accepted auditing standards and Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statement is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statement. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

The accompanying special-purpose financial statement was prepared for the purpose of presenting the appropriations and expenditures of the General Revenue Fund-State of the Office of Governor and is not intended to be a complete presentation of the financial position and results of operations of the General Revenue Fund-State of the office.

In our opinion, the special-purpose financial statement referred to in the first paragraph presents fairly, in all material respects, the appropriations and expenditures of the General Revenue Fund-State of the Office of Governor for the years ended June 30, 1994 and 1993, in conformity with the comprehensive basis of accounting discussed in Note 1, which is a basis of accounting other than generally accepted accounting principles.

The accompanying financial information listed as supplementary data in the table of contents is presented for purposes of additional analysis. Such information has been subjected to the auditing procedures applied in the audit of the financial statement referred to in the first paragraph and, in our opinion, is fairly presented in all material respects in relation to the financial statement taken as a whole.

The accompanying History and Organization is presented for informational purposes. This background information was obtained from the office's management and was not subjected to the auditing procedures applied in the audit of the financial statement.

Our comments on internal controls, compliance with legal requirements, management practices, and related areas are presented in the accompanying Management Advisory Report.

Margaret Kelly

Margaret Kelly, CPA
State Auditor

February 1, 1995

FINANCIAL STATEMENT

Exhibit

OFFICE OF GOVERNOR
COMPARATIVE STATEMENT OF APPROPRIATIONS AND EXPENDITURES

	Year Ended June 30,					
	1994			1993		
	Appropriations	Expenditures	Lapsed Balances	Appropriations	Expenditures	Lapsed Balances
GENERAL REVENUE FUND - STATE						
Salary for the Governor	$ 91,615	91,615	-0-	90,312	89,376	936
Personal service and/or expense and equipment for the:						
Mansion	163,697	163,669	28	166,204	161,215	4,989
Governor's office	1,372,688	1,372,589	99	1,389,494	1,347,700	41,794
Support of the activities of the Advisory Commission on Intergovernmental Relations (Note 2)	7,000	7,000	-0-	7,000	7,000	-0-
Participation by the state of Missouri in the National Governors' Association (Note 2)	120,999	111,870	9,129	116,345	111,870	4,475
Personal service and operations expenditures for the Governor's Mansion Preservation Advisory Commission	3,000	-0-	3,000	3,000	-0-	3,000
Allocation to state agencies which qualify for emergency or supplemental funds under the provisions of Section 33.720 RSMo	1 *	-0-	1	1 *	-0-	1
Expenses incident to emergency duties performed by the National Guard when ordered out by the Governor	6,150,001	5,859,306	290,695	1 *	-0-	1
Total General Revenue Fund - State	$ 7,909,001	7,606,049	302,952	1,772,357	1,717,161	55,196

* Estimated appropriation. Amount will be increased as expenditures warrant.

The accompanying Notes to the Financial Statement are an integral part of this statement.

SUPPLEMENTARY DATA

Schedule 1

OFFICE OF GOVERNOR
COMPARATIVE STATEMENT OF EXPENDITURES (FROM APPROPRIATIONS)

	Year Ended June 30,				
	1994	1993	1992	1991	1990
Personal service	$ 1,240,750	1,173,513	1,111,692	1,176,783	1,145,777
Travel and vehicle:					
Expense	97,706	63,927	28,691	38,840	88,320
Equipment purchase	-0-	-0-	-0-	5,800	-0-
Office and communication:					
Expense	142,543	158,185	132,928	144,374	151,643
Equipment purchase	10,252	19,600	4,271	3,913	6,415
Institution and physical plant:					
Expense	36,133	45,264	44,505	42,051	40,529
Equipment purchase	479	732	4,320	60	18,286
Data processing expense and equipment	61,879	103,756	87,650	84,849	81,945
Professional services	13,009	19,478	910	313	2,149
Other expense	143,992	132,706	129,264	127,553	116,016
National Guard emergency duties	5,859,306	-0-	-0-	6,590	96,439
Total Expenditures	$ 7,606,049	1,717,161	1,544,231	1,631,126	1,747,519

The accompanying Note to the Supplementary Data is an integral part of this statement.

Schedule 2

OFFICE OF GOVERNOR
STATEMENT OF CHANGES IN GENERAL FIXED ASSETS

Description	Balance June 30, 1992 as restated (Note 3)	Additions	Dispositions	Balance June 30, 1993	Additions	Dispositions	Balance June 30, 1994
Office:							
Equipment	$ 245,300	58,839	(5,817)	298,322	29,947	(8,746)	319,523
Furniture	72,683	11,628	-0-	84,311	2,236	-0-	86,547
Motor vehicle	1,700	-0-	-0-	1,700	3,200	(1,700)	3,200
Mansion:							
Equipment	20,876	-0-	(2,000)	18,876	7,538	(1,730)	24,684
Furniture	107,746	-0-	-0-	107,746	1,450	-0-	109,196
Motor vehicle	5,800	-0-	-0-	5,800	-0-	-0-	5,800
Total General Fixed Assets	$ 454,105	70,467	(7,817)	516,755	44,371	(12,176)	548,950

The accompanying Note to the Supplementary Data is an integral part of this statement.

NOTES TO THE FINANCIAL STATEMENT AND SUPPLEMENTARY DATA

OFFICE OF GOVERNOR
NOTES TO THE FINANCIAL STATEMENT AND SUPPLEMENTARY DATA

Notes to the Financial Statement:

1. Significant Accounting Policies

A. Basis of Presentation

This financial statement presents only selected data for the General Revenue Fund-State of the Office of Governor.

The financial statement excludes the appropriation made to the Office of Governor for fiscal year 1993 from the General Revenue Fund-State for the payment of principal, interest, and annual fee requirements of the Missouri Health and Educational Facilities Authority for Missouri College Savings Bonds. For fiscal year 1994, this appropriation was made to the Office of Administration. During the audit period, this appropriation was administered by, and therefore reported in, the financial statements of the Office of Administration.

Appropriations, presented in the Exhibit, are not separate accounting entities. They do not record the assets, liabilities, and equities of the related fund but are used only to account for and control the office's expenditures from amounts appropriated by the General Assembly for the fiscal year.

Expenditures presented for each appropriation may not reflect the total cost of the related activity. Other direct and indirect costs provided by the office and other state agencies are not allocated to the applicable fund or program.

B. Basis of Accounting

The Statement of Appropriations and Expenditures is presented on the state's legal budgetary basis of accounting which recognizes expenditures on the encumbrance method. Expenditures include amounts payable or encumbered at June 30 and paid during the lapse period, which ends August 31 for regular appropriations and December 31 for capital improvements. The authority to expend appropriations ends with the close of the lapse period.

C. Fiscal Authority and Responsibility

The office administers transactions in the General Revenue Fund-State. The state treasurer as fund custodian and the Office of Administration provide administrative control over fund resources within the authority prescribed by the General Assembly.

The office receives appropriations from this fund and does not maintain a proprietary interest in the fund. Appropriations from the fund are used for the basic operation of the office, including those programs and services that have no other funding source. These appropriations also may be used to initially fund or to provide matching funds or support for programs paid wholly or partially from other sources.

D. Employee Fringe Benefits

General Provisions

Employees are covered by the Missouri State Employees' Retirement System (MOSERS) (a noncontributory plan) and the social security system and may participate in the state's medical benefit and life insurance, deferred compensation, and cafeteria plans. Retirement system contributions, the state's portion of social security taxes, and the state's contribution to the medical benefit and life insurance plan are paid from the same fund as the related payrolls. The deferred compensation and cafeteria plans involve employee payroll deferrals or reductions only.

Transfers related to salaries are not appropriated by agency and thus, are not presented in the financial statement at the Exhibit.

The Governmental Accounting Standards Board's Codification of Governmental Accounting and Financial Reporting Standards requires certain disclosures regarding governmental retirement plans, deferred compensation plans, and benefits other than pension benefits provided to terminated employees. Required disclosures for the state reporting entity are included in the Comprehensive Annual Financial Report issued for the state of Missouri. Information applicable to or available at the agency level is provided below for the Office of Governor.

Retirement System

MOSERS is a single-employer, public employee retirement system established and administered under state statutes. Substantially all full-time state employees who are not covered under another state-sponsored system are eligible for membership in MOSERS.

For the years ended June 30, 1994 and 1993, the office's payrolls for employees covered by MOSERS were approximately $1,227,000 and $1,163,000, respectively; the payrolls for all employees were $1,240,000 and $1,173,000, respectively.

Effective August 28, 1992, retirement benefits for most state employees are fully vested after five years of creditable service. Prior to that date, benefits were 50-percent vested after five years of service and fully vested after ten years of service. Employees who retire at or after age fifty-five with thirty or more years of service are entitled to an allowance, payable monthly for life, based on the benefit program then in effect. The

allowance is equal to a percentage of the employee's final average salary multiplied by the number of years of creditable service. Final average salary is the average of the employee's highest thirty-six consecutive months of salary. Employees with ten or more years of service may retire at or after age fifty-five and receive a reduced allowance. MOSERS also provides death and disability benefits.

The state is required to make all contributions to MOSERS. Contributions are set by statute as a percentage of covered payroll. For the years ended June 30, 1994 and 1993, contributions for the office's employees were approximately $123,000 and $119,000, respectively.

Other Postemployment Benefits

In addition to retirement benefits, the state provides postretirement medical and life insurance benefits, in accordance with the statutes, to the majority of employees who retire from state employment. These benefits are also administered by MOSERS. Medical benefits are funded through both state and retiree contributions. State contributions are statutorily determined as a fixed dollar amount per month per eligible retiree. Retiree contribution rates are established based on projected claims experience and funding provided by state contributions. Life insurance benefits are funded through retiree contributions.

Deferred Compensation and Cafeteria Plans

Created in accordance with Internal Revenue Code Section 457, the deferred compensation plan permits employees to defer a portion of their current salary until future years. The deferred compensation is not available to employees until termination, retirement, death, or unforeseeable emergency.

The cafeteria plan is a flexible compensation program created in accordance with Internal Revenue Code Section 125. This plan permits employees to reduce their salaries in exchange for nontaxable benefits, creating tax savings that are used to pay or reimburse certain expenses elected by the employees. The options available to employees are medical expense reimbursement, dependent care reimbursement, and premium payment for state medical and life insurance.

2. Program Specific

The Office of Governor is appropriated funds to pay Missouri's share in various national and regional programs. The office makes a lump-sum payment annually to the National Governors' Association and the Advisory Commission on Intergovernmental Relations. These payments are for Missouri's share of the expense of program operations.

Note to the Supplementary Data:

3. General Fixed Assets

General fixed assets, which are recorded as expenditures when acquired, are capitalized at cost in the General Fixed Assets Account Group and are not depreciated.

The Office of Governor no longer records fixed assets with a value of less than $250. The June 30, 1992 fixed assets balance was adjusted to remove assets with a value of less than $250 from the inventory balance. In addition, the fixed asset balance was adjusted to correct errors made in recording values of certain fixed assets. The adjustments were as follows:

Office	Equipment	Furniture	Vehicle	Total
Balance, June 30, 1992, before restatement	245,271	80,268	1,700	327,239
Assets costing less than $250	(9,864)	(8,385)	-0-	(18,249)
Error correction	9,893	800	-0-	10,693
Balance, June 30, 1992, after restatement	245,300	72,683	1,700	319,683

Mansion	Equipment	Furniture	Vehicle	Total
Balance, June 30, 1992, before restatement	30,458	116,314	5,800	152,572
Assets costing less than $250	(1,103)	(9,549)	-0-	(10,652)
Error correction	(8,479)	981	-0-	(7,498)
Balance, June 30, 1992, after restatement	20,876	107,746	5,800	134,422

HISTORY AND ORGANIZATION

OFFICE OF GOVERNOR
HISTORY AND ORGANIZATION

The supreme executive power of the state is vested in the governor. Unless otherwise provided by law, he appoints members of all boards, commissions, and state government department heads as well as those of several other entities in the state and all vacancies in public offices. He commissions all officers of the state unless otherwise provided by law. Through his capacity as commander-in-chief of the militia, he is the conservator of peace throughout the state.

Providing the state's principal financial administration, the governor presents to the General Assembly a proposed budget for each appropriation period, passes approval on appropriation bills presented to him by the General Assembly, and subsequent to the passage of such bills, may control the rate at which appropriations are expended. He may reduce the appropriations when actual revenues are below the estimated revenues upon which the appropriations were based.

All bills passed by both houses are presented to and considered by the governor where they are signed into law or disapproved and returned to the originating house.

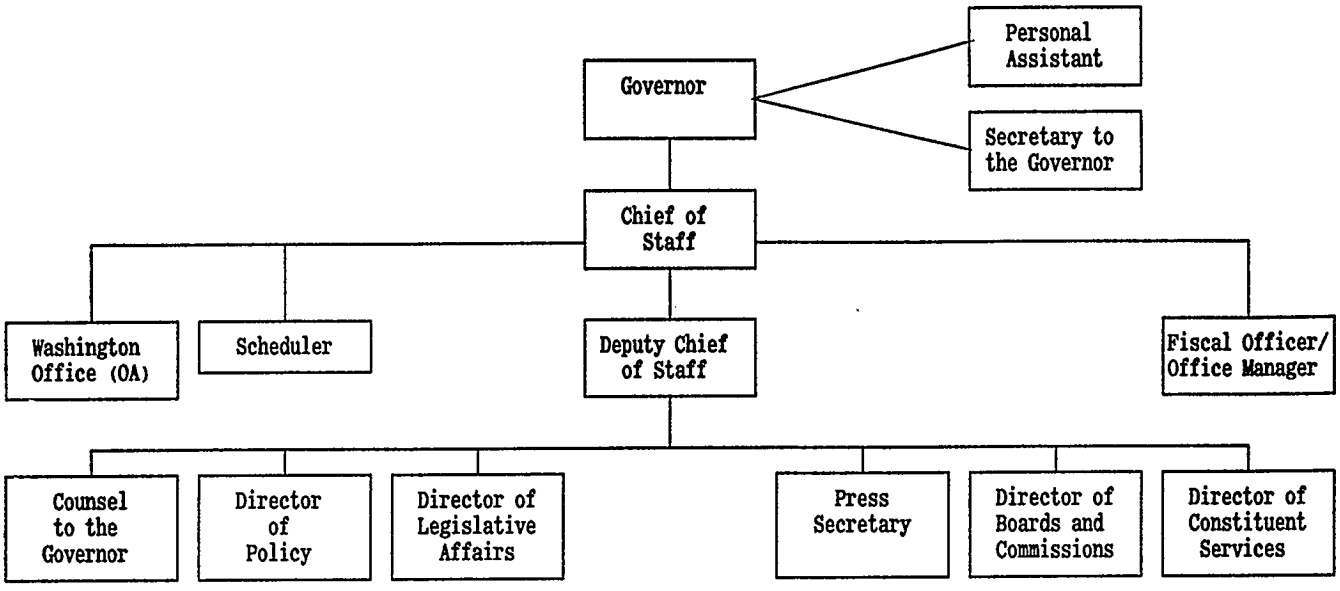
In addition to the duties which are specifically assigned to the governor in the constitution, he has many other duties assigned to him by statute and by custom. The governor is also a member of the Board of Public Buildings and the State Board of Fund Commissioners.

The governor is required to be at least thirty years of age and must have been a citizen of the United States for at least fifteen years and a resident of this state at least ten years prior to election. The governor is elected at the presidential election for a four-year term and is subject to re-election. No person may hold the officer for more than two terms.

On January 11, 1993, Mel Carnahan was inaugurated as the state's forty-ninth Governor. His term will expire in January 1997.

At June 30, 1994, the office employed forty-seven personnel. An organization chart for the office follows.

OFFICE OF GOVERNOR
ORGANIZATION CHART
JUNE 30, 1994



MANAGEMENT ADVISORY REPORT

OFFICE OF GOVERNOR
SUMMARY OF FINDINGS

1. Circumvention of the Appropriation Process (pages 19-22)

 The Office of Governor uses appropriations budgeted to other state agencies to pay personnel and equipment costs. Expenses incurred in one fiscal year were held and paid from the subsequent year's appropriation.

2. Expenditures and Purchasing Procedures (pages 23-24)

 Written agreements were not obtained for professional services. Some employees are not using the phone credit cards for the long distance carrier which was awarded the state contract. Procurement and bidding requirements were not always followed.

3. Fixed Assets (pages 24-25)

 Fixed asset records are not complete or accurate. An annual schedule of additions and deletions of fixed assets is not prepared.

4. Personnel Policy (page 26)

 Employees are not required to work a 40 hour week.

OFFICE OF GOVERNOR
MANAGEMENT ADVISORY REPORT

We have audited the financial statement of the Office of Governor for the years ended June 30, 1994 and 1993, and have issued our report thereon dated February 1, 1995.

We conducted our audit in accordance with generally accepted auditing standards and Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statement is free of material misstatement.

In planning and performing our audit for the years ended June 30, 1994 and 1993, we considered the office's internal control structure in order to determine our auditing procedures for the purpose of expressing our opinion on the office's financial statement and not to provide assurance on the internal control structure.

The management of the Office of Governor is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of financial statements in accordance with generally accepted accounting principles.

Because of inherent limitations in any internal control structure, errors or irregularities may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

For the purpose of this report, we have classified the significant internal control structure policies and procedures as expenditures, payroll, and general fixed assets.

For all of the internal control structure categories listed above, we obtained an understanding of the design of relevant policies and procedures and determined whether they have been placed in operation, and we assessed control risk.

We noted certain matters involving the internal control structure and its operation that we consider to be reportable conditions under standards established by the American Institute of Certified Public Accountants. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control structure that, in our judgment, could adversely affect the entity's ability to record, process, summarize, and report financial data consistent with the assertions of management in the financial statements.

A material weakness is a reportable condition in which the design or operation of one or more of the internal control structure elements does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions.

Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses as defined above. However, we noted certain matters involving the internal control structure and its operation that we consider to be material weaknesses. These matters are presented in our report.

Compliance with laws, regulations, contracts, and grants applicable to the Office of Governor is also the responsibility of the office's management. As part of obtaining reasonable assurance about whether the financial statement is free of material misstatement, we performed tests of the office's compliance with certain provisions of laws, regulations, contracts, and grants. However, the objective of our audit of the financial statement was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion.

The results of our tests of compliance indicate that, with respect to the items tested, the Office of Governor complied, in all material respects, with the provisions referred to in the preceding paragraph. With respect to items not tested, nothing came to our attention that caused us to believe that the office had not complied, in all material respects, with those provisions. However, the results of our tests disclosed certain instances of noncompliance with those provisions, and these findings are presented in our report.

During our audit, we also identified certain management practices which we believe could be improved. Our audit was not designed or intended to be a detailed study of every system, procedure, and transaction. Accordingly, the findings presented in our report should not be considered as all-inclusive of areas where improvements may be needed.

The period of audit for the purposes stated above included, but was not limited to, the period covered by the financial statement.

An integral part of the Office of Governor's funding for the National Guard's emergency duties comes from federal financial assistance. The report on these federal funds is presented in the State of Missouri Single Audit Report issued by the State Auditor's office. The single audit is conducted in accordance with the provisions of Office of Management and Budget Circular A-128, "Audits of State and Local Governments."

1. Circumvention of the Appropriation Process

The Office of Governor receives appropriations from the General Revenue Fund-State for the operating costs of the Governor's office and mansion. Those

appropriations totaled approximately $1.5 million for the year ended June 30, 1994.

A. While it appears the appropriations are intended to cover the basic operating costs of the office, we noted several employees are budgeted to and paid from appropriations of other state agencies. These employees are physically located in and supervised by the Governor's office, and perform duties attendant to programs and functions of the Office of Governor; however the salaries of the employees are paid from appropriations of other state agencies.

1. Three employees were paid from appropriations of the Office of Administration (OA). These employees prepared monthly time sheets for OA. Salaries paid to these employees during the audit period totaled approximately $97,000.

 One employee, who is an attorney for the Governor's office, indicated he spends about 70 percent of his time on tasks related to governmental oversight of the Departments of Natural Resources and Corrections and the rest on miscellaneous issues of interest to the Office of Governor. This employee's expense accounts are also paid by OA.

 Another employee is the Executive Secretary to the Chief Counsel for the Governor's office. Her responsibilities include clerical duties, scheduling for the Chief Counsel, and tracking of legislative bills for the attorneys. The third employee is a secretary whose duties include opening and delivering the office mail.

2. The Assistant Director of Policy Development for the Governor's office was paid half by the Office of Governor and half by the Department of Social Services (DSS). This employee prepared monthly time sheets for the Office of Governor, along with summaries indicating she worked on areas such as policy development, constituent services, Medicaid issues, welfare reform, and legislative issues. The salary for this employee paid by DSS during the audit period totaled approximately $28,000.

3. The Executive Secretary to the Legal Counsel was paid half by the Department of Natural Resources (DNR) and half by the Department of Corrections (DOC). This employee did not prepare a time sheet, but at our request, prepared a list of her typical job duties. She indicated she coordinated meetings, made and received telephone calls, and typed correspondence regarding issues such as clean air, dioxin issues, state parks, prison sites, crime bills, and death penalty cases. The salary for this employee paid by the DNR and DOC during the audit period totaled approximately $10,000.

While some of the activities performed by some of these employees were related to the departments which paid their salaries, it appears their

primary job responsibilities were functions associated with the Office of Governor. If these employees are performing work for the Office of the Governor, their salaries and expenses should be paid from Governor's office appropriations.

B. Between January and August 1993, the OA purchased various computer equipment which was delivered to the Governor's office and mansion. This computer equipment cost approximately $53,500. Although this equipment is identified as property of the OA, the equipment has been used exclusively by the Office of Governor, for what appeared to be routine daily activities. Governor's office personnel indicated the OA plans to reclaim the property when the Office of Governor no longer needs it.

C. In March 1994, the Commissioner of the OA informed the Office of Governor that inmate labor costs associated with the Mansion and previously paid from the Mansion appropriation could be assigned to an OA-Facilities Management appropriation. Accordingly, costs previously paid by the Office of Governor and totaling $8,215 were backed out of the Mansion appropriation and charged to the OA. The Commissioner indicated the Mansion budget was "insufficient to fund the current level of activities", and this transfer of responsibility would obviate the need of approaching the legislature for an increase in the Governor's appropriation.

D. Since the state fiscal year ends June 30, we reviewed invoices paid by the Office of Governor in July to determine if July expenditures were actually expenses of the new fiscal year, or if such payments represented unpaid obligations of the previous fiscal year. We noted that in fiscal year 1994, computer consulting services costing $9,975 were held and paid from the subsequent year's appropriation because current year appropriations were insufficient to pay the expenses.

The operations of the Office of Governor have been supplemented by appropriations of other state agencies, thus circumventing the appropriation process as established by the General Assembly. The practice of overspending appropriations and using funds designated for the subsequent year to cover the overage circumvents the appropriation process. These practices make it difficult to establish accountability for the costs of operating the Office of Governor.

WE RECOMMEND the Office of Governor:

A-C. Discontinue the practice of using other state agencies' appropriations to pay operating costs of the office and mansion. The Office of Governor should request funding levels sufficient to pay all operating costs of the office from its own appropriations.

D. Keep expenditures within the limits of current year appropriations.

AUDITEE'S RESPONSE

A. *The practice of utilizing departmental resources to address issues relevant to these departments has been used by at least the three prior administrations. For example during the period of January 1981 through June 1982, Governor Bond used $188,500 from state department appropriations for governor's office staff. This is an efficient and effective way to concentrate resources on high priority issues and results in no additional cost to the state. The option of transferring appropriations as priorities change is simply not practical as the areas of greatest need cannot be anticipated up to 18 months in advance.*

The Commission on Management and Productivity initiative, the Medicaid waiver request, the information networking initiative, the Clean Air Act implementation, prison site selections and prison population management are among the high priority issues that have placed an increasingly higher demand on the time of the Governor's staff, and this cost has been shared with those departments impacted by these initiatives.

B. *The Office of Administration has historically assigned office equipment to the Governor's Office to support our efforts to maximize the productivity of our personnel. This equipment has been properly inventoried by the Office of Administration and will be returned when it is no longer used by this office.*

C. *The First Family has opened the Governor's Mansion to the public on far more occasions than previous governors. Resources from the Division of Facilities Management of the Office of Administration have been redirected to pay for inmate labor, which is consistent with the division's mission to pay operating costs of other buildings in the capitol complex. This budget redirection has allowed the mansion budget to absorb the cost of allowing greater access to the mansion for the citizens of Missouri.*

D. *We concur. The fiscal year 1994 invoice in question presented an unusual problem because it was contracted on an hourly basis. The vendor was working until the end of the fiscal year in an effort to complete the project, making it difficult to estimate cost. We had adequate funds to cover the invoice dated June 27, 1994. However, due to lateness of billing we purchased essential supplies for fiscal year 1995 with remaining appropriations and paid the fiscal year 1994 invoice from the fiscal year 1995 appropriation. The net result was that there was no over expenditure.*

AUDITOR'S COMMENT

A. It should be noted that our audit reports on the Office of Governor not only noted the $188,500 applicable to former Governor Bond, but also noted similar findings applicable to former Governors Ashcroft and Teasdale. After the 1988 audit was released, the Governor's office ceased this practice until now.

2. Expenditures and Purchasing Procedures

During our review of expenditures, we noted the following concerns:

A. During the eighteen months ended June 30, 1994, the Office of Governor paid approximately $16,200 for research services. Services included legal research on campaign finance reform legislation and school finance calculations related to the Kansas City Desegregation Program. No written agreements could be located outlining the services to be performed and compensation to be paid for rendering those services. Professional services should be supported by written agreements to ensure all parties are aware of duties to be performed and compensation to be paid.

B. The Office of Governor is not always using the long distance carrier which was awarded the state contract. Some personnel opted to obtain phone credit cards with the former carrier because they felt the current carrier's cards were "too inconvenient". The state contract was awarded through the solicitation of bids, to the carrier which would provide the lowest and best price for the service.

C. We noted procurement and bidding requirements were not always followed. Some examples follow:

1. For the eighteen months ended June 30, 1994, the Office of Governor paid approximately $8,200 through local purchase orders for the purchase of flowers for the Governor's mansion. Mansion personnel indicated they use primarily one vendor for flower purchases and that at the beginning of the current administration, various flower shops were contacted for prices, with one vendor selected because they offered a discount. Mansion personnel have not resolicited price quotes since that time, nor were the initial contacts documented.

 Office of Administration procurement guidelines limit the use of local purchase orders to purchases with a total value of less than $5,000 per year. Repetitive purchases should be established on a contingency contract through the Division of Purchasing.

2. During the six months ended June 30, 1993, the Office of Governor paid approximately $1,100 for engraved placecards and menucards, and $1,270 for playing cards. Competitive bids for these purchases were not obtained.

 Office of Administration procurement guidelines require that when possible, a minimum of three competitive bids should be obtained for purchases over $1,000.

WE RECOMMEND the Office of Governor:

A. Ensure all professional services are supported by written agreements specifying duties to be performed and compensation to be paid.

B. Utilize the state contract long-distance carrier.

C. Ensure the state's procurement and bid polices are followed.

AUDITEE'S RESPONSE

A. We concur. While no written contract was prepared, we did have a clear understanding of the duties to be performed and of the compensation rate to be paid.

B. We concur. All Governor's Office personnel are now using the state long-distance carrier.

C. The Governor's Mansion purchased flowers for special events held at the mansion and did receive quotes from local florists and selected the florist who offered the lowest cost. Since the initial quotes were received, we have acquired documented price quotes from the local florists for future purchases. Floral arrangements are used for multiple special events.

The Governor's Mansion is a major tourist attraction in central Missouri, bringing substantial economic benefits to the region. Over 50,000 people visited the mansion during fiscal year 1994, more than double the numbers in prior administrations. The increased access to the mansion by the general public resulted in a higher demand for flowers and items given as token gifts to visiting citizens. Volumes necessary for bids based on estimated usage were difficult to ascertain during our first six months in the Governor's office. We are vigilantly adhering to the state's procurement and bid policies at the present time.

3. Fixed Assets

Fixed assets for the Office of Governor and the Governor's mansion total approximately $549,000. Our review of property records indicated the following areas where improvements are needed:

A. Fixed asset records do not always include complete descriptions, accurate locations, and model and serial numbers where applicable. Such information is necessary to assist in the annual inventory and to provide complete and accurate fixed asset records.

The Code of State Regulations, at 15 CSR 40-2.031, requires departments to maintain adequate fixed asset records that contain identification number; description of the item including name, make, model and serial number, where appropriate; acquisition cost; date of acquisition; estimated

useful life at the date of acquisition, if applicable; physical location; and method and date of disposition.

This situation was noted in our previous audit.

B. Dual inventory records, manual and computerized, are maintained for the fixed assets; however the manual and computerized records have never been reconciled. Maintaining a dual system requires additional time to update the records and increases the chance of mistakes, errors and omissions. For example, property acquired since January 1993 has not been added to the computerized inventory listing, however manual records were maintained for these additions. These additions not recorded on the computer inventory totaled approximately $114,800. Our review also noted fixed assets totaling approximately $95,800 were recorded twice on the computerized inventory listing.

The manual records should be reconciled to the computerized inventory listing and any discrepancies resolved. Once this reconciliation is performed, only one inventory listing should be maintained. One complete and accurate list of property records is essential for the proper presentation of fixed assets. Furthermore, proper safeguarding of assets requires the timely and accurate recording of transactions.

C. A schedule of additions and retirements of fixed assets is not prepared. Property additions and retirements should be accounted for on a current basis to better safeguard assets. The Code of State Regulations, at 15 CSR 40-2.031 requires state agencies to prepare a statement of changes in fixed assets annually to summarize transactions occurring during the fiscal year.

This situation was noted in our previous audit.

WE RECOMMEND the Office of Governor:

A. Record accurate and complete descriptions of assets on the property records.

B. Reconcile the manual inventory records to the computerized inventory list and resolve any differences. Once the reconciliation is complete, only one inventory listing should be maintained.

C. Prepare schedules of additions and deletions annually.

AUDITEE'S RESPONSE

We concur. We are in the process of implementing all recommendations regarding fixed assets. We would point out that the duplicate records on the computerized listing were present when this administration took office.

4. Personnel Policy

During the two years ended June 30, 1994, the Office of Governor expended approximately $2.4 million for employee salaries. We noted the office's policy manual requires full-time employees to work a minimum of 37.5 hours per week. The office policy related to the accrual of sick and annual leave and compensatory time is the same policy which applies to other state employees. However, other state employees are generally required to work a 40 hour week.

Although it may be within the power of the Office of Governor to establish a work week that varies from state policy, it appears it would be more equitable to make the policy consistent with that observed by other state agencies.

WE RECOMMEND the Office of Governor consider requiring of their employees the same 40 hour work week which is required of other state employees.

AUDITEE'S RESPONSE

We concur. The office policy manual has been updated to reflect the requirement that employees are expected to work a minimum of 40 hours per week. A review of time sheets shows the average time worked (excluding senior staff who worked many more hours) during the audit period was 42 hours per week.

This report is intended for the information of the office's management and other applicable government officials. However, this report is a matter of public record and its distribution is not limited.

FOLLOW-UP ON STATE AUDITOR'S PRIOR RECOMMENDATIONS

OFFICE OF GOVERNOR
FOLLOW-UP ON STATE AUDITOR'S PRIOR RECOMMENDATIONS

This section reports follow-up action taken by the Office of Governor on recommendations made in the Management Advisory Report (MAR) of our prior report issued for the period July 1, 1992 to January 11, 1993 and the two years ended June 30, 1992.

The prior recommendations which have not been implemented, but are considered significant, have been repeated in the current MAR. Although the remaining unimplemented recommendations have not been repeated, the office should consider implementing these recommendations.

1. Fixed Assets

 A. Fixed asset records did not always include complete descriptions, accurate locations, and model and serial numbers where applicable. In addition, inventory records did not list purchase dates for many assets. Also, property records did not always accurately reflect property tag numbers and location of assets.

 B. Additions were not recorded in the property records on a timely basis. Some items were purchased during the audit period, but not added to property records.

 C. Some assets were not tagged. Of 58 items tested for the office and mansion, seven (12 percent) did not have property tags attached.

 D. A schedule of additions and retirements of fixed assets was not prepared.

 E. Many furniture items no longer used in the Governor's Mansion were stored in various locations in Jefferson City. Some items have been in storage for years. Stored items were not reviewed periodically to determine if unused furniture should be disposed of.

 F. The Office of Governor disposed of three vehicles during the audit period. Documentation supporting the disposal of one of the vehicles could not be found.

 G. The Office of Governor acquired a 1988 Dodge at no cost from State Surplus Property in April 1992. Although the vehicle was placed on the property listing, no value was recorded for the vehicle.

 Recommendation:

 The Office of Governor:

 A. Record accurate and complete descriptions of assets on the property records.

B. Record additions to the property records on a timely basis.

C. Tag assets promptly.

D. Prepare schedules of additions and deletions annually.

E. Periodically review stored furniture to evaluate the need for continued storage or appropriate disposal.

F. Maintain proper supporting documentation for all vehicle dispositions.

G. Record vehicles purchased on the fixed asset records at cost or fair market value if cost is not available.

Status:

A,B, &D. Not implemented. See MAR No. 3.

C. Not implemented. Of 49 items tested for the office and mansion, five (10 percent) did not have a property tag attached. Although not repeated in the current report, our recommendation remains as stated above.

E. Partially implemented. The mansion staff is currently reviewing stored furniture to evaluate the need for continued storage, refurbishing, or appropriate disposal.

F&G. Implemented.

2. Personal Services

A. Employees were not required to prepare monthly time sheets showing hours worked and leave taken.

B. The Office of Governor established leave policies that allowed employees to accumulate annual leave up to the maximum that can be earned in two years. Our review of personnel records indicated eight employees were allowed to accumulate annual leave in excess of the limits established by the policy.

C. For the period July 1, 1992 to January 11, 1993, and the two fiscal years ended June 30, 1992, the Governor was paid less than the amount provided for by statute. The Governor was underpaid a total of $4,479.

Recommendation:

The Office of Governor:

A. Require all employees to maintain time sheets.

B. Follow established policies on the accrual of annual leave.

C. Compensate the Governor in accordance with the statutes and authorize the payment of $4,479 to former Governor Ashcroft.

Status:

A&B. Implemented.

C. Partially implemented. Governor Carnahan was compensated in accordance with statutes. Former Governor Ashcroft refused to accept any payment.

* * * * *

OFFICES OF THE

STATE AUDITOR OF MISSOURI

JEFFERSON CITY

OFFICE OF GOVERNOR

FOUR YEARS ENDED JUNE 30, 1998

MARGARET KELLY, CPA

Report No. 98-124
December 31, 1998

OFFICE OF GOVERNOR

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FINANCIAL SECTION

State Auditor's Reports

STATE AUDITOR OF MISSOURI
JEFFERSON CITY, MISSOURI 65102

MARGARET KELLY, CPA
STATE AUDITOR

P. O. Box 869
(573) 751-4824

INDEPENDENT AUDITOR'S REPORT ON THE FINANCIAL STATEMENT

Honorable Mel Carnahan, Governor
Jefferson City, Missouri 65102

We have audited the accompanying special-purpose financial statement of the General Revenue Fund-State of the Office of Governor for the years ended June 30, 1998, 1997, 1996, and 1995, as identified in the table of contents. This special-purpose financial statement is the responsibility of the office's management. Our responsibility is to express an opinion on this special-purpose financial statement based on our audit.

We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the special-purpose financial statement is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the special-purpose financial statement. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

The accompanying special-purpose financial statement was prepared for the purpose of presenting the appropriations and expenditures of the General Revenue Fund-State of the Office of Governor and is not intended to be a complete presentation of the financial position and results of operations of the General Revenue Fund-State of the office.

In our opinion, the special-purpose financial statement referred to in the first paragraph presents fairly, in all material respects, the appropriations and expenditures of the General Revenue Fund-State of the Office of Governor for the years ended June 30, 1998, 1997, 1996, and 1995, in conformity with the comprehensive basis of accounting discussed in Note 1, which is a basis of accounting other than generally accepted accounting principles.

In accordance with *Government Auditing Standards*, we also have issued our report dated October 14, 1998, on our consideration of the office's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grants.

Our audit was made for the purpose of forming an opinion on the special-purpose financial statement, taken as a whole, that is referred to in the first paragraph. The accompanying financial information listed as supplementary data in the table of contents is presented for purposes of additional analysis. Such information has been subjected to the auditing procedures applied in the audit of the special-purpose financial statement and, in our opinion, is fairly stated in all material respects in relation to the special-purpose financial statement taken as a whole.

The accompanying History, Organization, and Statistical Information is presented for informational purposes. This information was obtained from the office's management and was not subjected to the auditing procedures applied in the audit of the special-purpose financial statement referred to above.

Margaret Kelly

Margaret Kelly, CPA
State Auditor

October 14, 1998

STATE AUDITOR OF MISSOURI
JEFFERSON CITY, MISSOURI 65102

MARGARET KELLY, CPA
STATE AUDITOR

P. O. Box 869
(573) 751-4824

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE AND ON INTERNAL CONTROL OVER FINANCIAL REPORTING

Honorable Mel Carnahan, Governor
Jefferson City, Missouri 65102

We have audited the special-purpose financial statement of the Office of Governor for the years ended June 30, 1998, 1997, 1996, and 1995, and have issued our report thereon dated October 14, 1998. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the special-purpose financial statement of the Office of Governor is free of material misstatement, we performed tests of the office's compliance with certain provisions of laws, regulations, contracts, and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no material instances of noncompliance that are required to be reported under *Government Auditing Standards*. However, we noted an instance of other noncompliance which is presented in the accompanying Management Advisory Report.

Internal Control Over Financial Reporting

In planning and performing our audit of the special-purpose financial statement of the Office of Governor, we considered the office's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the special-purpose financial statement and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material to the special-purpose financial statement being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned

functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses.

This report is intended for the information of the management of the Office of Governor and other applicable government officials. However, this report is a matter of public record and its distribution is not limited.

Margaret Kelly

Margaret Kelly, CPA
State Auditor

October 14, 1998

Financial Statement

Exhibit

OFFICE OF GOVERNOR
COMPARATIVE STATEMENT OF APPROPRIATIONS AND EXPENDITURES

	Year Ended June 30,					
	1998			1997		
	Appropriations	Expenditures	Lapsed Balances	Appropriations	Expenditures	Lapsed Balances
GENERAL REVENUE FUND - STATE						
Salary for the Governor	$ 107,329	107,329	0	104,246	104,246	0
Expenses incident to emergency duties performed by the National Guard when ordered out by the Governor	4,001	1,891	2,110	50,001	30,534	19,467
Support of the activities of the Advisory Commission on Intergovernmental Relations	0	0	0	0	0	0
Special audit of St. Louis County Special School District	0	0	0	0	0	0
Conducting special audits	100,000	0	100,000	100,000	0	100,000
Personal service and/or expense and equipment for the Mansion	180,702	168,468	12,234	175,727	174,876	851
Personal service and/or expense and equipment for the Governor's office	1,670,199	1,615,343	54,856	1,602,978	1,591,834	11,144
Association dues	149,406	134,250	15,156	143,550	143,550	0
Personal service and operations expenditures for the Governor's Mansion Preservation Advisory Commission	3,000	0	3,000	3,000	0	3,000
Allocation by the Committee to state agencies which qualify for emergency or supplemental funds under the provisions of Section 33.720, RSMo	1	0	1	1*	0	1
Total General Revenue Fund - State	$ 2,214,638	2,027,281	187,357	2,179,503	2,045,040	134,463

*Estimated appropriation. Amount will be increased as expenditures warrant.
The accompanying Notes to the Financial Statements are an integral part of this statement.

Exhibit

OFFICE OF GOVERNOR
COMPARATIVE STATEMENT OF APPROPRIATIONS AND EXPENDITURES

	Year Ended June 30,					
	1996			1995		
	Appropriations	Expenditures	Lapsed Balances	Appropriations	Expenditures	Lapsed Balances
GENERAL REVENUE FUND - STATE						
Salary for the Governor	$ 98,345	98,345	0	94,563	94,563	0
Expenses incident to emergency duties performed by the National Guard when ordered out by the Governor	35,001	31,504	3,497	410,001	304,843	105,158
Support of the activities of the Advisory Commission on Intergovernmental Relations	0	0	0	7,000	7,000	0
Special audit of St. Louis County Special School District	110,000	97,416	12,584	0	0	0
Conducting special audits	0	0	0	0	0	0
Personal Service and/or Expense and Equipment for the Mansion	170,380	170,283	97	167,010	166,846	164
Personal service and/or expense and equipment for the Governor's office	1,529,218	1,519,320	9,898	1,500,266	1,497,264	3,002
Association dues	141,250	141,250	0	202,576	202,576	0
Personal service and operations expenditures for the Governor's Mansion Preservation Advisory Commission	3,000	0	3,000	3,000	0	3,000
Allocation by the Committee to state agencies which qualify for emergency or supplemental funds under the provisions of Section 33.720, RSMo	1 *	0	1	1 *	0	1
Total General Revenue Fund - State	$ 2,087,195	2,058,118	29,077	2,384,417	2,273,092	111,325

*Estimated appropriation. Amount will be increased as expenditures warrant.
The accompanying Notes to the Financial Statements are an integral part of this statement.

Supplementary Data

Schedule 1

OFFICE OF GOVERNOR
COMPARATIVE STATEMENT OF EXPENDITURES (FROM APPROPRIATIONS)

	Year Ended June 30,			
	1998	1997	1996	1995
Personal service	$ 1,444,703	1,422,983	1,375,985	1,345,120
Travel and vehicle	93,771	74,482	96,112	98,468
Office expense	70,536	72,659	66,748	69,592
Office and communication equipment	10,023	39,579	6,783	13,480
Communication expense	70,315	59,355	76,975	71,691
Institution and physical plant	17,686	9,723	11,714	19,142
Data processing	103,046	122,777	90,525	93,923
Professional services	19,885	16,021	109,441	6,372
National Guard emergency duties	1,891	30,534	31,504	304,843
Other expenses	195,425	196,927	192,331	250,461
Totals	$ 2,027,281	2,045,040	2,058,118	2,273,092

The accompanying Note to the Supplementary Data is an integral part of this statement.

Schedule 2

OFFICE OF GOVERNOR
STATEMENT OF CHANGES IN GENERAL FIXED ASSETS

	Office Equipment	Furniture	Motor Vehicles	Total
Office:				
Balance, June 30, 1994 as restated (Note 3)	$ 259,081	98,368	3,200	360,649
Additions	29,243	7,292	0	36,535
Dispositions	(45,746)	(611)	0	(46,357)
Balance, June 30, 1995	242,578	105,049	3,200	350,827
Additions	34,904	808	0	35,712
Dispositions	(12,129)	(500)	0	(12,629)
Balance, June 30, 1996	265,353	105,357	3,200	373,910
Additions	101,691	1,770	0	103,461
Dispositions	(29,366)	0	0	(29,366)
Balance, June 30, 1997	337,678	107,127	3,200	448,005
Additions	35,252	80,989	5,150	121,391
Dispositions	(25,440)	0	(3,200)	(28,640)
Balance, June 30, 1998	347,490	188,116	5,150	540,756
Mansion				
Balance, June 30, 1994	24,684	109,196	5,800	139,680
Additions	728	6,487	0	7,215
Dispositions	(5,141)	(1,070)	0	(6,211)
Balance, June 30, 1995	20,271	114,613	5,800	140,684
Additions	3,218	1,494	0	4,712
Dispositions	0	(975)	0	(975)
Balance, June 30, 1996	23,489	115,132	5,800	144,421
Additions	0	0	0	0
Dispositions	0	0	0	0
Balance, June 30, 1997	23,489	115,132	5,800	144,421
Additions	4,000	0	2,925	6,925
Dispositions	0	0	(5,800)	(5,800)
Balance, June 30, 1998	27,489	115,132	2,925	145,546
Total General Fixed Assets	$ 374,979	303,248	8,075	686,302

The accompanying Note to the Supplementary Data is an integral part of this statement.

Notes to the Financial Statement
and Supplementary Data

OFFICE OF GOVERNOR
NOTES TO THE FINANCIAL STATEMENT AND SUPPLEMENTARY DATA

Notes to the Financial Statement:

1. Summary of Significant Accounting Policies

A. Reporting Entity and Basis of Presentation

The accompanying special-purpose financial statement presents only selected data for the General Revenue Fund-State of the Office of Governor .

Appropriations, presented in the Exhibit, are not separate accounting entities. They do not record the assets, liabilities, and equities of the related funds but are used only to account for and control the office's expenditures from amounts appropriated by the General Assembly.

Expenditures presented for each appropriation may not reflect the total cost of the related activity. Other direct and indirect costs provided by the office and other state agencies are not allocated to the applicable fund or program.

B. Basis of Accounting

The Statement of Appropriations and Expenditures is presented on the state's legal budgetary basis of accounting which recognizes expenditures on the encumbrance method. Expenditures include amounts payable or encumbered at June 30 and paid during the lapse period, which ends August 31 for regular appropriations and December 31 for capital improvement appropriations. The authority to expend appropriations ends with the close of the lapse period. However, the General Assembly may authorize reappropriation of the unexpended balances of capital improvement appropriations for the following year. The General Assembly also may authorize biennial capital improvement appropriations, for which the unexpended balances at June 30 of the first year of the two-year period are reappropriated for expenditure during the second year.

The budgetary basis of accounting differs from generally accepted accounting principles, which require revenues to be recognized when they become available and measurable or when they are earned and expenditures or expenses to be recognized when the related liabilities are incurred.

C. Fiscal Authority and Responsibility

The office administers transactions in the General Revenue Fund-State. The state treasurer as fund custodian and the Office of Administration provide administrative control over fund resources within the authority prescribed by the General Assembly.

The office receives appropriations from this fund and does not maintain a proprietary interest in the fund. Appropriations from the fund are used for the basic operation of the office, including those programs and services that have no other funding source. These appropriations also may be used to initially fund, or to provide matching funds or support for, programs paid wholly or partially from other sources.

D. Employee Fringe Benefits

In addition to the social security system, employees are covered by the Missouri State Employees' Retirement System (MOSERS) (a noncontributory plan) and may participate in the state's health care, optional life insurance, deferred compensation, and cafeteria plans. The optional life insurance and cafeteria plans involve only employee contributions or payroll reductions. Also, the deferred compensation plan involves employee payroll deferrals and, beginning January 1, 1996, a monthly state matching contribution for each participating employee.

The state's required contributions for employee fringe benefits are paid from the same funds as the related payrolls. Those contributions are for MOSERS (retirement, basic life insurance, and long-term disability benefits); social security and Medicare taxes; health care premiums; and the deferred compensation plan match.

Transfers related to salaries are not appropriated by agency and thus are not presented in the financial statement at the Exhibit.

2. Program Specific

The Office of Governor is appropriated funds to pay for Missouri's share in various national and regional programs. The office makes a lump-sum payment annually to the National Governor's Association, the Southern Governor's Association, and the Advisory Commission on Intergovernmental Relations. These payments are for Missouri's share of the expense of program operations.

Note to the Supplementary Data:

3. General Fixed Assets

General fixed assets, which are recorded as expenditures when acquired, are capitalized at cost in the General Fixed Assets Account Group and are not depreciated.

The Office of Governor adjusted the fixed asset balances for June 30, 1994, to correct errors made in recording the values of certain fixed assets. The adjustments were as follows:

Office:		Office Equipment	Furniture	Motor Vehicles	Total
Balance, June 30, 1994, before restatement	$	319,523	86,547	3,200	409,270
Error corrections		(60,442)	11,821	0	(48,621)
Balance, June 30, 1994, after restatement	$	259,081	98,368	3,200	360,649

MANAGEMENT ADVISORY REPORT SECTION

Management Advisory Report -
State Auditor's Current Recommendations

OFFICE OF GOVERNOR
SUMMARY OF FINDINGS

1. Personal Service Costs (pages 20-22)

 The Office of Governor uses appropriations budgeted to other state agencies to pay personnel.

2. Fixed Assets (pages 22-24)

 The records and procedures over property items of the Governor's Office and Mansion need to be improved.

OFFICE OF GOVERNOR
MANAGEMENT ADVISORY REPORT -
STATE AUDITOR'S CURRENT RECOMMENDATIONS

We have audited the special-purpose financial statement of the Office of Governor for the years ended June 30, 1998, 1997, 1996, and 1995, and have issued our report thereon dated October 14,1998.

The following Management Advisory Report presents our findings and recommendations arising from our audit of the office's special-purpose financial statement. During our audit, we also identified a certain management practice which we believe could be improved. Our audit was not designed or intended to be a detailed study of every system, procedure, and transaction. Accordingly, the findings presented in the following report should not be considered as all-inclusive of areas where improvements may be needed.

1. Personal Service Costs

In our prior audit report, we noted that various employees, while budgeted to and paid from appropriations of other state agencies, were physically located in and supervised by the Governor's office, and performed duties attendant to programs and functions of the Office of Governor. Not only has this practice continued during the current audit period, but the number of such employees paid either wholly or partially from appropriations of other state agencies has increased. These employees include the following:

A. Three employees were paid from appropriations of the Office of Administration (OA) for the year ended June 30, 1998. These employees prepared monthly time sheets for OA.

One employee is the Executive Secretary to the Chief Counsel for the Governor's office. Her responsibilities include clerical duties, scheduling for the Chief Counsel and tracking legislative bills for the attorneys. Another employee is a secretary whose duties include opening and delivering the office mail. The third employee is a computer specialist who assists with the various office computer applications.

B. The salaries for the other individuals were paid partially by other state agencies, with the remainder being paid by the Office of Governor. Except for the Legal Counsel, these employees prepared time sheets for the Office of Governor.

1. The Legal Counsel for the Office of Governor was paid approximately 91 percent by OA and 9 percent by the Office of Governor for the year ended June 30, 1998. This individual indicated her responsibilities for the Office of Governor include reviewing and advising on applications for executive clemency in capital punishment cases, researching and advising on legal issues regarding the Governor's duties and authority, monitoring and advising on

lawsuits involving state agencies, and reviewing of bills passed by the General Assembly. She indicated her responsibilities for OA include areas such as policy and legislation development (review and implementation), lawsuits and administrative hearings and rules, drug courts, and local sentencing initiatives.

2. The Deputy Chief of Staff for Policy Development was paid approximately 90 percent by the Department of Social Services and 10 percent by the Office of Governor for the year ended June 30, 1998. This individual indicated his responsibilities include welfare and aging issues, child support enforcement, and child care, child abuse, and family violence issues.

3. The Director of Legislative Affairs was paid approximately 78 percent by the Department of Natural Resources and 22 percent by the Office of Governor for the year ended June 30, 1998. This individual indicated his responsibilities include reviewing legislation effecting the Department of Natural Resources and dealing with environmental issues effecting the St. Louis area. In addition, he is the Small Business Ombudsman for the Office of Governor.

4. The Assistant Legal Counsel for Extraditions was paid approximately 66 percent by the Department of Corrections and 34 percent by the Office of Governor for the year ended June 30, 1998. He indicated his responsibilities include handling applications for executive clemency and extraditions/detainers.

5. The Assistant Director for Policy Development was paid approximately 75 percent by the Department of Elementary and Secondary Education and 25 percent by the Office of Governor for the year ended June 30, 1998. He indicated his responsibilities include reviewing documents, formulating policy, responding to inquires, and attending meetings for areas such as vocational rehabilitation grants, public school teacher retirement, school accountability and student performance, decertification of unfit teachers, and charter schools.

6. The Assistant for Policy and Legislative Affairs was paid approximately 32 percent by the Department of Mental Health and 68 percent by the Office of Governor for the year ended June 30, 1998. He indicated his responsibilities include reviewing documents, responding to inquiries and formulating policy relating to areas such as mental health advocate groups, Caring Communities program, SSI grants, and treatment for children with autism. He also indicated that he deals with Division of Aging issues.

No documentation was provided to support how the above percentages were established, therefore, it could not be determined whether the portion of the salaries paid by the other agencies was a reasonable reflection of the work performed by the applicable employees for those agencies.

The total salaries for various employees paid from appropriations of the Office of Governor and other state agencies for the four years ended June 30 1998, were as follows:

	Year Ended June 30,			
	1998	1997	1996	1995
Office of Governor	$ 76,962	11,441	9,169	14,544
Other state agencies	263,955	103,565	57,419	61,355

While some of the activities performed by some of these employees were related to the departments which paid their salaries, it appears their primary job responsibilities were functions associated with the Office of Governor. If these employees are performing work for the Office of the Governor, their salaries and expenses should be paid from the Governor's office appropriations.

The appropriations from the General Revenue Fund-State are intended to cover the basic operating costs of the office. It appears the operations of the Office of Governor have been supplemented by appropriations of other state agencies, thus circumventing the appropriation process as established by the General Assembly. This practice makes it difficult to establish accountability for the costs of operating the Office of Governor.

WE RECOMMEND the Office of Governor maintain documentation to support the allocation of personal service costs to other state agencies or discontinue the practice of using other state agencies' appropriations to pay personal service costs of that office. This may require the Office of Governor to request additional funding sufficient to pay all operating costs of the office from its own appropriations.

AUDITEE'S RESPONSE

A. *The Office of Administration has historically provided staff to the Office of the Governor (pursuant to Section 37.010.4, RSMo). Previous administrations have supported the Governor's Office to varying degrees.*

B. *We believe our percentages are reasonable and appropriate; however, on an annual basis we will review the use of outside resources. We have looked for opportunities to share and/or shift existing resources to meet changing priorities, rather than requesting more people and money.*

2. Fixed Assets

A separate inventory of general fixed assets is maintained for the Governor's office and the Mansion. At June 30, 1998, the inventory balance for the office and the Mansion totaled

approximately $540,000 and $145,000, respectively. Our review of the property records disclosed the following areas where improvements are needed:

A. A physical inventory of the fixed assets in the Governor's office is not performed annually as required by the Code of State Regulations at 15 CSR 40-2.031.

Annual physical inventories are necessary to establish proper accountability over fixed assets. In addition, documentation of the physical inventory should be retained to show compliance with state regulations.

B. Neither the Governor's office nor the Mansion prepared a complete annual statement of changes in fixed assets. While the Governor's office prepared a listing of fixed asset additions and dispositions for each year, the beginning balance, additions, and dispositions for each year did not reconcile to the ending balance.

The Code of State Regulations at 15 CSR 40-2.031 requires state agencies to prepare a statement of changes in fixed assets annually to summarize transactions occurring during the fiscal year.

C. The property records for the Mansion do not indicate the acquisition date for the fixed assets. In addition, the Mansion records do not indicate the date and method of disposition, if applicable.

Such information is necessary to better account for property and to provide complete and accurate fixed asset records. The Code of State Regulations at 15 CSR 40-2.031 requires state agencies to maintain adequate fixed asset records that contain identification number; description of the item including name, make, model and serial number, where appropriate; acquisition cost; date of acquisition; estimated useful life at the date of acquisition; physical location; and method and date of disposition, if applicable.

D. We noted a set of china cups purchased in fiscal year 1995 for the Mansion, costing $5,165, was not included in the property records. The failure to properly record property items reduces the control and accountability over fixed assets and increases the potential for loss, theft, or misuse of assets.

Condition B. was similarly noted in our prior audit report.

WE RECOMMEND the Office of Governor:

A. Conduct an annual physical inventory for the office and reconcile the physical inventory to the fixed asset records. Documentation of the physical inventories should be retained to show compliance with state regulations.

B. Prepare a complete statement of changes in fixed assets annually.

C. Ensure the acquisition dates and disposition dates, if applicable, of property items is recorded on the Mansion property records.

D. Ensure all property items are recorded on the Mansion fixed asset records.

AUDITEE'S RESPONSE

A. We concur. We are in the process of implementing or have already implemented all recommendations regarding fixed assets.

We will perform an annual physical inventory of fixed assets.

B. The Governor's Office fixed assets reports originally did not reconcile to the ending balance because the office was in transition between computerized inventory programs. The discrepancy was due to software conversion that has now been completed and the reports reconcile.

C. We concur. The Mansion will complete an annual statement of changes in fixed assets as part of the annual inventory process. The annual inventory will include all pertinent information to identify the item. We will also include dates for all items acquired and when disposition occurs.

D. We concur.

This report is intended for the information of the management of the Office of Governor and other applicable government officials. However, this report is a matter of public record and its distribution is not limited.

Follow-Up on State Auditor's Prior Recommendations

OFFICE OF GOVERNOR
FOLLOW-UP ON STATE AUDITOR'S PRIOR RECOMMENDATIONS

This section reports follow-up action taken by the Office of Governor on recommendations made in the Management Advisory Report (MAR) of our report issued for the two years ended June 30, 1994. The prior recommendations which have not been implemented, but are considered significant, have been repeated in the current MAR. Although the remaining unimplemented recommendations have not been repeated, the office should consider implementing these recommendations.

1. Circumvention of the Appropriation Process

A. Several employees were physically located in and supervised by the Governor's office, and performed duties attendant to programs and functions of the Office of Governor; however, the salaries of the employees were paid from appropriations of other state agencies.

B. Computer equipment, costing approximately $53,500, was purchased by the Office of Administration and identified as property of that agency, but was used exclusively by the Office of Governor and the Mansion for routine daily activities.

C. Instead of requesting an increase in the Governor's appropriation for inmate labor costs associated with the Mansion, the inmate labor costs were backed out of the Mansion appropriation and charged to an OA appropriation.

D. In fiscal year 1994, expenses totaling $9,975, were held and paid from the subsequent year's appropriation because the current year's appropriations were insufficient to pay the expenses.

Recommendation:

The Office of Governor:

A-C. Discontinue the practice of using other state agencies' appropriations to pay operating costs of the office and mansion. The Office of Governor should request funding levels sufficient to pay all operating costs of the office from its own appropriations.

D. Keep expenditures within the limits of current year appropriations.

Status:

A. Not implemented. See MAR No. 1.

B. Both the Office of the Governor and the Mansion continue to utilize the computer equipment purchased from the OA appropriation; however, we noted no similar purchases during the current audit period.

C. It appears that during fiscal year 1994, a decision was made to have the Division of Facilities Management - Office of Administration pay the cost of inmate labor used in operating the various state buildings in the capitol complex, including the Governor's Mansion. The funding of these costs has been handled in this fashion since that time.

D. We noted no instances of expenditures being paid from a subsequent year's appropriation during the current audit period.

2. Expenditures and Purchasing Procedures

A. Written agreements were not entered into for some professional services expenditures totaling approximately $16,200.

B. The Office of Governor was not always using the long distance carrier which was awarded the state contract.

C. State procurement and bidding requirements were not followed for some purchases.

Recommendation:

The Office of Governor:

A. Ensure all professional services are supported by written agreements specifying duties to be performed and compensation to be paid.

B. Utilize the state contract long-distance carrier.

C. Ensure the state's procurement and bid polices are followed.

Status:

A-C. Implemented.

3. Fixed Assets

A. Fixed asset records did not always include complete descriptions, accurate locations, and model and serial numbers, where applicable.

B. Both manual and computerized inventory records were maintained for the fixed assets; however, these records were not reconciled.

C. A schedule of additions and retirements of fixed assets was not prepared.

Recommendation

The Office of Governor:

A. Record accurate and complete descriptions of assets on the property records.

B. Reconcile the manual inventory records to the computerized inventory list and resolve any differences. Once the reconciliation is complete, only one inventory listing should be maintained.

C. Prepare schedules of additions and deletions annually.

Status:

A. Partially implemented. The description, model, and serial number of items were generally complete and accurate; however, we noted some instances where the location of an item was not accurate. Although not repeated in the current MAR, our recommendation remains as stated above.

B. Implemented.

C. Partially implemented. The Governor's office prepared addition and disposition listings during the current audit period; however, some exceptions were still noted in this area. See MAR No. 2.

4. Personnel Policy

The office's policy manual required full-time employees to work only a minimum of 37.5 hours per week, rather than a 40 hour week.

Recommendation:

The Office of Governor consider requiring of their employees the same 40 hour work week which is required of other state employees.

Status:

Implemented.

STATISTICAL SECTION

History, Organization, and
Statistical Information

OFFICE OF GOVERNOR
HISTORY AND ORGANIZATION

The supreme executive power of the state is vested in the governor. Unless otherwise provided by law, he appoints members of all boards, commissions, and state government department heads as well as those of several other entities in the state and all vacancies in public offices. He commissions all officers of the state unless otherwise provided by law. Through his capacity as commander-in-chief of the militia, he is the conservator of peace throughout the state.

Providing the state's principal financial administration, the governor presents to the General Assembly a proposed budget for each appropriation period, passes approval on appropriation bills presented to him by the General Assembly, and subsequent to the passage of such bills, may control the rate at which appropriations are expended. He may reduce the appropriations when actual revenues are below the estimated revenues upon which the appropriations were based.

All bills passed by both houses are presented to and considered by the governor where they are signed into law or disapproved and returned to the originating house.

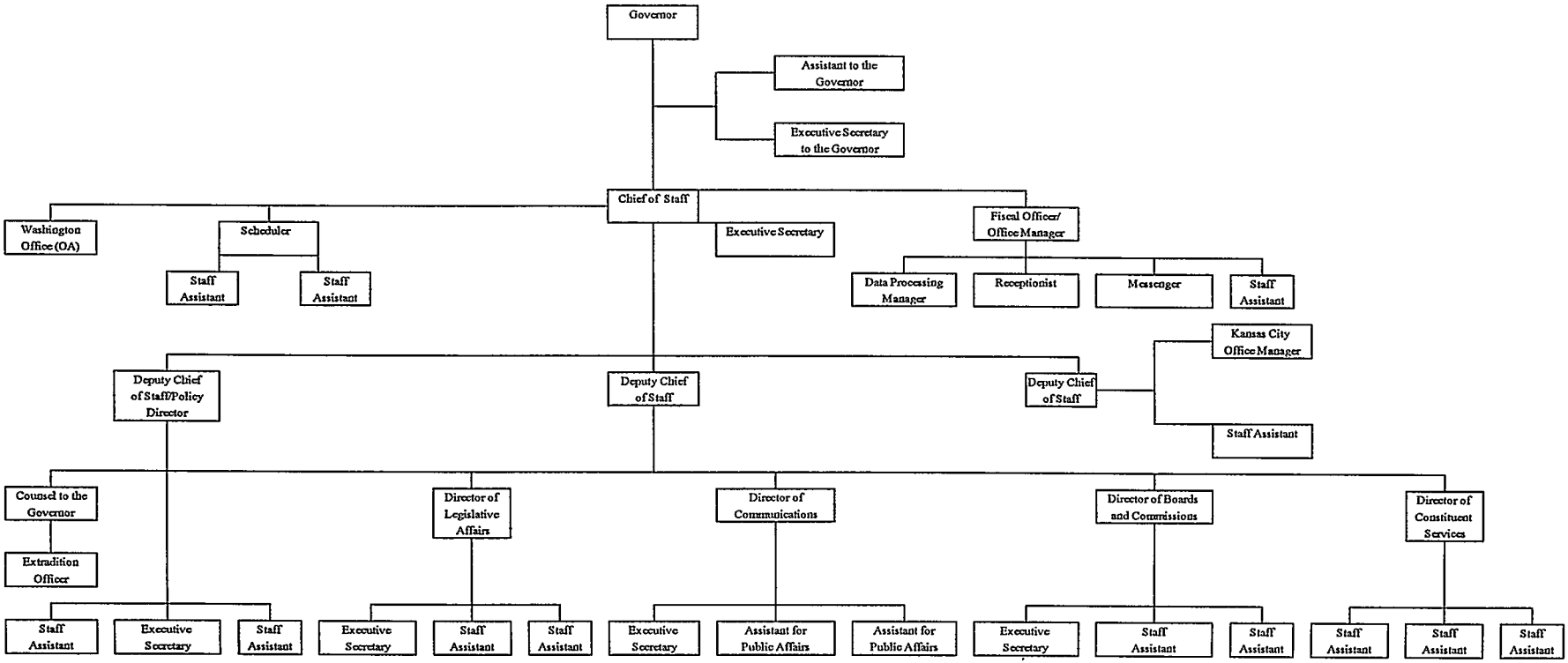
In addition to the duties which are specifically assigned to the governor in the constitution, he has many other duties assigned to him by statute and by custom. The governor is also a member of the Board of Public Buildings and the State Board of Fund Commissioners.

The governor is required to be at least thirty years of age and must have been a citizen of the United States for at least fifteen years and a resident of this state at least ten years prior to election. The governor is elected at the presidential election for a four-year term and is subject to re-election. No person may hold the office for more than two terms.

On January 11, 1993, Mel Carnahan was inaugurated as the state's fifty-first Governor. He was reelected Governor in November 1996, and his present term will expire in January 2001.

At June 30, 1998, the office employed forty-seven personnel. An organization chart for the office follows.

OFFICE OF GOVERNOR
ORGANIZATION CHART
JUNE 30, 1998



 JUDGES DOCKET SHEET 07-08-1999 09:59:30

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :X PAGE :579 Case No. :CV191-0208CC

Trial judge : HONORABLE RALPH F. VOSS

SACHS, PATRICIA B ATT: DAVID T HOLT

VS

TACKETT, NATALIE J ATT: ALANA M. BARRAGAN-SCOTT
OVERSIGHT/DIV. LEGISLATIVE RESEARCH
KELLY, CHRIS/CHAIRMAN/LEGISLATIVE RESEAR ATT:
ASHCROFT, JOHN/GOVERNOR
CARNAHAN, MEL ATT:

NATURE OF ACTION :OTHER CIRCUIT DIV. MISCELLANEOUS
Disp:DISMISSED BY PARTIES FILED :02/21/91 Last Action : 05/25/95

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02/21/91 Petition, filed. cp
02/21/91 Summons issued by the clerk and directed to the Sheriff of Cole County. cp
02/25/91 Return on Service of Summons by the Sheriff of Cole County,filed. llr
03/25/91 Bruce Farmer's Entry of Appearance on behalf of Defendants', filed.
03/25/91 Defendants' Motion to Dismiss, filed. sjv
03/29/91 Plaintiff's Motion and Suggestions for Extension of Time, filed. jb
04/18/91 Plaintiff's Suggestions in Opposition to Defendants' Motion to Dismiss, filed. jb
04/29/91 Plaintiff,'s Notice of Hearing, filed. cp
05/20/91 Defendants' Answer to Plaintiff's Petition, filed.jb
05/20/91 Defendant's Notice, filed. jb
05/31/91 Plaintiff's First Request for Production of Documents to Defendants, filed. sjv
05/31/91 Plaintiff's Certificate of Service of Plaintiff's First Interrogatories to Defendants, filed. sjv
06/19/91 Plaintiff's Notice to Take Deposition, filed. jb
07/05/91 Defendant's Response to Plaintiff's Reuqest for Production of Documents, filed. llr
07/05/91 Defendants Oversight Divsiion and Missouri's Answers to Plaintiff's First Set of Interrogatories, filed. llr
07/05/91 Defendant Tackett's Answers to Plaintiff's First Set of Interrogatories, filed. llr
08/12/91 Defendants Oversight Division and Missouri's Answers to Plaintiff's First Set of Interrogatories, filed. bh
08/15/91 Defendants' Certificate of Service (of First Request for Production of Documents), filed. llr
08/15/91 Defendants' Certificate of Service (of First Interrogatories to Plaintiff), filed. llr
09/05/91 Plaintiff's Motion and Suggestions for Extension of Time, filed. llr
09/16/91 Plaintiff's Repsonse to Defendants' First Request for Production of Documents, filed. llr
09/16/91 Answer to Defendants' Interrogatories to Plaintiff, filed. llr
01/13/92 Deposition of Natalie J. Tackett taken on behalf of Plaintiff, filed. (Costs $964.00) llr
01/27/92 Plaintiff's Motion to Compel Discovery; Suggestions in Support thereof and Notice of Hearing, filed. jb

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :X PAGE :579 Case No. :CV191-0208CC

02/03/92 Defendants' Motion to Compel, filed. llr
02/03/92 Defendants' Notice, filed. llr
02/07/92 Defendants' Suggestions in Opposition to Plaintiff's Motion to Compel, filed. cp
02/10/92 Plaintiff's Motion to Compel is granted in the following Particular Defendants are Directed to Answer Interrogatory #6 to the extent that they provide Plaintiff the last known address for the Identified Employees who were employed in excess of 10 days. IN all other respects Plaintiff's Motion to Comepl is denied. Defendants' Motion to Compel is granted in the following Particulars : Plaintiff is directed to respond to Interrogatory #21 for the ten years proceeding her employment with the Oversight Division. Defendants Motion as to Interrogatory Nos. 12 and 41 is denied and the remainder is denied as moot. (C/form1)
05/13/92 Plaintiff's Notices (2) to Take Deposition, filed. sg
05/18/92 Plaintiff's Amended Notices (2) to Take Deposition, filed. llr
06/08/92 Plaintiff's Notice to Take Deposition Duces Tecum, filed. llr
07/21/92 Plaintiff's Certificate of Service, filed. sjv
08/21/92 Depositions of Dora Serrano and Pamela Ruth Cain taken on behalf of Plaintiff, filed. (Costs $252.00 and $204.00 respectively).
11/19/92 Plaintiff's Certificate of Service (Second Interrogatories Answers), filed. llr
10/18/93 Plaintiff's Certificate of Service, filed. svb
11/12/93 Defendant's Deposition Notice, filed. cp
12/14/93 Defendant's Deposition Notice, filed. svb
03/04/94 Defendant's Certificate of Service of Defendants' Second Request for Production, filed. mah
04/08/94 Plaintiff's Certificate of Service (Response to Defendant's Second Request for Production of Documents), filed. llr
04/29/94 Defendants' Motion to Compel and Notice, filed. sg
04/29/94 Defendants' Deposition Notice, filed. sg
05/10/94 Plaintiff's Suggestions in Opposition to Defendants' Motion to Compel, filed. llr
05/10/94 Plaintiff is compelled to provide medical authorization allowing defendant's to obtain the medical records of Dr. Strand and Ms. Nonnie Kane. (c/Form I)
06/17/94 At the request of plaintiff, discover in this matter shall conclude by August 30, 1994, unless good cause is shown for an extension. At the request of the defendants, plaintiff is directed to supplement her answer to defendants' interrogatory no. 21 for all health care providers seen (except dentists) during the time period 1989 to the present and provide defendants appropriate records release forms for all health care providers identifed. (c/Form I-Atty took copies)
06/27/94 Plaintiff's Certificate of Mailing, filed. sg
07/11/94 Court Reporter's Certificate of Officer and Statement of Costs Incurred. (Taxed in favor of Defendants $154.02. Taxed in favor of David T. Holt $45.15, filed. mah
08/19/94 Defendants' Certificates (2) of Service (of Supplemental Interrogatories and Third Request for Production of Documents), filed. llr
10/04/94 Plaintiff's Certificate of Service, filed. mah

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :X PAGE :579 Case No. :CV191-0208CC

11/09/94 Plaintiff's Motion for Trial Setting and Notice of Hearing, filed. sg

11/14/94 Defendants' Suggestions in Opposition to Plaintiff's Motion for Trial Setting and Objections to Insufficient Notice Thereof, filed. llr

12/01/94 Plaintiff's Motion for Trial Setting, filed. sg

12/01/94 Plaintiff's Amended Notice of Hearing, filed. sg

12/02/94 Defendants' Motion for Order Compelling Production of Documents, and Notice of Hearing, filed. sg

12/02/94 Defendants' Suggestions in Opposition to Plaintiff's Motion for Trial Setting, filed. sg

12/23/94 Supreme Court requested to assign Special Judge. (c/Letter)

01/13/95 Certified copy of Order from the Supreme Court of Missouri assigning the Honorable Ralph F. Voss, Associate Circuit Judge, 20th Judicial Circuit, to this court in this cause, received and filed. (c/Order) llr

01/17/95 Case set for trial 4/26/95 at 9:00 a.m. in Div. II courtroom per telephone call from Judge Voss. Case set for non-jury trial, 3 or 4 days. llr

01/17/95 Defendants' Supplemental Answer to Plaintiff's First Set of Interrogatories, filed. llr

01/17/95 Defendants' Certificate of Service, filed. llr

01/26/95 Per instructions from Judge Voss, case removed from the Docket on April 26, 27, 1995 and reset on April 17, 18, 19, 1995 in Osage Co. by agreement of parties. Clerk to forward copy of Docket sheet to Attorneys.

04/10/95 Defendant's Certificate of Service, filed. mah

04/12/95 Plaintiff's Request that the Court Enter Findings of Fact and Conclusions of Law and Trial Brief, filed. dd

04/12/95 Trial Brief, filed. sg

04/15/95 Assistant Attorney General Janis R. McAdams calls to advise that case has been settled and a trial will not be necessary. RFV

05/25/95 Stipulation for Prejudicial Dismissal, filed by the parties. Cause is hereby dismissed.

 JUDGES DOCKET SHEET 07-08-1999 09:59:37

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :B1 PAGE :524 Case No. :CV194-0522CC

Trial judge : HON BYRON L. KINDER

SALES JR., ANDREW J ATT:PROSE
REGISTER #177433

VS

SYLER, WILLIAM L. ATT:
JUDGE DIV. 1 CAPE GIRARDEAU COUNTY

CARNAHAN, MEL ATT:
GOVERNOR, STATE OF MISSOURI

NIXON, JEREMIAH W. ATT:
ATTORNEY GENERAL OF MISSOURI

NATURE OF ACTION :DECLARATORY JUDGMENT
Disp:DISMISSED BY PARTIES FILED :04/29/94 Last Action : 06/17/94

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04/29/94	Motion to Proceed in Forma Pauperis, Forma Pauperis Affidavit and Authorization for Release of Financial Information, filed. kav
04/29/94	Motion for Declaratory Judgment, filed. (Form 5.4 mailed to Plaintiff) kav
05/06/94	Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. (Letter sent to F.O. J.C.C.C.) kav
06/01/94	Verification of Finance Officer of Inmate's Balance, filed. kav
06/02/94	Ordered by the Judge of Division II that Plaintiff may proceed in Forma Pauperis. /s/BLK (c/Form I)
06/03/94	Correspondence sent to Plaintiff on Service Instructions. kav
06/17/94	Plaintiff's Motion to Dismiss Without Prejudice, filed. kav

 JUDGES DOCKET SHEET 07-08-1999 09:59:49

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :B1 PAGE :644 Case No. :CV194-0759CC

Trial judge : HON JAMES F. MCHENRY

ELLIOT, STEPHEN LEE ATT:PROSE
REGISTER #

VS

CARNAHAN, MEL ATT:
GOVERNOR, STATE OF MISSOURI
MITCHELL, CRANSTON ATT:
CHAIRMAN MO BOARD OF PROBATION & PAROL

NATURE OF ACTION :DECLARATORY JUDGMENT
Disp:DISMISSED BY COURT FILED :06/20/94 Last Action : 08/12/94

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06/20/94 Motion to Proceed in Forma Pauperis, filed. kav
06/20/94 Petition for Declaratory Judgment, filed. (Form 5.4 sent to Plaintiff) kav
08/12/94 Ordered by the Judge of Division I that Plaintiff's cause, stated above is dismissed due to the Plaintiff's failure to comply with local court rule 5.4. /s/JFM (c/Form I) (Copy of Form I and Docket Sheet mailed to Plaintiff)

 JUDGES DOCKET SHEET 07-08-1999 10:00:01

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :C1 PAGE :73 Case No. :CV194-0920CC

Trial judge : HON BYRON L. KINDER

BOSCHERT, MICHAEL VERNON ATT:PROSE
REGISTER #149800

VS

CARNAHAN, MEL ATT: MICHAEL J. SPILLANE
GOVERNOR STATE OF MISSOURI

MITCHELL, CRANSTON ATT:
CHAIRMAN MO BOARD OF PROBATION & PAROL

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp:DISMISSED BY COURT FILED :07/29/94 Last Action : 08/31/95

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07/29/94 Motion to Proceed in Forma Pauperis and Declaration in Support of Request to Proceed in Forma Pauperis, filed. kav

07/29/94 Petition for Declaratory Judgment, filed. (Form 5.4 mailed to Plaintiff) kav

08/05/94 Plaintiff's Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. (Letter sent to F.O. J.C.C.C.) kav

08/15/94 Verification of Finance Officer of Inmate's Balance, filed. kav

08/24/94 Ordered by the Judge of Division II that Plaintiff may proceed in forma pauperis. /s/BLK (c/Form I)

08/24/94 Corrsepondence sent to Plaintiff on Service Instructions. kav

08/30/94 Summons issued by the Clerk directed to the Sheriff of Cole County. kav

09/01/94 Return on Service of Summonses (2) by the Sheriff of Cole County, filed. llr

09/29/94 Defendants' Response to Petition for Declaratory Judgment, filed. lr

10/11/94 Motion for Enlargement of Time to File Reply and Certificate of Service, filed. kav

10/14/94 Plaintiff's Reply to Defendants' Response and Certificate of Service, filed. kav

11/16/94 Correspondence received from Plaintiff. kav

11/21/94 Correspondence sent to Plaintiff on following court rules. kav

12/02/94 Plaintiff's Motion for Hearing, filed. (Copy of Court Rules sent to Plaintiff) kav

03/17/95 Correspondence received from Plaintiff. kav

03/21/95 Correspondence sent to Plaintiff. kav

03/20/95 Corrsepondence received from Plaintiff. kav

03/21/95 Correspondence sent to Plaintiff. kav

08/31/95 Case dismissed for failure to state grounds upon which relief can be granted.blk(Copy of D.S. to Petitioner and Atty. for Def.)

JUDGES DOCKET SHEET

07-08-1999 10:00:06

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :C1 PAGE :418 Case No. :CV194-1568CC

Trial judge : HON BYRON L. KINDER

MCDERMOTT, DAVID L. ATT:PROSE
REGISTER #180126

VS

CARNAHAN, MEL ATT:
MITCHELL, CRANSTON ATT:

NATURE OF ACTION :DECLARATORY JUDGMENT
Disp:DISMISSED BY PARTIES FILED :12/09/94 Last Action : 01/25/95

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12/09/94	Plaintiff's Motion to Proceed in Forma Pauperis, filed. kav
12/09/94	Petition for Declaratory Judgment Establishing Plaintiff's Rights Under Plea Agreement, filed. (Form 5.4 sent to Plaintiff) kav
12/09/94	Plaintiff's Notification of Whom to Serve Petition for Declaratory Judgment, filed. kav
12/20/94	Plaintiff's Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. (Letter sent to F.O. of J.C.C.C.) kav
12/28/94	Verification of Finance Officer of Inmate's Balance, filed. kav
01/17/95	Ordered by the Judge of Division II that Plaintiff may proceed in Forma Pauperis. /s/BLK (c/Order)
01/24/95	Correspondence sent to Plaintiff for service instructions. kav
01/25/95	Plaintiff's Motion to Dismiss Without Prejudice, filed. kav

 JUDGES DOCKET SHEET 07-08-1999 10:00:09

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :C1 PAGE :446 Case No. :CV194-1623CC

Trial judge : HONORABLE THOMAS J. BROWN III

ELLIOT, STEPHEN LEE ATT:PROSE
REGISTER #169765

VS

CARNAHAN, MEL ATT: CASSANDRA K. DOLGIN
GOVERNOR STATE OF MISSOURI
MITCHELL, CRANSTON ATT:
PROBATION & PAROLE, CHAIRMAN

NATURE OF ACTION :DECLARATORY JUDGMENT
Disp:COURT TRIAL FILED :12/23/94 Last Action :04/01/96

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12/23/94 Plaintiff's Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. kav

12/23/94 Petition for Declaratory Judgment, filed. (Letter sent to F.O. of J.C.C.C.) kav

01/11/95 Verification of Finance Officer of Inmate's Balance, filed. kav

01/25/95 Ordered by the Judge of Division I that Plaintiff may proceed in Forma Pauperis. /s/TJB (c/Form I)

01/25/95 Correspondence sent to Plaintiff on service instructions. kav

02/10/95 Summons issued by the Clerk directed to the Sheriff of Cole County. kav

02/15/95 Return on Service of Summons by the Sheriff of Cole County,filed. mah

03/01/95 Defendants' Motion to Dismiss the Petition for Declaratory Judgment for Failure to State a Claim Upon which Relief can be Granted, filed. llr

03/08/95 Plaintiff's Reply to Defendants' Motion to Dismiss, filed. kav

03/13/95 Defendants' Notice of Hearing, filed. kav

03/20/95 Plaintiff appears not; defendant appears by AAG Dolgin; defendant's Motion to Dismiss taken up and taken under advisement. TJB

04/11/95 Judgment Entry dismissing Plaintiff's Petition for Declaratory Judgment entered. Clerk to forward copiy to plaintiff and defendant through counsel /s/ TJB (c/Judgment Entry) (copy forwarded to Cassandra Dolgin and Stephen Elliot)

04/26/95 Plaintiff's Motion to Proceed in Forma Pauperis and Affidavit, filed. kav

05/10/95 Ordered by the Judge of Division I that Plaintiff may Appeal in Forma Pauperis. /s/TJB (c/Form I)

04/26/95 Plaintiff's Notice of Appeal to Missouri Court of Appeals Western District and Civil Case Information Form Supplement, filed. kav

06/01/95 Copy of letter from the Clerk, MO. Court of Appeals, Western District, acknowledging receipt of the Notice of Appeal; filed. (WD# 51062)

04/01/96 Mandate from the Mo. Court of Appeals, Western District, affirming the judgment of this court, received and filed. (c/Mandate) llr

 JUDGES DOCKET SHEET 07-08-1999 10:00:13

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :C1 PAGE :498 Case No. :CV195-0094CC

Trial judge : HON BYRON L. KINDER

PANKEY, V. MARVALENE ATT:PROSE

VS

CARNAHAN, MEL ATT:

NATURE OF ACTION :OTHER CIRCUIT DIV. MISCELLANEOUS

Disp:DISMISSED BY COURT FILED :01/27/95 Last Action : 02/01/95

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01/27/95 Complaint by Affidavit, filed. (copy hand delivered to Cole Co. Prosecuting Attorney by the Clerk) llr

02/01/95 Clerk directed to close case. (c/Form I)

 JUDGES DOCKET SHEET 07-08-1999 10:00:22

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :C1 PAGE :518 Case No. :CV195-0135CC

Trial judge : HONORABLE THOMAS J. BROWN III

MCDERMOTT, DAVID L. ATT:PROSE
REGISTER #180126

VS

CARNAHAN, MEL ATT:
MITCHELL, CRANSTON ATT:
WHITESIDES, JOANN ATT:

NATURE OF ACTION :DECLARATORY JUDGMENT
Disp:DISMISSED BY COURT FILED :02/01/95 Last Action : 03/22/95

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02/01/95 Plaintiff's Motion to Proceed in Forma Pauperis and Affidavit, filed. kav

02/01/95 Petition for Declaratory Judgment to Establish Plaintiff's Rights Under Plea Agreement, filed. (Form 5.4 sent to Plaintiff) kav

02/17/95 Plaintiff's Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. (Letter to F.O. of J.C.C.C.) kav

03/03/95 Plaintiffs Motion for Order Joining Person Needed for Just Adjudication, filed. kav

03/17/95 Mailed copy of Local Court Rules and Docket Sheet to Plaintiff. kav

03/08/95 Verification of Finance Officer of Inmate's Balance, filed. kav

03/21/95 After review of plaintiff's petition, the court determines that same fails to state a claim upon which relief may be granted; accordingly plaintiff's petition is dismissed; clerk to so notify Plaintiff. /s/ TJV

03/21/95 Plaintiff's Motion for Order to Permit Plaintiff to Proceed in Forma Pauperis, filed. kav

03/22/95 Plaintiff's First Amended Petition for Declaratory Judgment to Establish Plaintiff's Rights Under Plea Agreement -- or in the Alternative -- Petition for Writ of Habeas Corpus, filed. kav

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :D1 PAGE :50 Case No. :CV195-0400CC

Trial judge : HON BYRON L. KINDER

MCDERMOTT, DAVID L. ATT:PROSE
REGISTER #180126
VS
CARNAHAN, MEL ATT: JOHN W SIMON
GOVERNOR OF MISSOURI
MITCHELL, CRANSTON ATT:
CHAIRMAN OF MO BOARD OF PROBATION
NATURE OF ACTION :DECLARATORY JUDGMENT
Disp:COURT TRIAL FILED :04/03/95 Last Action :12/20/96

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04/03/95 Plaintiff's Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. kav

04/03/95 Plainiff's Motion to Proceed in Forma Pauperis, filed. kav

04/03/95 Petition for Declaratory Judgment to Establish Plaintiff's Rights to Parole Eligibility Under Plea Agreement and Statutory Law, filed. (Letter sent to F.O. of J.C.C.C.) kav

04/19/95 Verification of Finance Officer of Inmate's Balance, filed. kav

05/11/95 Ordered by the Judge of Division II that Plaintiff may proceed in Forma Pauperis. /s/BLK (c/Form I)

05/16/95 Summons issued by the Clerk directed to the Sheriff of Cole County. kav

05/30/95 Return on Service of Summons by the Sheriff of Cole County, filed. kav

06/07/95 Plaintiff's Amended Petition for Declaratory Judgment to Establish Plaintiff's Rights to Parole Eligibility Under Plea Agreement and Under Statutory Law, filed. kav

06/12/95 Certificate of Mailing Plaintiff's First Set of Interrogatories to Declaratory Judgment, filed. kav

Defendant Mitchell, filed. kav

06/12/95 Plaintiff's Notice of Proof of Service of Amended Petition for Declaratory Judgment to Establish Plaintiff's Rights to Parole Eligibility, filed. kav

06/12/95 Plaintiff's Notice to Amend Amended Pleading by Correction of Errors, Deletion and Interlineation, as a Matter of Course, filed. kav

06/12/95 Plaintiff's Amended Pleading by correction of Errors, Deletion, and Interlineation as a Matter of Course, filed. kav

06/15/95 Defendants' Motion for Enlargment of Time to Plead to Petition for Declaratory Judgment, filed. kav

06/22/95 Motion by Plaintiff for Order Granting the Appointment of Counsel, or in the Alternative Guardian Ad Litem, filed. kav

06/26/95 Petitioner's Motion in Opposition to Defendant's Motion for Enlargement of Time to Plead to Petition for Declaratory Judgment, filed. kav

07/07/95 Respondents' Motion to Dismiss for Failure to State A Claim on Which Relief can be Granted or, in the Alternative For Judgment on

	the Pleadings, filed. sm
07/11/95	Respondents' Notice of Intent to Call up Dispositive Motion, filed. llr
07/21/95	Respondent's Amended Notice of Intent to Call Up Dispositive Motion, filed. meh
07/27/95	Plaintiff's Motion to Hold Proceedings in Abeyance Pending Disposition of Randolph County Action, filed. kav
07/27/95	Plainitff's Motion in Opposition to Defendant's Motion to Dismiss for Failure to State a Claim on Which Relief Can Be granted or, in the Alternative, for Judgment on the Pleadings, filed. kav
08/03/95	Respondents' Suggestions in Opposition to Motion to Hold Proceedings in Abeyance, filed. llr
08/09/95	Plaintiff's Motion for Order Compelling Answers to Interrogatories and Notice, filed. kav
08/09/95	Plaintiff's Motion to Supplement Plaintiff's Motion in Opposition to Defendant's Motion to Dismiss for Failure to State a Claim on Which Relief Can Be Granted, or in the Alternative, for Judgment of the Plaedings and Notice, filed. kav
08/14/95	Relief denied. All pending motions are denied as moot. (c/Findings of Fact and Conclusions of Law)
08/28/95	Plaintiff's Motion to Vacate Judgment Denying Plaintiff Relief, or in the Alternative to Modify Judgment and Notice, filed. kav
09/07/95	Motion to Vacate Judgment Denying Plaintiff Relief, or in the Alternative to Modify Judgment, denied.
09/18/95	Plaintiff's Motion to Proceed in Forma Pauperis, Authorization for Release of Financial Information and Forma Pauperis Affidavit, filed. kav
09/18/95	Plaintiff's Notice of Appeal to Missouri Court of Appeals Western District, filed. kav
09/25/95	Ordered by the Acting Presiding Judge that Plaintiff may perfect his Appeal as a poor person. /s/PSJ (c/Order)
09/25/95	Copy of Plaintiff's Petition for Writ of Mandamus the Missouri Court of Appeals, Western District, filed. kav
10/02/95	Copy of letter from Clerk, Missouri Court of Appeals, Western District, acknowledging receipt of Notice of Appeal, filed. (WD #51688) kav
10/10/95	Appellant's Memorandum, filed. kav
11/07/95	Correspondence regarding Petition for Writ of Mandamus in the Mo. Supreme Court styled McDermott v. Kinder, Case No. 78472, received.
07/12/96	Mandate from the Mo. Court of Appeals, Western District, transferring cause to the Supreme Court of Mo., received and filed. (c/Mandate) llr
12/20/96	Mandate from the Supreme Court of Mo. affirming the judgment of this court, received and filed. (c/Mandate)

 JUDGES DOCKET SHEET 07-08-1999 10:00:34

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :D1 PAGE :462 Case No. :CV195-1212CC

Trial judge : HON BYRON L. KINDER

SMALL, LAWRENCE ATT: RONALD L ROTHMAN

VS

CORRECTIONS, DEPARTMENT OF ATT:
OF THE STATE OF MISSOURI

CARNAHAN, MEL ATT:
GOVERNOR OF THE STATE OF MISSOURI

SCHRIRO, DR. DORA B. ATT:
DIRECTOR MO STATE DEPARTMENT OF CORR.

LOMBARDI, GEORGE ATT:
DIRECTOR OF ADULT INSTITUTIONS

JONES, JIMMY ATT:
SUPERINTENDENT M.C.C.

NATURE OF ACTION :PERSONAL INJURY-OTHER

Disp:DISMISSED BY COURT FILED :10/10/95 Last Action : 04/04/96

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10/10/95 Petition for Personal Injury - Other, filed. kav

10/11/95 Summonses issued by the Clerk directed to the Sheriffs of Cole and Randolph Counties. kav

10/13/95 Return on Service of Summons by the Sheriff of Cole County,filed. llr

10/17/95 Return on Service of Summons by the Sheriff of Randolph Co., filed.

11/13/95 Defendants' Motion to Dismiss filed, with Suggestions in Support Thereof, filed, with Notice

12/28/95 Plaintiff's Withdraw of Notice of Hearing and Amended Notice of Hearing, filed. meh

02/21/96 Time Standards Notice sent for March 11, 1996, at 9:00 A.M.

03/07/96 Plaintiff's Motion for Continuance, filed. meh

03/08/96 Plaintiff's Motion for Continuance, filed. sm

03/11/96 Case passed to April 8, 1996, for trial setting or disposal. (Copy of docket sheet mailed to Attys. Rothman and Dean)

04/04/96 Parties appear by telephone conference. Hearing held. Plaintiff's petition dismissed for failure to state a claim. (See Order)d

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :E1 PAGE :560 Case No. :CV196-1098CC

Trial judge : HON BYRON L. KINDER

MISSOURIANS FOR TAX JUSTICE EDUCATION	ATT: LEWIS C GREEN
SOCIAL WELFARE/MISSOURI ASSOCIATION FOR	ATT: LEWIS C GREEN
GAINES, LARRY C.	ATT: LEWIS C GREEN
SHINN, LAVONNE J.	ATT: LEWIS C GREEN
VS	
TREASURER/STATE	ATT: JAMES R. LAYTON
BOB HOLDEN	
CARNAHAN, MEL	ATT:
GOVERNOR	
REVENUE/DEPARTMENT OF	ATT:
JANETTE M. LOHMAN, DIRECTOR	
ADMINISTRATION/COMMISSIONER OF	ATT:
RICHARD A. HANSON	
STATE OF MISSOURI	ATT:

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp:COURT TRIAL FILED :08/22/96 Last Action :01/06/97

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08/22/96	Petition for declaratory judgment and injunction, filed. mah
08/22/96	Petitioner's appear by Attorney Green; Respondent's appear by Attorney James Taylor; Plaintiff's Application for TRO taken up; Same sustained; TRO & Order to Show Cause entered; Parties to appear at 9:00 a.m. on 9/4/96 for further review and or setting of hearing before regular Judge of Division II. /s/ TJB (c/order)
08/22/96	Summons issued by the Clerk directed to the Sheriff of Cole County. mah
08/22/96	Temporary Restraining Order issued by the Clerk, directed to the Sheriff of Cole County. mah
08/28/96	Return on Service of Summons by the Sheriff of Cole County,filed. mah (2)
08/26/96	Return on Temporary Restraining Order by the Sheriff of Cole County filed. mah (2)
08/29/96	Plaintiff's Certificate of Service, filed. meh
08/30/96	Return on Temporary Restraining Order by the Sheriff of Cole Co., filed. (3) meh
08/30/96	Return on Service of Summons by the Sheriff of Cole County,filed.(3) meh
09/03/96	Phone conference held with attorneys. Oral argument set for September 25, 1996, at 9:30 A.M.
09/23/96	Plaintiffs' First Amended Petition for Declaratory Judgment and Injunction, filed. sm
09/24/96	Defendant's Answer to Petition for Declaratory Judgment and Injunction, filed. meh
09/25/96	Entry of Appearance of James R. Layton, filed. sm
09/25/96	Parties appear by their Attorneys. Plaintiff pesents evidence. Rests. Defendant presents evidence and defendant rests. Fifteen days to file memo and ten days to reply. Matter taken under advisement.
10/08/96	Missouri Catholic Conference's Motion for Leave to Appear as Amicus

Curiae in Behalf of Plaintiffs, filed. sm

10/08/96 Brief of Amicus Curiae Missouri Catholic Conference in Behalf of the Plaintiffs, filed. sm

10/10/96 Answer to First Amended Petition for Declaratory Judgment and Injunction filed by Defendants.

10/10/96 Defendants' Post Hearing Brief filed, with proposed Findings, etc. filed.

10/10/96 Plaintiffs' Trial Brief filed

10/10/96 Plaintiffs' Proposed Findings of Fact and Conclusions of Law, filed together with Plaintiffs' Proposed Judgment.

10/18/96 Defendant's Reply to Plaintiffs' Trial Brief, filed. meh

10/22/96 Plaintiff's Reply Brief, filed. meh

01/06/97 The Court takes up this matter and finds the issues in favor of the defendants. Plaintiffs' request for declaratory and injunctive relief denied. Plaintiffs' request for an award of expenses of litigation, including attorney's fees and costs denied. (See Findings of Fact, Conclusions of Law and Order and Judgment)

01/15/97 Attorneys appear by telephone conference reference the Stay Order pending resolution of appeal to be filed by Petitioner. Stay Order granted, by telephone.

02/13/97 Plaintiff's Notice of Appeal to the Mo. Supreme Court, filed. mah (fee pd by Plaintiff's Attorney to the local court)

02/28/97 Copy of Letter from the Missouri Supreme Court acknowledging receipt of Notice of Appeal, filed. meh (79708)

03/18/97 Transcript on Appeal, filed. meh

05/13/97 Indes of the Transcript and Index of the Legal Filed, filed. meh

01/30/98 Mandate from the Supreme Court of Mo affirming the judgment of this court, received and filed. (c/Mandate)

02/09/98 Telephone conference held in regard to Motion to Stay; same denied. /s/ BLK

 JUDGES DOCKET SHEET 07-08-1999 10:00:43

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :E1 PAGE :580 Case No. :CV196-1137CC

Trial judge : HONORABLE THOMAS J. BROWN III

ST. LOUIS HEALTH CARE NETWORK	ATT: DAVID M. HARRIS
ST. JOHN'S HEALTH SYSTEM, INC.	ATT: DAVID M. HARRIS
ST. JOHN'S REGIONAL HEALTH CENTER	ATT: DAVID M. HARRIS
VS	
STATE OF MISSOURI	ATT: MARK E. LONG
CARNAHAN, MEL	ATT:
IN HIS OFFICIAL CAPACITY AS GOVERNOR	
NIXON, JEREMIAH W. (JAY)	ATT:
ATTORNEY GENERAL	

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp:COURT TRIAL FILED :08/28/96 Last Action :06/18/98

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08/28/96	Petition for declaratory Judgment, filed. dd
08/29/96	Summons issued by the Clerk directed to the Rufus Harmon, Special Process Server. dd
09/04/96	Return on Service of Summons by the Special Process Server and Affidavit of Services, filed. dd
09/26/96	Answer of the Defendants, filed. mah
10/30/96	Court's Notice for Nov. 22, 1996 in Div. I at 1 p.m. filed
11/19/96	Attorney Duger's request for conference call at end of law day docket, made. M. Long to appear and call Ms. Duger when court is available.
11/22/96	Plaintiffs appear by attorney Dueker via teleconference. Respondents appear by AAG Long; parties advise that stipulation of fact is being prepared. Cause passed to January 21, 1997 at 1 p.m. for status review. TJB (copy of docket sheet to attorneys of record this date.)
01/21/97	Cause passed to Feb. 11, 1997. (See form I, copy of which is mailed to M. Long and D. Harris)
02/11/97	Defendants' Motion for Summary Judgment and Memorandum in Support Thereof, filed. sm
02/11/97	Cause passed to 3/21/97 at 1 p.m. for setting. (see form I, copy of which is mailed to attorneys Harris and Long this date)
03/13/97	Plaintiffs' Motion for Extension of Time, filed. sm
03/20/97	By agreement of counsel, cause set for argument on Motion for Summary Judgment at 2:30 p.m. on May 5, 1997. Parties so notified.
03/20/97	Plaintiff's Motion for Extension of Time and Notice, filed. meh (5/5/97)
03/25/97	Plaintiffs' Motion for Extension of Time and Notice, filed. (5/3/97 at 2:30 p.m.)
03/26/97	Plaintiffs' Motion for Extension of Time, filed. dd thereof, filed. dd
03/28/97	Plaintiffs' Opposition to Defendants' Motion for Summary Judgment and Cross-Motion for Summary Judgment, filed.dd
03/28/97	Plaintiffs' Memorandum in Opposition to Defendants' Motion For Summary Judgment and In Support of Cross-Motion for Summary Judgment, filed. dd
04/28/97	Defendants' Response to the Plaintifs' Cross-Motion for Summary

Division:I BOOK :E1 PAGE :580 Case No. :CV196-1137CC

Judgment and Memorandum in support thereof, filed. dd

05/05/97 Parties appear by respective counsel; hearing held, submitted and taken under advisement.

07/03/97 Findings of Fact, Conclusions of Law and Judgment entered. (copy to attorneys Dueker and Long this date)

08/05/97 Plaintiff's Notice of Appeal to Supreme Court of Missouri, filed. meh (State Agency, appeal fee not required)

08/08/97 Copy of Letter from the Clerk of the Supreme Court acknowledging receipt of Notice of Appeal, filed. meh

06/17/98 Mandate from the Supreme Court of Mo. affirming the judgment of this court, received and filed. (c/Mandate)

 JUDGES DOCKET SHEET 07-08-1999 10:00:48

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :J1 PAGE :107 Case No. :CV199-0671CC

Trial judge : HONORABLE THOMAS J. BROWN III

ROSSINI, MICHAEL P. ATT:PROSE
REGISTER #177831

VS

CARNAHAN, MEL ATT:
GOVERNOR STATE OF MISSOURI

SCHRIRO, DORA B. ATT:
DIRECTOR OF MO DEPT. OF CORRECTIONS

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp: FILED :06/25/99 Last Action :07/06/99

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05/20/99 Verification of Finance Officer of Inmate's balance, filed. db

05/20/99 Petition for Declaratory Judgment, filed. db

05/25/99 Petitioner granted leave to proceed in forma pauperis; Petitioner has 30 days to pay $46.79 as the initial partial filing fee or this case will be dismissed. /s/ TJB (Certified copy to Petitioner this date) db

06/25/99 Case dismissed for Petitioners failure to pay initial partial filing fee. (Certified copy of docket sheet to petitioner this date) db

06/25/99 Received $100 from IFO for filing fee. db

06/25/99 Cause reinstated on active trial docket. /s/ BLK

07/02/99 Upon review of Petitioner's Petition, the Court hereby determines that Defendant Carnahan is not a proper party Defendent to this action and hereby dismiss same; Clerk directed to issue summons forwith to Defendant Schriro. TJB

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :D1 PAGE :616 Case No. :CV195-1517CC

Trial judge : HONORABLE THOMAS J. BROWN III

MISSOURI MUNICIPAL LEAGUE	ATT: DAVID G. BEESON
CITY OF PERRYVILLE, MISSOURI	ATT:
EHLERS, CALVIN	ATT:
BOWLES, MARK	ATT:
VS	
CARNAHAN, MEL, GOVERNOR STATE OF MISSOURI	ATT: KAREN A. KING MITCHELL
ECONOMIC DEVELOPMENT/DEPARTMENT OF	ATT: KAREN A. KING MITCHELL
DRISKILL, JOSEPH, DIRECTOR DEPARTMENT OF ECONOMIC DEVELOPMENT	ATT: KAREN A. KING MITCHELL
NIXON, JEREMIAH (JAY) MISSOURI ATTORNEY GENERAL	ATT: KAREN A. KING MITCHELL

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp:COURT TRIAL FILED :12/21/95 Last Action :03/10/97

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12/21/95 Petition for Declaratory Judgment and Injunctive Relief, filed. mah

12/26/95 Summons issued by the Clerk directed to the Sheriff of Cole County. mah

12/28/95 Return on Service of Summons by the Sheriff of Cole County,filed. dd

01/19/96 Defendants' Answer; Motion For Judgment On the Pleadings; Motion to Strike Parties To and Parts of the Petition, filed. kav

02/23/96 Court's Status Review Notice for March 6, 1996 filed

03/06/96 Parties appear by counsel Molteni and Beeson via teleconference. Motion to Dismiss set for April 15 Law Day and status review; matter set for hearing at 9 a.m. on Monday, June 10, 1996 in Division I. Parties notified.

04/15/96 Motions to Dismiss passed to May 20. Parties to file Suggestions in Support within 10 days; clerk to so notify parties. (Copy of form I mailed to AG M. Long and David Beeson this date)

04/15/96 Suggestions in Support of Answer; Motion for Judgment on the Pleadings, Motion to Strike Parties to and Parts of the Petition, filed by defendants.

04/16/96 Suggestions in Opposition to Defendant's Motion filed by Pltf.

05/06/96 Plaintiff's Certificate of Service, filed. meh

05/17/96 Motion by Missouri Rural Electric Cooperative bot Leave to Intervene as Defendant and Suggestions in Support of, filed. kav

05/17/96 Defendant's Certificate of Service, filed. meh

05/20/96 Plaintiff's Supplemental Suggestions in Opposition to Defendants' Answer, Motion for Judgment on the Pleadings; Motion to Strike Parties to and Parts of the Petition, filed. dd (fax)

05/22/96 Defendant's Motion for for Judgment on the Pleading, Motion to Strike to Parties to and Parties to the Petition Overruled; Clerk to so notify parties; Cause remains set for hearing at 9:00 a.m. 6/10/96. TJB

05/22/96 So notified this date.

06/04/96 Plaintiffs' Motion for Continuance & Certificate of Service, filed. mah

06/04/96 Defendants' Response to Motion to Intervene and Answer to Cross

Claim, filed. mah

06/05/96 Plaintiff's Motion for Continuance granted. Cause passed to July 15, 1996 at 1:00 p.m. for status review. Parties notified. (Copies of "So Ordered" mailed to parties this date)

06/07/96 Plaintiff's Suggestions in Opposition to Motion of Missouri Rural Electrial Cooperative to Intervene, filed. mah

06/19/96 At plaintiff's request, without objection of defendants, plaintiff is granted additional 15 days to file First Amended Petition. Clerk to so notify parties. TJB
(copy of docket sheet mailed to attorneys Beeson, Markenson and Long this date)

07/15/96 Plaintiff's First Amended Petition for Declaratory Judgment and Injunctive Relief, filed.

07/15/96 Petitioner's Motion for Joinder of Parties and Motion for Leave to Amend Plaintiffs' Petition, filed.

07/15/96 The State of Missouri appeared through counsel, The Attorney General's Office; Missouri Municipal League appeared through counsel David Beeson; the court granted Petitioner's Leave to File Amended Complaint. The State waived formal service and accepted process with respect to the Amended complaint. The Court ordered the State to answer by August 15, 1996. The Court asked the parties to submit a discovery schedule to be completed by September 16, 1996 and appear on that date for scheduling trial setting. /s/TJB
(c/Form I)

08/15/96 Defendants' Answer to the First Amended Petition, filed. kav

08/30/96 Defendant's Certificate of Service, filed. meh

09/16/96 Trial set for 9 a.m. Nov. 14, 1996. (see form I) (Copy mailed to attorneys AAG Long, Beeson and Baumhoer this date)

09/19/96 Intervenor's Notice (Intervene), filed. meh (10/21/96)

09/23/96 Cause to be reset by parties due to unavailability of judge on Nov. 14. (see letter)

10/15/96 Missouri Rural Electric's Response to Defendants' Opposition to the Motion to Intervene, filed. meh

10/18/96 Correspondence from Attorney David G. Beeson Concerning Intervention set for Oral Arguement on Oct. 21, 1996, filed. meh

10/21/96 Parties appear by counsel Trimmer and Long; motion to intervene by Missouri Rural Electric denied; parties to brief issue and then obtain a setting. (See form I) Copy to Long, Trimmer and Beeson this date.

10/30/96 Court's Notice for December 16, 1996 Law Day filed

11/14/96 Plaintiff's Motion to Withdraw as Party Plaintiff, filed. meh

12/16/96 Plaintiffs' Motion for Summary Judgment and Memorandum in Support Thereof, filed. sm

12/16/96 Defendants' Motion for Summary Judgment and Suggestions in Support of, filed. kav

12/16/96 Motions for Summary Judgment filed. Cause passed for responses to such motions. If no responses, then the case is submitted as filed. (Copy of form I to AAG Long and attorney D. Beeson this date)
Cause passed to January 21, 1997 law day for status.

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :D1 PAGE :616 Case No. :CV195-1517CC

01/21/97	Plaintiff's Response to Defendants' Motion for Summary Judgment and Memorandum in response to Defendants' Motion for Summary Judgment, filed. dd
01/21/97	Cause submitted on Motions for Summary Judgment by both parties for decision by court. TJB (See form I)
03/10/97	Judgment entered. (copy mailed this date to D. Beeson and K. Mitchell & M. Long)

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :A1 PAGE :610 Case No. :CV193-0944CC

Trial judge : HON BYRON L. KINDER

BERRY, WAYNE	ATT: JEROME WALLACH
DOMIJAN, JOSEPHINE	ATT:
JAMES, MELVIN	ATT:
RADEACKAR, NORMA	ATT:
WILLIAMS, DALE	ATT:
WULLE, JANE	ATT:
FENTON, CITY OF/MISSOURI	ATT:
A FOURTH CLASS CITY AND	
MUNICIPAL CORPORATION	ATT:
VS	
REVENUE/DIRECTOR OF	ATT: KURT P. VALENTINE
JANETTE LOHMAN	
STATE OF MISSOURI	ATT:
ATTORNEY GENERAL/MISSOURI	ATT:
JAY NIXON	
CARNAHAN, MEL/GOVERNOR	ATT:
STATE OF MISSOURI	
ST. LOUIS COUNTY/INTERVENOR	ATT: JAMES H. WHITE
LADUE, CITY OF/INTERVENOR	ATT:

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp:COURT TRIAL FILED :07/19/93 Last Action :11/13/95

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07/19/93 Verified Petition for Declaratory Judgment and Injunctive Relief, filed. mah

07/23/93 Summons issued by the Clerk directed to the Sheriff of Cole County. mah

07/26/93 Plaintiffs' Amendment of Petition By Interlineation, filed. sg

07/29/93 Return on Service of Summons by the Sheriff of Cole County,filed. mh

08/17/93 Return on Service of Summonses (3) by counsel Jerome Wallach, filed. llr

08/23/93 Motion of St. Louis County, Missouri Pursuant to Civil Rules 52.12(a) and 52.12 (b) to Intervene as Party Intervenor-Defendant and For Leave to File Responsive Pleadings, filed. sg

08/23/93 Proposed Answer of Intervenor-Defendant St. Louis County, Missouri, filed. sg

08/25/93 Motion for Extensin of Time, filed by Attorneys for Defendants.

08/25/93 Defendants are hereby granted thirty (30) additional days to file their answer or to otherwise respond to the Petition. (See Form 1-copies not necessary)

09/22/93 Defendant's Answer, filed. mah

10/06/93 Motion of Plaintiffs City of Sunset Hills, Missouri, Kenneth L. Vogel and Keven G. Wilke to Consolidate and Notice, filed. mah

10/18/93 Parties Consent to Order of Consolidation, filed. sg

10/18/93 Case Nos. CV193-969CC, CV193-944CC and CV193-1150CC consolidated. (c/Order)

10/28/93 Movant St. Louis County's Notice, filed. cp

11/08/93 St. Louis County permitted to intervene and file its answer or other responsive pleadings within 7 days. (c/order)

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :A1 PAGE :610 Case No. :CV193-0944CC

11/18/93	Answer of Intervenor-Defendant St. Louis County, filed. mah
11/19/93	Plaintiff's Motion to Consolidate, filed. mah
11/19/93	Ordered that CV193-1393CC be consolidated with CV193-944, CV193-969CC, CV193-1150. (See Order)
12/02/93	Intervenor's Motion for Leave to Intervene as a Party Plaintiff; Intervenor's Notice of Hearing; Intervenor City of Ladue's Petition for Declaratory Judgment, filed. mah
12/13/93	Response of St. Louis County to Motion of City of Ladue to Intervene filed. mah
01/10/94	Deft. City of Bridgeton's Consent to Intervention of City of Ladue, filed. llr
01/10/94	Plaintiff Berry's Consent to Intervention of City of Ladue, filed.
01/10/94	Deft. St. Louis County's Consent to Intervention of City of Ladue, filed. llr
01/10/94	Deft. City of Sunset Hills Consent to Intervention of City of Ladue, filed. llr
01/10/94	Pltf. City of Brentwood's Consent to Intervention of City of Ladue, filed. llr
01/13/94	Motion of Plaintiffs Bridgeton and Fehrenbacher for Leave to File First Amended Petition, filed. llr
01/14/94	Plaintiffs City of Brentwood and George W. Clements, Jr.'s Motion for Leave to file First Amended Petition, filed. llr
01/24/94	Amendment to Answer of Intervenor-Defendant St. Louis County, filed in Case No. CV193-944CC. llr
01/25/94	Plaintiffs Berry, et al's Motion for Leave to File Plaintiffs' First Amended Petition (w/First Amended Verified Petition for Declaratory Judgment and Injunctive Relief), filed in Case No. CV193-944CC. llr
02/03/94	Consent of Defendants to Filing of First Amended Petitions, filed. llr
02/03/94	Defendants' Consent to Intervention of City of Ladue, filed. llr
02/07/94	Plaintiffs' Notice of Motion Hearing, filed. sg
02/04/94	Plaintiffs' Motion for Summary Judgment and Suggestions in Support thereof, filed. llr
02/10/94	Consent of Intervenor/Defendant St. Louis County to Filing of First Amended Petitions, filed. llr
02/14/94	City of Ladue's Motion to Intervene granted. (c/Order)
02/14/94	Plaintiffs' Motion for Summary Judgment, as Technically Corrected to Add Paragraph Numbers to Facts, filed. llr
02/24/94	Defendants' Answer to First Amended Verified Petition for Declaratory Judgment and Injunctive Relief of Plaintiff Wayne Berry, filed in Case No. CV193-944CC. llr
02/24/94	Defendants' Answer to Plaintiff City of Sunset Hills' First Amended Verified Petition for Declaratory Judgment and Injunctive Relief, filed in Case No. CV193-944CC. llr
02/24/94	Defendants' Answer to First Amended Petition for Declaratory Judgment and Injunctive Relief of Plaintiffs Bridgeton and Fehrenbacher, filed in Case No. CV193-944CC. llr
02/24/94	Defendants' Answer to City of Brentwood's First Amended Petition for Declaratory Judgment and Injunctive Relief, filed in Case No.

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :A1 PAGE :610 Case No. :CV193-0944CC

CV193-944CC. llr

03/01/94 Plaintiff's Memorandum to Clerk and Affidavit of Wayne Berry; Josephine Domijam; Melvin James; Joseph J. Maurath; Claire Pyne; Norma Lee E. Radeaker; Terry Sharp; Terry Whaley; Dale Williams; Jane Wulle, filed. mah

03/04/94 City of Fenton's Memorandum to Clerk, filed. mah

03/21/94 Answer of St. Louis County to City of Ladue's Petition, filed. kav

03/21/94 Answer of St. Louis County to First Amended Petition of Brentwood and Clements, filed. kav

03/21/94 Answer of St. Louis County to First Amended Petition of Fenton, Berry, Domijan, James, Radeackar, Williams, and Wulle, filed. kav

03/21/94 Answer of St. Louis County to First Amended Petition of Sunset Hills, Vogel, and Wilke, filed. kav

03/21/94 Answer of St. Louis County to First Amended Petition of Bridgeton and Fehrenbacher, filed. kav

03/25/94 Defendants Janette Lohman, Missouri Attorney General and State of Missouri's Suggestions in Opposition to Plaintiffs' Motion for Summary Judgment, filed. kav

03/25/94 Defendants Janette Lohman, Missouri Attorney General and State of Missouri's Opposition to Plaintiffs' Motion for Summary Judgment, Judgment, filed. kav

03/28/94 Intervenor-Defendant's Response and Suggestions in Opposition to Plaintiffs' Motion for Summary Judgment, filed. (c/Appendix A) kav

03/31/94 Parties appear by their respective attorneys. Plaintiff's Motion for Summary Judgment presented to court and both sides argued. Case taken under advisement. Plaintiff given 30 days to file reply brief.

03/31/94 Motion for Leave to Amend St. Louis County's Answer to First Amended Petition of Fenton and Fenton Citizens, filed. llr

04/18/94 Amended Joint Petition of Plaintiffs For Declaratory Judgment and Injunctive Relief, filed. mah

05/02/94 Plaintiffs' Reply to Respondent/Defendants' Suggestions in Opposition to Plaintiffs' Motion for Summary Judgment, filed. sg

06/02/94 Motion of Plaintiff's for Leave to File Supplemental Memorandum in Support of Motion for Summary Judgment, filed. kav

06/02/94 Plaintiff's Supplemental Memorandum in Support of Plaintiff's Motion for Summary Judgment, filed. kav

06/09/94 Answer of Intervenor-Defendant St. Louis County to Plaintiffs' Amended Joint Petition for Declaratory Judgment and Injunctive Relief, filed. mah

06/09/94 Plaintiff's Suggestions of Death, filed. mah

06/10/94 Defendant St. Louis County's Motion for Summary Judgment; Suggestions in Support of Defendant St. Louis County's Motion for Summary Judgment, filed. mah

06/16/94 Answer of Defendants Carnahan, Nixon, and Lohman to Plaintiffs' Amended Joint Petition for Declaratory Judgment and Injunctive Relief, filed. mah

07/07/94 Plaintiffs' Memorandum in Opposition to Defendant's Motion for Summary Judgment, filed.

07/26/94 Intervenors' Motion to Intervene, filed. mah

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :A1 PAGE :610 Case No. :CV193-0944CC

07/26/94	Answer of Intervenor-Defendants to Amended Joint Petition, filed. mah
08/01/94	Parties appear by their attorneys. Motions to interevene presented by all parties and argued. All motions for Summary Judgment taken under advisement.
08/01/94	Village of Bel Nor's Motion to Intervene; Cities of Bella Villa Motion to File Affidavit in Support of City Intervenors' Motion to Intervene, filed. mah
08/01/94	Supplemental Affidavit of Michael Duncan, filed. mah
08/19/94	Intervenor's Filing Memorandum to Clerk; Supplemental Affidavit of Michael Duncan, filed. mah
10/21/94	The Court again takes up this matter and denies Plaintiffs Motion for Summary Judgment and grants Summary Judgment for de-dants and defendant intevenor. (See Order)
11/21/94	City of Sunset Hills Notice of Appeal to Supreme Court of Missouri, filed. (fee pd directly by appellant's attorney) sg
11/21/94	City of Bridgeton's Notice of Appeal to Supreme Court of Missouri, filed. (fee pd by appellant's atty). sg
11/21/94	City of Fenton's Notice of Appeal to Supreme Court of Missouri, filed. (fee pd by appellant's atty). sg
11/23/94	City of Ladue's Notice of Appeal to Supreme Court of Missouri, filed. (fee pd by Appellant's atty) mah
11/23/94	City of Brentwood's Notice of Appeal to Supreme Court of Missouri, filed. (fee pd direct by appelant's atty) mah
12/06/94	Letters (5) from the Clerk, Supreme Court of Mo., acknowledging receipt of the Notice of Appeal, filed. (Supreme Ct. # 77524) llr
12/06/94	Entry of Appearance of AAG Kurt P. Valentine as Attorney for Respondents, filed. llr
02/21/95	Appellants City of Bridgeton and Thomas Fehrenbacher's Legal File, filed. mah
04/06/95	Appellants City of Bridgeton and Thomas Fehrenbacher's Legal File Index, filed. mah
11/13/95	Mandate from the Supreme Court of MO. affirming the judgment of this court, received and filed. (c/Mandate) llr

 JUDGES DOCKET SHEET 07-08-1999 10:01:06

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :C1 PAGE :235 Case No. :CV194-1240CC

Trial judge : HON BYRON L. KINDER

PANEKY, V. MARVALENE	ATT:PROSE
CONSTITUTIONAL JUSTICE SOCIETY/PRES OF	
VS	
CARNAHAN, MEL/INDIV. AND AS	ATT:
GOVERNOR OF THE STATE OF MISSOURI	
GRIFFIN, BOB/INDIV. AND AS	ATT:
SPEAKER OF THE HOUSE OF REPRESENTATIVES	
CALLAHAN, RICHARD/INDIV. AND AS	ATT:
PROSECUTING ATTY OF COLE COUNTY	
NIXON, JAY/ATTY GENERL	ATT:

NATURE OF ACTION :OTHER EXTRAORDINARY REMEDY

Disp:DISMISSED BY COURT FILED :10/05/94 Last Action : 10/05/94

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10/05/94 Emergency Petition for Writ of Prohibition or as an Alternative Emergency Petition for Injunction with Application for Preliminary Order and Suggestions in Support Thereof, filed. sg

10/05/94 Service Upon the Respondents and Call for Hearing, filed. sg

10/05/94 Writ of Prohibition denied due to lack of jurisdiction. (c/ Form I)

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:I BOOK :H1 PAGE :420 Case No. :CV198-0575CC

Trial judge : HONORABLE THOMAS J. BROWN III

OPPONENTS OF PRISON SITE, INC.	ATT: PAUL SIMON JR.
A MO NOT-FOR-PROFIT CORP.	
MAHURIN, JANICE	ATT: PAUL SIMON JR.
SMITH, JANET R.	ATT: PAUL SIMON JR.
COX, PATSY J.	ATT: PAUL SIMON JR.
THOMAS, PATRICIA E.	ATT: PAUL SIMON JR.
NEUBRAND, GREGORY	ATT: PAUL SIMON JR.
NOYES, CRYSTAL	ATT: PAUL SIMON JR.
VS	
CARNAHAN, THE HONORABLE MEL	ATT: MARK E. LONG
HIS CAPACITY AS GOVERNOR/ST OF MO	
CORRECTIONS, MISSOURI DEPARTMENT	ATT: MARK E. LONG
HANSON, RICHARD A.	ATT: MARK E. LONG
COMMISSIONER/OFFICE OF ADMINISTRATION	
ALLEN, RANDALLL G.	ATT: MARK E. LONG
DIR. DIV./DESIGN & CONSTRUCTION	
BONNE TERRE, CITY OF	ATT: GARY W. WAGNER
A MUNICIPAL CORP/THIRD CLASS	
BENEFICIAL SERVICES CORP.	ATT: DAVID W. HARLAN
MO NOT-4-PROFIT CORP	
PUBLIC FACILITIES CORP. II, MO	ATT:
MO NOT-4-PROFIT CORP	

NATURE OF ACTION :DECLARATORY JUDGMENT

Disp:COURT TRIAL FILED :04/28/98 Last Action :04/29/99

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04/28/98 Petition for Declaratory Judgment and Injunctive Relief, filed
04/28/98 Petitioner's Memorandum for Clerk--Service Intructions, filed.db
04/29/98 Summons issued by the Clerk directed to the Sheriff of Cole County. filed. db (4)
04/29/98 Summons issued by the Clerk directed to the Special Process Server. db (3)
05/01/98 Return on Service of Summons by the Sheriff of Cole County, filed.
05/11/98 Respondents' Motion to Consolidate and Expedite Hearings and to Set Bond and Notice of Hearing, filed. sm
05/15/98 Respondent MPFC's Motion to Consolidate and Expedite Hearings and to Set Bond and Notice of Hearing, filed. sm
05/18/98 Parties appear by counsel Long, Hana and Simon; Court takes up motions to consolidate, etc. Petitioner waives request for temporary restraining order. Parties to reappear on June 1, 1998 for trial setting (see form I)
05/19/98 Answer of Respondents Carnahan, Hanson, Allen and Department of Corrections, filed. meh
05/21/98 Entry of Appearance of David W. harlan and Melanie R. King on Behalf of Respondent Beneficial Services, filed. meh
05/22/98 Answer of Defendant Missouri Public Facility Corporation II, filed.e
05/29/98 Answer of Defendant Beneficial Services Corporation, filed. mah
05/29/98 Defendant's Answer, filed. mah (City of Bonne Terre, Mo.)
06/01/98 Attys. Gardner and John Haug appear. Case set for evidentiary

hearing at 9:00 a.m. on Tuesday, June 30, 1998, all day. Paul Simon, Jr., Counsel for petitioners, appears not. (c/Form I)

06/08/98 Respondent Missouri Public Facilities Corporation II's Motion to Strike, filed. kav

06/22/98 Six (6) Respondent's Notice of Depositions, filed. kav

06/24/98 Notice of Depositions, filed by Plaintiffs.

06/25/98 Pretrial memorandum of defendant Missouri Public Facilities Corp. II filed.

06/30/98 Plaintiffs appear by attorney Simon; Defendant Carnahan, MDC, Hanson and Allen appear by AAG Long & Gardner; Defendant City of Bonne Terre appears not; defendant Beneficial Service Corp. appears by attorney Melanie King; defendant Mo. Public Facilities Corp. appears by attorney Glagiovanni; Stipulation of Facts, filed. Stipulation of Exhibits filed; defendant Beneficial Services Corp.'s Motion for Protective Order filed. Protective Order entered. Defendant Beneficial Service Corp's pretrial memorandum filed; Plaintiffs' request for Findings of Fact and Conclusions of Law; Plaintiffs' trial brief filed. Cause submitted on stipulatted evidence; argument; parties to submit proposed Findings of Fact and Concllusions of Law in five days. Same submitted and taken under advisement. TJB

07/08/98 Findings of Fact, Conclusions of Law, Order and Judgment entered. (c/FFCL & Judgment) (cert. copies directed to Attys. Simon, Long, Wagner, King & Colagiovanni)

08/17/98 Plaintiff's Notice of Appeal to the Mo. Court of Appeals, Western District, filed. mah (fee pd direct to the Appellant Court by the Pltf. Atty.)

08/21/98 Copy of letter from Clerk, Missouri Court of Appeals, Western District, acknowledging receipt of Notice of Appeal, filed. (WD #56246)

08/27/98 Defendant, Missouri Public Facilities' Notice of Appeal to the Mo Court of Appeals, Western District, filed. mah (fee pd direct by Deft. to the Appellant Court)

09/09/98 Copy of letter from Clerk, Missouri Court of Appeals, Western District, acknowledging receipt of Notice of Appeal, filed. (WD #56325)

09/17/98 Legal File Index, filed. mah

09/30/98 FAX copy of Mandate from the Mo. Court of Appeals, Western Dist., dismissing the appeal (cross-appeal) herein, received and filed. (c/Mandate)

04/29/99 Mandate from the Mo. Court of Appeals, Western District, affirming the judgmen tof this court, received and filed. (c/Mandate)

JUDGES DOCKET SHEET

07-08-1999 09:59:26

IN THE CIRCUIT COURT OF COLE COUNTY, MISSOURI

Division:II BOOK :G1 PAGE :296 Case No. :CV197-1082CC

Trial judge : HON BYRON L. KINDER

LANGSTON, EARNEST LEE ATT:PROSE
REGISTER #23783

VS

CARNAHAN, GOV. MEL ATT:

NATURE OF ACTION :OTHER CIRCUIT DIV. MISCELLANEOUS
Disp:DISMISSED BY COURT FILED :07/30/97 Last Action : 09/02/97

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07/30/97 Petitioner's Affidavit in Support of Request to Proceed in Forma Pauperis and Authorization for Release of Financial Information, filed. kav

07/30/97 Petition for Writ of Mandamus, filed. (Form 5.4 returned to Petitioner for Notary) kav

08/12/97 Form 5.4 returned without it being notarized with Correspondence explaining why. (File to Judge Kinder) kav

09/02/97 Writ of Mandamus denied for failure to state a cause of action on which relief can be granted. /s/ BLK

FD-263 (Rev. 4-30-85)

8/5/99

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
ST. LOUIS	Bureau	8/3/99	07/29/1999

TITLE OF CASE	REPORT MADE BY	TYPED BY:
MELVIN EUGENE CARNAHAN, aka Mel Carnahan	SA [redacted]	lgs
	CHARACTER OF CASE: LEVEL II - PAS	

REFERENCE

Bureau EC to St. Louis dated 07/28/1999.

(X)

ADMINISTRATIVE

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

All investigation completed at St. Louis.

APPROVED WGE/Pbm SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
(2) Bureau (161B-HO-1087906)
(ATTN: PSS [redacted]
SIGBIU, Room 4371)

Faxed 8/3

1 CC DESTROYED CDW

Buded 7.27.99

UPLOADED TO ARCHIVE
BY SL 8-3-99

161B-NQ-1087906-13

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Notations

3/Rt 371

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COVER PAGE

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FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [redacted] Office: ST. LOUIS
Date: August 3, 1999

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Case ID #: 161B-HQ-1087906

Title: MELVIN EUGENE CARNAHAN

Character: LEVEL II - PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION

Synopsis: Neighbors provided favorable comments. Arrest checks at Rolla, Missouri were negative. A check of United States Attorney's office records, Eastern District of Missouri, St. Louis, Missouri, failed to locate any derogatory information.

DETAILS: at St. Louis, Missouri

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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St. Louis Division
at Rolla, Missouri

NEIGHBORHOOD

13750 County Road 4030
Rolla, Missouri 65401

The following investigation was conducted by Special Agent [redacted] at Rolla, Missouri on July 29, 1999:

[redacted] date of birth [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of Melvin Eugene Carnahan. [redacted] provided the following information.

[redacted] is the [redacted] and has resided at this current location for approximately [redacted] believes the candidate is of sound moral character and enjoys an excellent reputation in the State of Missouri. He commented even his political opponents speak highly of his character and integrity. The candidate is a "down to earth guy" who, when he is at home in Rolla, Missouri, does his share of the work around the farm. He believes the candidate to be honest, trustworthy and a loyal United States citizen. [redacted] is unaware of any use of drugs, abuse of prescription drugs or use of alcohol by the candidate. He is further unaware of any behavior or circumstances, which if known by another individual could be used to coerce or influence the candidate. [redacted] knows the candidate can withstand any security check going back as far as you would want to check. [redacted] stated he would highly recommend the candidate for a position of trust.

[redacted] date of birth [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of Melvin Eugene Carnahan. [redacted] provided the following information.

[redacted] has [redacted] since he moved into his current residence approximately [redacted] years ago. He indicated he does not socialize with the candidate but [redacted] is unaware of any improper activities, including use of illegal

drugs, abuse of prescription drugs or the use of alcohol. believes the candidate enjoys a good reputation in the community and considers the candidate to be a loyal citizen. is unaware of any questionable associates or biases exhibited by the candidate. is unaware of any behavior or circumstances that, if known by another individual, could be used to coerce or compromise the candidate or would have any bearing on the candidate's suitability for employment or access to sensitive information. would recommend the candidate for a position of trust.

date of birth telephone number was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of Melvin Eugene Carnahan. provided the following information.

has resided approximately years and has known the candidate for at least years. described the candidate as being of outstanding "moral character" and knows of no one having a better reputation in the community. has never observed the candidate exhibit any bias or prejudice and is unaware of any membership in an organization or group that restricts its membership based on race, sex or religion. believes the candidate to be a man of outstanding integrity and loyalty to the United States Government. is unaware of any behavior or circumstances which if known by any individual could be used to coerce or influence the candidate or could have any bearing on the candidate's suitability for employment or access to sensitive information. highly recommends the candidate for a position of trust with the United States Government.

date of birth telephone number was advised of the identity of the interviewing Agent and that he was being contacted in connection with the background investigation of Melvin Eugene Carnahan. provided the following information.

has lived at his current residence for approximately years, but has known the candidate for approximately years. described the candidate as an honest, trustworthy individual who enjoys an

excellent reputation in the community. He indicated he is unaware of any use of drugs, abuse of prescription drugs or abuse of alcohol by the candidate. [redacted] indicated the candidate is seldom at his residence except on weekends when he usually sees him out walking the country roads. [redacted] is unaware of any behavior or circumstances that could be used to coerce or influence the candidate or have any bearing on the candidate's suitability for employment or access to sensitive information. Brookshire believes the candidate to be a loyal United States citizen and would recommend the candidate for a position of trust.

It is noted the candidate lives in a rural area of Missouri and no other neighbors could be located.

161B-HQ-1087906
MLS:lgs

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St. Louis Division
at Rolla, Missouri

ARREST CHECKS

The following investigation was conducted by Special Agent [redacted] at Rolla, Missouri on July 29, 1999:

[redacted] Records Section, Rolla Police Department, 1007 Elm Street, Rolla, Missouri, telephone number 573-364-1213, advised, after review of her records, no arrest/criminal record could be located identifiable to the candidate.

A review of the arrest records at the Phelps County Sheriff's Department, 2nd and Main Street, Rolla, Missouri, telephone number 573-364-1818, failed to locate any arrests identifiable with the candidate.

161B-HQ-1087906
JLS:lgs

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St. Louis Division
at St. Louis, Missouri

UNITED STATES ATTORNEY'S OFFICE CHECKS

The following investigation was conducted by Investigative Analyst [redacted] on Thursday, July 29, 1999: b6 b7C

Inquiry at the Office of the United States Attorney, Eastern District of Missouri, 1114 Market Street, St. Louis, Missouri, determined no reference to the candidate could be located in the office pending or closed criminal or civil files.

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U.S. Department of Justice
Federal Bureau of Investigation

Washington, D. C. 20535-0001
AUG 4 1999

BY COURIER

Honorable Charles F. C. Ruff
Counsel to the President
The White House
Washington, D.C.

Dear Mr. Ruff:

Based on a request received from your office dated June 29, 1999, a Level II background investigation has been conducted concerning Mr. Melvin Eugene Carnahan. Enclosed is a summary memorandum containing the results of this investigation, along with copies of three interviews providing details of information contained in this summary memorandum.

Mr. Carnahan advised that in 1960 the Internal Revenue Service (IRS) disallowed a deduction he made on his income taxes, and he was accessed less than $300 in taxes and penalties, which he resolved. Your office may wish to contact the IRS directly concerning this matter.

An FBI background investigation was conducted concerning Mr. Carnahan in 1994. The results of that investigation are incorporated in the enclosed summary memorandum.

161B-HQ-1087906-14

Also enclosed is a copy of your June 29, 1999, request which has been initialed and dated by a representative of the FBI's Office of General Counsel.

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM ☐

RETURN TO [] SEE NOTE ON PAGES TWO&THREE
ROOM 4371

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FBI/DOJ

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Honorable Charles F. C. Ruff

This completes our investigation. Should you have any questions, they may be directed to Supervisory Personnel Security Specialist [redacted] or myself, telephone number 202-324-2568.

Sincerely yours,

[redacted]

Chief
Special Inquiry and General
Background Investigations Unit

Enclosures (5)
RSH:rsh (3)
CLOSED

NOTE: This case was opened on 07/01/1999. Mr. Carnahan is currently the Governor of the State of Missouri in Jefferson City, Missouri. He is being investigated for an unspecified Presidential appointment, which requires Senate confirmation.

During his routine interview conducted by the FBI, and subsequent recontact, Mr. Carnahan provided his comments concerning civil suits, a bar complaint, membership in a social club, and stated he had paid back taxes to the IRS. He also provided his comments concerning allegations made during his 1994 FBI background investigation that he tried to elicit support to oppose an amendment on the state ballot by offering political favors. Being provided to the White House are copies of the following: Mr. Carnahan's initial interview from KC report dated 07/20/1999; and subsequent recontact from KC report dated 07/29/1999. (It should be noted that PSS [redacted] did not direct investigators to obtain Mr. Carnahan's comments concerning the 1994 allegations.)

One individual advised that Mr. Carnahan will sometimes ignore the spirit of the law to push his own political agendas. This individual did, however, recommend him for a position of trust and responsibility. Also being provided to the White House is a copy of an interview of [redacted] from KC report dated 07/27/1999.

Mr. Carnahan's investigation is complete.

Several FBI files contained information (1994-1999) concerning information made available by unknown sources or

identified individuals who made allegations that Mr. Carnahan provided political favors in connection with campaign contributions or for allies, or that he pressured individuals for votes to fulfill alleged favors. FBI files also disclosed a reference to an alleged phone call supposedly initiated by Mr. Carnahan in connection with the Hancock Amendment.

It should be noted that on 07/29/1999, Supervisory Senior Resident Agent [redacted] Springfield RA; and Supervisory Special Agent [redacted] (Corruption Squad), Kansas City Field Division, advised they knew of no criminal investigation initiated or conducted by the FBI concerning the allegations made against Mr. Carnahan.

The following footnotes correspond to information located in the Agency Checks section of the summary:

* 161B-HQ-1087906 (consolidated)
** 194A-KC-C81080, 194-KC-81080, 194A-KC-73558, 194B-KC-73101

U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

AUG 4 1993

MELVIN EUGENE CARNAHAN

This summary memorandum contains the results of a Level II background investigation concerning Mr. Carnahan, which addressed the past fifteen years of his life. Inquiries were conducted in the United States as to Mr. Carnahan's character, loyalty, and general standing, but no inquiries were made as to the sources of his income.

Birth

Mr. Carnahan was born on February 11, 1934, in Birch Tree, Missouri.

Education

Mr. Carnahan received a Bachelor of Laws degree from the University of Law School, Columbia, Missouri, in June, 1959; and in 1969, he received a Juris Doctorate degree.

Military Service

Mr. Carnahan was appointed a second lieutenant in the United States Air Force Reserves (USAFR) on June 9, 1954, after completing the Reserve Officer's Training Corps program at Washington, D.C. He served on inactive duty until October 25, 1954, when he entered on active duty in the United States Air Force. He was on active duty until October 24, 1956, when he was released and transferred back to the USAFR. He was honorably discharged on February 26, 1956, as a captain. His military file contained no unfavorable information.

Employment

From July, 1984, to January, 1993, Mr. Carnahan continued to be self-employed as an attorney in Rolla, Missouri. From 1989 to 1993, while an employee of the State of Missouri, Mr. Carnahan continued as a partner in his law firm but saw no partners.

Dep. Dir. ____
Chief of Staff ____
Off. of Gen. Counsel ____
Asst. Dir.: ____
Crim. Inv. ____
CJIS ____
Finance ____
Info. Res. ____
Insp. ____
Lab. ____
National Sec. ____
Personnel ____
Training ____
Off. of EEOA ____
Off. of Public & Cong. Affs. ____
Director's Office ____

RETURN TO [redacted] ROOM 4371

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MAIL ROOM ☐

FBI/DOJ

Melvin Eugene Carnahan

Since January, 1991, Mr. Carnahan has been employed by the State of Missouri, Jefferson City, Missouri, initially as State Treasurer, then Lieutenant Governor, and since January, 1993, as Governor.

In addition to the above, in August, 1995, Mr. Carnahan was also nominated a member of the Board of Trustees of the Harry S. Truman Scholarship Foundation.

Family Status

Mr. Carnahan is married to the former [redacted] He has indicated that his wife is a United States citizen. They reside in the Governor's mansion at 100 Madison Street, Jefferson City, Missouri. Mr. Carnahan also maintains a residence at 13750 County Road 4030, Rolla, Missouri.

Mr. Carnahan's parents, Mary Kathel Carnahan and Albert Sidney Johnson Carnahan, are deceased. In addition to his wife, Mr. Carnahan has listed the following living close relatives:

[redacted]

Interviews

[redacted] Jefferson City, Missouri, advised he has known Mr. Carnahan for approximately [redacted] advised that five years have passed since he previously provided the FBI comments concerning Mr. Carnahan (in connection with Mr. Carnahan's previous FBI background investigation.) He stated he knows Mr. Carnahan much better and has formed the opinion that Mr. Carnahan will sometimes ignore the "spirit" of the law to push his own political agendas. As an example, he cited that the State of Missouri recently enacted legislation regarding the funding for family planning and the general intent of the

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legislation was to not allow abortions to be funded with state funds. He advised that Mr. Carnahan, however, through the Department of Social Services, allowed state funds to be utilized to support planned parenthood, which supports abortions. He indicated that Mr. Carnahan did not make any secrets about this, but he opined that Mr. Carnahan violated both the "spirit" and letter of the law. [redacted] provided favorable comments concerning Mr. Carnahan's associates, reputation, and loyalty.

Twenty additional persons, consisting of current and former colleagues, subordinates, present neighbors, references, social acquaintance, and professional associates, were interviewed. They provided favorable comments concerning Mr. Carnahan's character, associates, reputation, and loyalty.

All persons interviewed during the course of this background investigation stated they are unaware of any illegal drug use or alcohol or prescription drug abuse by Mr. Carnahan, nor have they ever known him to exhibit any type of bias or prejudice against any class of citizen or any type of religious, racial or ethnic group. They also commented that they believe Mr. Carnahan lives within his financial means. None of the individuals contacted was aware of any information concerning Mr. Carnahan that could be used to compromise or coerce him. All persons interviewed recommended him for a position of trust and responsibility.

Among those interviewed are the following:

[redacted] State of Missouri, Jefferson City, Missouri;

[redacted] Missouri State Highway Patrol, Jefferson City, Missouri;

[redacted] State of Missouri Capitol Police, Belle, Missouri; and

[redacted] State of Missouri, Rolla, Missouri.

Financial Responsibility

A search of computerized credit records, which was conducted at FBI Headquarters in July, 1999, disclosed no pertinent information concerning Mr. Carnahan.

Melvin Eugene Carnahan

Law Enforcement Agency Checks

Information has been received from appropriate law enforcement agencies indicating their files contain no pertinent information concerning Mr. Carnahan.

Professional Affiliations

Mr. Carnahan is eligible to practice law in the State of Missouri. According to information available, Mr. Carnahan has been the subject of four complaints filed with the Missouri Bar Association (MBA). In the first of these complaints, filed on May 5, 1978, former clients [redacted] who had retained his services in a land boundary dispute, alleged that Mr. Carnahan had taken a bribe from the defendants, had lied about his clients' right to a jury trial, and had withdrawn from the case without notification. b6 b7C

The files of the MBA contained a copy of the original judgement decree indicating that all parties had waived the right to jury trial and copies of Mr. Carnahan's notices to his clients, the court, and the defendants that he intended to withdraw as attorney of record. The letters were dated shortly after his filing for a new trial on his clients' behalf in December, 1975. Also on file were letters dated January 8, 1976, in which Mr. Carnahan notified the clients again that he would withdraw if his past due bills, which included payments to the surveyor and court reporter, were not paid, and January 26, 1976, letter which notified both the clients and the court that he was withdrawing as attorney of record. The file also contained documents showing that Mr. Carnahan filed a suit against his clients and on behalf of the court reporter, the surveyor, and himself on October 15, 1976. On June 28, 1978, the clients were notified by the MBA that it had reviewed pertinent documents and found no violation of legal ethics. On the same date, Mr. Carnahan was notified that his actions in filing suit against the clients on behalf of the court reporter and surveyor had been improper (matter of procedure and not a violation of ethics). Additionally, the MBA pointed out that Mr. Carnahan should have withdrawn prior to filing for a new hearing rather than after, but added that it did not consider this a matter of discipline and its remarks were cautionary. Furthermore, the file indicated there was never any credible information developed to indicate that Mr. Carnahan had accepted any bribe or committed any other ethical violation. MBA records disclosed the complaint was retired on June 28, 1976.

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The second of these complaints concerned a fee dispute filed on May 17, 1978. Records of the MBA disclosed the complainant [redacted] was advised that fee disputes were not considered a violation of legal ethics. The MBA Disciplinary Counsel determined there was no basis for any disciplinary proceedings. No additional information was provided concerning this complaint.

The third complaint, filed on September 28, 1988, alleged Mr. Carnahan had agreed to take a client's [redacted] right-of-way case to court, although changed his mind after additional research. Records disclosed Mr. Carnahan had suggested the matter should be negotiated between all parties involved. The MBA Disciplinary Counsel determined there was no basis for disciplinary proceedings. No additional information was provided concerning this complaint.

The final complaint was filed on September 23, 1996, by a Missouri Division of Worker's Compensation Administrative Law Judge. The complainant alleged Mr. Carnahan violated the Rules of Professional Responsibility relating to a lawyer's duty to maintain integrity of the legal profession. This individual alleged that Mr. Carnahan had stated that he (the complainant) was "a bad judge and had to go," or words to that effect. On November 11, 1996, Chief, MBA Disciplinary Counsel, ruled there was no grounds for initiation of an investigation as attorney's are not prohibited from expressing their opinions.

During his routine interview conducted by the FBI on July 16, 1999, and as indicated on his Standard Form 86 (SF-86), Mr. Carnahan advised that he had been the subject of a complaint filed at the MBA. He explained he was representing his clients in a property/boundary dispute which he had taken to trial. He stated that during the trial, the defense alleged that his clients had anonymously telephonically threatened them, and from that instant on, the judge presiding over the matter ultimately believed the defendant and ruled in their favor. As a result, Mr. Carnahan's clients filed a complaint against him that he had not represented them adequately and they refused to pay his fees, and the fees of the surveyor and the court reporter. Mr. Carnahan stated he paid the fees for the surveyor and court reporter and then sued his client for these fee payments. He also advised that the MBA ruled he had not acted improperly, but wrote him a letter suggesting he should have handled the matter differently. According to Mr. Carnahan, he has not been the subject of any other complaints, to his knowledge.

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Miscellaneous

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A search of [redacted] a computerized news retrieval service, disclosed no pertinent information concerning Mr. Carnahan.

During his initial interview conducted by the FBI on July 16, 1999, Mr. Carnahan advised he has been the subject of civil suits, which have been filed against him in his official capacity as Governor of the State of Missouri. He explained that he failed to indicate this matter on his SF-86 as he did not consider them personal civil law suits. Mr. Carnahan also advised that he has routinely traveled abroad representing the State of Missouri in his position as Governor, and he has not maintained any continuing contact with representatives of any foreign countries.

Mr. Carnahan also advised, and as indicated on his SF-86, that he is a member of the Missouri Athletic Club, St. Louis, Missouri, and that this club formerly restricted membership. He stated he did not join the club until it had changed its policies concerning all discrimination. He also explained that he had researched the club prior to joining and was confident that there was no discriminatory practices. During a subsequent recontact on July 29, 1999, Mr. Carnahan further explained that he had become a non-resident member in the early 1980's, he had researched its membership policies, and had been assured the club did not restrict membership based on race, religion, or ethnic background. He was not aware if the club restricted membership based on gender when he became a member. In the late 1980's he became aware that the club began a campaign to attract more female members and that the campaign was successful. After becoming mayor, he was given honorary membership. He stated at no time during his association with this club has he been in any policy making positions nor been involved in its policies, including admission policies. He concluded that the club does not currently restricts its membership. (Refer to the Agency Checks section of this summary memorandum for additional comments concerning this matter.)

Records of the Missouri Athletic Club, St. Louis, Missouri, disclosed that Mr. Carnahan was a member in good standing. Records disclosed that in 1988, the club had taken action to correct its membership restriction, and its current by-laws disclosed that the club is committed to assuring equal opportunity for all potential members and does not discriminate. No additional information was provided.

Also during his initial interview, Mr. Carnahan provided his comments concerning the fact that during a 1994 FBI background investigation, some individuals had alleged he had acted improperly with regards to defeating the Hancock Amendment. (Refer to the Agency Checks section of this summary memorandum concerning this matter.) Mr. Carnahan denied his office had used any state funds in their efforts to defeat the amendment. In support of his campaign, he had contacted various businessmen throughout the state soliciting funds from a fund-raiser office opened by members of his party (Protect Missourians). He also denied that he had ever contacted an individual by the name of [redacted] and requested money with the implication he would provide a favor. It was his understanding that [redacted] had in fact made the alleged contribution to the pro-Hancock Amendment movement. Mr. Carnahan also denied allegations that he had contacted one [redacted] to in-turn contact [redacted] to inquire about his contribution to support the amendment. Mr. Carnahan also stated he recalled speaking with [redacted]; however, he did not recall the specifics of that call.

During Mr. Carnahan's subsequent recontact, he advised that in 1960, the Internal Revenue Service disallowed a deduction he made on his income taxes, and he was assessed less than $300 in taxes and penalties, which he satisfied. He explained he had been employed at a law firm after graduating from law school and had earned equity in the firm. When he disassociated himself from the firm, he requested be paid $1,000 in equity he had accrued. When the firm refused to pay, he deducted the $1,000 from his next year's taxes as a business loss. Mr. Carnahan stated he is current on all tax obligations.

Agency Checks

During the course of this background investigation, the records of the following entities were checked and found to contain either no record or no pertinent information concerning Mr. Carnahan, unless otherwise noted in this summary memorandum:

Central Intelligence Agency;
Defense Clearance and Investigations Index;
Federal Elections Commission;
Office of Personnel Management;
Public Integrity Section and appropriate
United States Attorneys,
Department of Justice;
State of Missouri Attorney General's Office;
State of Missouri Ethics Commission;

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State of Missouri Secretary of State, Elections Division;
United States Secret Service;
and the White House Office.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Criminal Justice Information Services Division (Mr. Carnahan by fingerprints and other known identifying data; Mr. Carnahan's close relatives by name and other known identifying data only), the indices of appropriate field offices and other appropriate computer data bases, did not identify any documents that contain pertinent information identifiable with Mr. Carnahan or his close relatives except the following:

Mr. Carnahan was the subject* of an FBI background investigation completed in December, 1994, conducted in connection with an unspecified Presidential appointment. During the course of that background investigation, thirty individuals, consisting of colleagues, subordinates, neighbors, references, professional associates, and social acquaintances, provided favorable comments concerning him.

During the course of that investigation, one individual, who at the time was a political opponent of Mr. Carnahan, characterized him as a "wolf in sheep's clothing" because he often twisted things to his political advantage, citing that in 1991 when signing a tax increase into law, he claimed that he was obligated by court order to support and sign the legislation. When she challenged him on this statement, he no longer maintained he was obligated to support the increase, leading her to believe that he claimed the obligation because the tax increase was a political liability. She also advised that Mr. Carnahan used his position as Governor to oppose the Hancock Amendment, a tax cut initiative [redacted] and which appeared on the 1994 Missouri State ballot. This individual advised that she could not offer a recommendation without knowing the position for which Mr. Carnahan was being considered.

Another individual advised that he did not believe Mr. Carnahan was always straightforward, citing for example that Mr. Carnahan had used his position as Governor to defeat the Hancock Amendment. This individual considered this unethical and not in the spirit of the law. (This individual did note that some of his views may be prejudiced due to his political partisanship.) This individual recommended Mr. Carnahan for a position of trust and responsibility.

Also during the course of the 1994 background investigation, [redacted] United Missouri Bank (UMB), Kansas City, Missouri, advised he was told by [redacted] and another board member that Mr. Carnahan had contacted him [redacted] and suggested the bank make a contribution to the Protect Missourians Campaign to fight the Hancock Amendment. [redacted] advised that the inference could be drawn that if such a contribution were made, the bank could benefit; however, the bank did not make any contribution to the campaign. [redacted] advised that prior to the 1994 ballot, Mr. Carnahan expressed concern to him that the Hancock Amendment would not be defeated in the upcoming vote. He had assured Mr. Carnahan that there was a great deal of opposition to the amendment and that defeat seemed certain. He stated that Mr. Carnahan then told him that he had heard that UMB and [redacted] had pledged in support of the amendment and asked [redacted] to verify this with [redacted] He contacted [redacted] who advised that he had intended to support the amendment, but his support would have caused teachers throughout the state to withdraw their accounts from the bank. [redacted] also advised that Mr. Carnahan never threatened retaliation or offer to remove state pressure from the bank in return for its support or a contribution. He further advised that he never mentioned anything to that effect to [redacted] during their conversation. An additional UMB board member advised that at a meeting in 1994, [redacted]

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had advised board members that he had received a call from Mr. Carnahan asking for a contribution to the Protect Missourians Campaign which opposed the Hancock Amendment. He also advised board members that Mr. Carnahan had indicated that a contribution by the bank to the Protect Missourians Campaign would be to its benefit. Another individual advised that he was never approached by Mr. Carnahan to support the fight against the Hancock Amendment, but had heard that [redacted] had been. He stated that he understood the state had moved $700 million from the UMB as a form of penalty because of its support of Congressman Hancock.

Also, during the course of the 1994 background investigation, Mr. Carnahan advised during his routine interview conducted by the FBI that he had been the subject of civil suits in his official capacity and had been the subject of a bar grievance. He also advised that he belonged to the Missouri Athletic Club at a time when it accepted male members only. He noted that the club did not discriminate in any other way and, further, that he was never involved with setting its policy. He stated he resigned from the club in the mid-1980's, but was given an honorary membership in 1993 when he became governor. He further advised that the club had changed its policy by that time, and he noted that he would not have accepted honorary membership if the club had still prohibited female members.

FBI files** indicate that Mr. Carnahan is referenced on numerous occasions in corruption of state and local public official files from 1994 to 1999. Information in these files includes allegations made by either unknown sources or identifies some individuals alleging Mr. Carnahan provided political favors in connection with campaign contributions or for his allies. Some of these favors included the awarding of business contracts, the promise of appointments or jobs, or the awarding of fee

offices. Some files also indicate that when he needed to, he pressured individuals of authority for votes to fulfill these alleged favors. FBI files also reference an alleged phone call (made by an individual supposedly on behalf of Mr. Carnahan) to the chairman of the UMB concerning the Hancock Amendment. It should be noted that FBI files do not indicate that the FBI has initiated or conducted any criminal investigations concerning Mr. Carnahan in connection with any allegations.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.